



Combined Meeting of the
Operations Committee &
Audit, Finance and
Administration Committee
May 21, 2020

(Immediately following the
Special Board Meeting,
Executive Session, at 8:30 AM)

Open Session Agenda

Notice of Meeting and Agenda

Bi-State Development Combined Operations Committee and Audit, Finance and Administration Committee Meeting (Virtual Meeting)

Thursday, May 21, 2020

Immediately following the Special Board Meeting, Executive Session

(Special Meeting begins at 8:30 AM)

One Metropolitan Square, 211 N. Broadway, Suite 650

St. Louis, Missouri 63102

This location is accessible to persons with disabilities. Individuals with disabilities needing information or communication accommodations should call Bi-State Development at (314) 982-1400, for TTY access, call Relay 711. Sign language interpreter services or other accommodations for persons with hearing or speech disabilities will be arranged if a request for such service is made at least two days in advance of the meeting. Large print material, Braille material or other formats will also be provided upon request.

| Agenda | Disposition | Presentation |
|--|--------------------|--|
| 1. Call to Order | Approval | Chair Holman |
| 2. Roll Call | Quorum | M. Bennett |
| 3. Public Comment | Information | Chair Holman |
| 4. Approval of the Minutes of the March 20, 2020 Combined Operations and Audit, Finance & Administration Committees, Open Meeting | Approval | Chair Holman |
| 5. Potential Refunding of Bonds Issued to Finance Arch Motor Set Replacements | Approval | T. Fulbright / A. Pope |
| 6. Letter of Agreement Between Bi-State Development and The Jerry Costello Group, LLC for Consulting Services for the St. Louis Regional Freightway and Bi-State Development | Approval | M. Lamie |
| 7. Contract Modification: Extension of Contract with The Harlan Company for installation of Brentwood Bus Garage Oil and Water Separator | Approval | C. Poehler / G. Smith / T. Nittler |
| 8. Contract Award – Project Support Services by Center for Transportation and the Environment | Approval | D. Curry / C. Poehler |
| 9. Contract Award – WSP USA Inc. On-Call General Engineering Consultant | Approval | J. Mefford-Miller / C. Poehler / G. Smith / L. Jackson |
| 10. Emergency Contract Award for Employee Temperature Screenings – Vobis Sanus LLC (DBA BrightStar Care) | Approval | D. Toben / G. Smith |
| 11. Emergency Contract Award – DH Pace Company, Inc. for Employee Temperature Screening Gateways | Approval | A. Ghiassi / D. Toben |
| 12. Agreement with St. Clair County, Illinois Transit District | Approval | S. Berry / K. Scott |
| 13. Sole Source Contracts for Hardware and Software Maintenance | Approval | G. Smith / K. Kinkade |

| Agenda | Disposition | Presentation |
|--|-------------|-------------------|
| 14. Metro Transit's Agency Safety Plan | Approval | A. Ghiassi |
| 15. Treasury-Safekeeping Quarterly Accounts – Ending December 31, 2019 | Information | A. Staicoff |
| 16. Treasury-Safekeeping Quarterly Accounts – Ending March 31, 2020 | Information | A. Staicoff |
| 17. Internal Audit Follow-Up Summary – 3rd Quarter FY2020 | Information | A. Staicoff |
| 18. Internal Audit Status Report – 3rd Quarter FY2020 | Information | A. Staicoff |
| 19. Internal Audit State Safety Oversight Status Report – 1st Quarter – Calendar Year 2020 | Information | A. Staicoff |
| 20. Pension Plans, 401(k) Retirement Savings Program and OPEB Trust Investment Performance Update as of December 31, 2019 | Information | C. Stewart |
| 21. Financial Statements | Information | M. Vago |
| 22. Treasurers Report | Information | T. Fulbright |
| 23. Procurement Reports - 2 nd and 3 rd Quarters | Information | G. Smith |
| 24. Operations Report | Information | J. Mefford-Miller |
| 25. President/CEO Report | Information | T. Roach |
| 26. Unscheduled Business | Information | Chair Holman |
| 27. Call for the Dates of Future Board & Committee Meetings | Information | M. Bennett |
| 28. Adjournment to Executive Session** | Approval | Chair Holman |
| 29. Reconvene to Open Session | | Chair Holman |
| 30. Adjournment | Approval | Chair Holman |
| <p><i>**If such action is approved by a majority vote of The Bi-State Development Agency's Board of Commissioners who constitute a quorum, the Board may go into closed session to discuss legal, confidential, or privileged matters pursuant to Bi-State Development Board Policy Chapter 10, Section 10.080 (D) Closed Records: Legal under §10.080(D)(1); Real Estate under §10.080(D)(2); Personnel under §10.080(D)(3); Health Proceedings under §10.080(D)(4); Employee Negotiations under §10.080(D)(5); Data Processing under §10.080(D)(6); Purchasing and Contracts under §10.080(D)(7); Proprietary Interest under §10.080 (D)(8); Hotlines under §10.080(D)(9); Auditors under §10.080(D)(10); Security under §10.080(D)(11); Computers under §10.080(D)(12); Personal Access Codes under §10.080(D)(13); Personal Information under §10.080(D)(14); Insurance Information under §10.080(D)(15); Rail, Bus, or Facilities Safety and Accidents under §10.080(D)(16) or Protected By Law under §10.080(D)(17).</i></p> | | |

***Note:** Public comment may be made at the written request of a member of the public specifying the topic(s) to be addressed and provided to the Agency's information officer. No public comment shall be allowed by parties or their legal counsel in connection with any matter involving a pending bid protest, litigation, or legal matter. The following rules shall be observed: 1) All individuals shall state their name, address and topic for comment; 2) All individuals shall address the Chair and shall not proceed with public comment until recognized by the Chair; 3) No disrespectful language may be used or comments with respect to personalities shall be made; 4) An individual called to order by the Chair shall immediately desist from speaking until permitted to continue by the Chair; and 5) Public comment by an individual shall be limited to five minutes, unless permission to continue is given by motion adopted by the Board.

Open Session Item

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**BI-STATE DEVELOPMENT
COMBINED OPERATIONS / AUDIT, FINANCE & ADMINISTRATION
COMMITTEE MEETING
OPEN SESSION MINUTES
March 20, 2020**

Operations Committee Members in Attendance via Phone

Derrick Cox
Irma Golliday
Vernal Brown
Rose Windmiller

Audit, Finance & Administration Committee Members in Attendance via Phone

Aliah Holman, Chair
Constance Gully
Justin Zimmerman
Herbert Simmons
Fred Pestello – Absent

Other Board Members in Attendance via Phone

Terry Beach

Staff in Attendance

Taulby Roach, President and Chief Executive Officer
Barbara Enneking, General Counsel and Deputy Secretary
Myra Bennett, Manager of Board Administration
Jessica Mefford-Miller, Executive Director Metro Transit
Tammy Fulbright, Sr. Director, Financial Planning & Treasury
Mary Lamie, Executive Vice President Multimodal Enterprises
Jerry Vallely, External Communications Manager

1. **Open Session Call to Order**
8:35 a.m. Chairman Holman called the Open Session of the Combined Operations / Audit, Finance & Administration Committee Meeting to order at 8:35 a.m.
2. **Roll Call**
8:35 a.m. Roll call was taken, as noted above.

Chair Holman noted that, with the change in format of today's meeting, she would like to ask that everyone please have patience with the Board and staff, as some new technology is being used today. She noted that the meeting is being conducted with limited staff in attendance, and if there are questions for specific staff who are not in attendance, there may be a slight delay in the meeting, while phone calls are made to ask staff members join the meeting via phone. She stated that she appreciates everyone's efforts to make today's meeting possible.

Chair Holman stated that, in an effort for brevity, President & CEO Roach has recommended removing several items from today's Agenda, with the Board's approval. Those items include: Item #15, Item #17, Item #19, Item #20, and Item #23. A motion to amend the Agenda, as noted, was made by Commissioner Windmiller and seconded by Commissioner Simmons.

The motion passed unanimously.

3. Public Comment

8:43 a.m. Chair Holman asked Ms. Bennett if any speaker cards were submitted for today's meeting. Ms. Bennett stated that three speaker cards were submitted; however, one card pertains to personnel matters, and per Board Policy, this topic will not be addressed at an open meeting. She noted that copies of all speaker cards will be distributed to the Commissioners electronically, at the conclusion of the meeting. Ms. Bennett read the comments that were submitted by Tom Sullivan and Mitch Eagles, and noted the speaker card that was submitted by Ernda Williams (see attached Exhibit A).

4. Minutes of the January 24, 2020 Combined Operations Committee and Audit, Finance & Administration Committee, Open Meeting

8:48 a.m. The minutes of the January 24, 2020, Combined Operations Committee and Audit, Finance & Administration Committee, Open Meeting were provided in the Committee packet. A motion to approve the minutes was made by Commissioner Zimmerman and seconded by Commissioner Windmiller. **The motion passed unanimously.**

5. FY 2020 External Audit - Client Service Plan from RubinBrown

8:48 a.m. A briefing paper was provided in the Committee packet, regarding a request to refer to the Board of Commissioners, for approval, the tentative Audit Plan, submitted by RubinBrown. Tammy Fulbright, Sr. Director, Financial Planning & Treasury, noted that the tentative Audit Plan is included in the Board information, and asked if there were any questions.

A motion to approve this agenda item, as presented, was made by Commissioner Gully and seconded by Commissioner Brown. **The motion passed unanimously.**

6. Bi-State Development Operating and Capital Budget FY 2021 – 2023

8:50 a.m. A briefing paper was provided in the Committee packet, regarding a request to refer to the Board of Commissioners, for approval, the FY 2021 Operating and Capital Budget.

President & CEO, Taulby Roach, noted that Jessica Mefford-Miller, Executive Director of Metro Transit, and Mary Lamie, Executive Vice-President Multi Modal Enterprises, are in attendance to

address issues pertaining to their departments. He noted that the FY 2021 Budget was prepared without knowing the significant changes in our society, due to COVID-19. He noted that additional comments will be provided under the “President’s Report” and in Executive Session.

Mr. Roach noted the Metro Transit Facts, on page 2 of the presentation, gives an overview of the essential aspects of Transit Operations. He referenced page 4 of the presentation, stating that safety and security of the system is the highest priority, and feels that we have been successful in changing the entire dynamic of our security strategy. Mr. Roach noted that new police contracts have been signed with all three of our jurisdictions, and we will be implementing the new security contract with G4S on April 1st. He noted that some access control measures have been implemented, and additional access control measures are programmed for implementation throughout FY21-23. Mr. Roach noted the change in leadership in security for Metro. He stated that the experience of Stephen Berry, General Manager of Public Safety, has been invaluable.

President & CEO Roach stated that another crucial aspect of operations is fiscal responsibility. He noted that financial responsibility and stability are critical to the organization. He reported that the projected cost increase from FY20 to FY21 is 1.66% and is minimal. He commended staff for their efforts in keeping FY21 costs to a minimum. Mr. Roach stated that staff is also looking into ways to ensure long term stability, as noted in the successful refunding of the Series 2009 bonds and callable Series 2013 bonds, which will generate \$49.1 million in savings over time for the organization, but most importantly, nearly \$20 million in capital to be used in safety and security. He referenced page 6 of the presentation, which indicates the transit system cost stabilization, and the projected trend over time. He noted that the trend indicates a two-year annual growth rate of .85%, and he is very proud of this achievement.

President & CEO Roach referenced page 7 of the presentation, noting upcoming goals for the organization, including: 1) Improving the perception of safety for Metro Transit and the image of Bi-State Development, which includes improving passenger revenue by restoring customer confidence in the system; and improving sales tax appropriation by restoring and building trust; and 2) Creating operational efficiencies (eliminating redundant or outdated processes and procedures), which includes the financial benefits of offsetting declining sales tax growth, by providing cost savings, and improving cost trends through system redesign. Mr. Roach gave an overview of sales tax collections, as noted on page 8 of the presentation.

Tammy Fulbright, Sr. Director, Financial Planning & Treasury, provided an overview of the Metro Transit Statement of Activity, noting revenue and expenses for operations, as well as a review of the breakdown of operating expenses, as referenced on pages 9 and 10 of the presentation.

Jessica Mefford-Miller, Executive Director Metro Transit, reviewed some of the costs impacting Metro Transit, including the new labor contract with Amalgamated Transit Union (ATU) Local 788; new security and police contracts; and workers’ compensation and casualty insurance. She noted that Metro continues to negotiate the new contract with the IBEW. She referenced the Transit compensation and benefits comparison for FY20 to FY21, and commended the benefits team for maintaining a level cost for the organization. In addition, she reviewed the various revenue sources, referenced on page 15 of the presentation.

Ms. Mefford-Miller reviewed information pertaining to peer transit comparisons and passenger boardings. She noted that Metro had seen ridership growth in the months in January and February; however, unfortunately, this will change due to the COVID-19 situation. President & CEO Roach noted that additional information regarding the effects of the COVID-19 situation on ridership will be discussed later in the meeting. He added that the questions from the Commissioners are welcome today, or can be e-mailed to him. He stated that the budget will be presented to the full Board of Commissioners at the April meeting.

Tammy Fulbright gave an overview of Federal sources of capital funds, as well as local funding sources. President & CEO Roach noted that, on page 22 of the presentation, the "Formula 5307" funds, are the funds that could be moved from capital and reallocated to operating expenses, under the recent FTA emergency order. Ms. Mefford-Miller reviewed the capital cash flow by use. She gave an overview of the projected FY21-FY23 major capital expenditures, which include: revenue rolling stock replacement; light rail vehicle upgrades/replacement; Union Station Tunnel project; MetroLink infrastructure improvements; facility infrastructure improvements; and safety/security initiatives.

Commissioner Windmiller stated that she appreciates the comparison with cities of comparable size and the cost per mile; however, she would be interested in ridership numbers for those cities, as well, to determine if their ridership is considerably higher or lower than ours. Ms. Mefford-Miller stated that they do have these numbers from the national data base, and would be happy to provide them to the Board. Commissioner Holman suggested that the staff provide a walk-through of the comparison numbers with the full Board, and how we are comparing ourselves to other transit agencies. She added that she feels that the general public does not always share the same comparison point, and so perhaps Metro may want to add to the consideration set, not just a comparison from our perspective, but also from the public perspective. Ms. Mefford-Miller stated that staff will compile that information and share with the Board.

Commissioner Windmiller posed questions regarding a significant increase in casualty insurance. Ms. Fulbright stated that we are seeing rising costs in what we have paid out and so an increase was built in, in order to compensate for that issue.

Commissioner Windmiller stated that she would like more information with regard to the FTA emergency order pertaining to the COVID-19 situation, allowing for the use of capital funds for potential budget shortfalls. President & CEO Roach noted that this will be addressed in greater detail later in today's meeting. He also noted that, with regard to the casualty insurance issue, we are currently exceeding the budget projection, and staff would have liked to increase the figure even more than what is represented in the draft budget. He noted that these figures will be tracked closely.

Mary Lamie, Executive Vice-President Multi Modal Enterprises, gave an overview of the statement of activity for the Gateway Arch, noting revenues and expenses. She gave a summary of the projected Gateway Arch capital projects for FY21-FY23 which includes JNEM Park Identifying Signage and the Tucker Theater Repurposing project.

Ms. Lamie gave an overview of the statements of activity for Riverfront Attractions, the St. Louis Downtown Airport, and St. Louis Regional Freightway, including revenue and expenses for each, as well as projected projects.

Ms. Fulbright gave a summary of the statements of activity for Executive Services, Internal Services Funds, Casualty Self-Insurance Fund, and Workers' Compensation Self-Insurance Fund, including an overview of revenues and expenses. President & CEO Roach noted that there is little activity in the Agency Non-Profits at this time. Mr. Roach noted that this concludes the overview of the FY21-FY23 Budget, and he thanked everyone for their time.

Chair Holman stated that this was a great presentation, and she also thanked President & CEO Roach and the staff for their work.

Commissioner Zimmerman stated that, with the money we are receiving from MCT (Madison County Mass Transit), he would strongly encourage Mary Lamie to set up a time to go there and make a presentation to keep them up to date on the activities of the Regional Freightway and how the money is being spent. Commissioner Zimmerman also asked that the increasing costs of casualty insurance and legal expenses be looked at a little deeper, to explore how we can reduce those costs.

A motion to forward this item to the full Board for approval, as presented, was made by Commissioner Gully and seconded by Commissioner Brown. **The motion passed unanimously.**

7. Intergovernmental Agreement between Bi-State Development and the Madison County Mass Transit District

9:42 a.m. A briefing paper was provided in the Committee packet, regarding a request to refer to the Board of Commissioners, for approval, renewal of an agreement with the Madison County Mass Transit District governing the allocation of Section 5307 federal federal funds, access to transit services and facilities, fare coordination, and support of the St. Louis Regional Freightway for a period commencing on July 1, 2019 and terminating on June 30, 2029.

Jessica Mefford-Miller, Executive Director Metro Transit, gave a brief overview of this issue, noting that BSD and the District are partners in mobility across the St. Louis metropolitan region and have long been engaged in mutual agreements that distribute federal funding appropriated to the St. Louis region in order to connect their respective transit services so as to facilitate regional travel via mass transit. She noted that the agreement calls for revenue cost sharing, and Bi-State Development retains \$100,000.00 of passenger fares attributable to the District for the exclusive purpose of providing funding for The St. Louis Regional Freightway.

A motion to approve this agenda item, as presented, was made by Commissioner Cox and seconded by Commissioner Golliday. **The motion passed unanimously.**

8. Contract Award – Paratransit Certification and Administrative Services

9:44 a.m. A briefing paper was provided in the Committee packet, regarding a request to refer to the Board of Commissioners, for approval, to enter into a contract with the most advantageous proposer to operate Metro's ADA Paratransit Certification Program, Reduced Fare Identification

Program and Metro's Travel Training Program. It was noted that the contract would consist of two base years and three option years for Bi-State Development to renew at its discretion.

Jessica Mefford-Miller, Executive Director Metro Transit, gave a brief overview of this issue. It was noted that staff anticipates conducting a review of proposals received and providing an updated paper, seeking approval to contract with the selected party for a specific amount, for consideration at the Board of Commissioners meeting on April 24, 2020.

A motion to approve this agenda item, as presented, was made by Commissioner Gully and seconded by Commissioner Windmiller. **Motion passed unanimously.**

9. Title VI Program and Policy Updates

9:48 a.m. A briefing paper was provided in the Committee packet, regarding a request to refer to the Board of Commissioners, approval of the proposed Title VI policies that enable Metro to update its Title VI program. Jessica Mefford-Miller, Executive Director Metro Transit, gave a brief overview of this issue.

A motion to approve this agenda item, as presented, was made by Commissioner Golliday and seconded by Commissioner Brown. **Motion passed unanimously.**

10. Sole Source Contract Renewal with Remix Software

9:49 a.m. A briefing paper was provided in the Committee packet, regarding a request to refer to the Board of Commissioners, approval to renew Metro's sole source contract with Remix, a software as a service (SaaS) transit planning platform for public transit, for an amount not to exceed \$288,000, to be paid in three annual installments. Jessica Mefford-Miller provided a brief overview of this issue.

Commissioner Holman stated that she would like to reiterate that she looks forward to the time when we are on the leading edge of technology and resources for our constituents. Ms. Mefford-Miller stated that this is a very powerful software tool, and allows us to visualize characteristics, for example, directly comparing a one route alignment against another, the number of low income individuals and minorities we are serving, and important destinations in real time.

Commissioner Simmons asked if this is the same program that has caused some problems in St. Clair County. Ms. Mefford-Miller stated that this platform is a sketch planning tool, so it is designed to help develop routes and service levels, which helps us understand the ridership and demographics. She stated that it is not an operations, planning and scheduling tool. She stated that for those issues, the organization uses Trapeze. Rather the information gathered from Remix is loaded into the Trapeze program.

Commissioner Simmons asked if the Remix program is causing the problem that Mr. Sharkey is currently having regarding the over cost of what was quoted. President & CEO Roach stated that completely redesigning service is clunky and difficult, as it was with Metro Reimagined. He stated we did not have a client working as closely with us on the Illinois side, but feels we could have done a better job working with St. Clair County Transit District; however, he feels that Jessica Gershman and the planning staff are doing a better job of that. He noted that when using

a program such as Remix, you are planning the routes through the population, then when you move to Trapeze, it applies the routes with our labor contracts, and that the process can become very complex. Mr. Roach stated that we could have done a better job in communicating with the District, but are working to strengthen that partnership. Commissioner Simmons stated that there is an overage that still needs to be addressed.

A motion to forward this agenda item to the full Board for approval, was made by Commissioner Gully and seconded by Commissioner Brown. Commissioner Simmons and Commissioner Beach voted nay. All other Commissioners participating voted yea. **The motion passed.**

(Note: Item #12 was addressed before Item #11.)

12. Contract Modification: New Flyer, To Purchase 14 Battery Electric Articulated Buses

9:57 a.m. A briefing paper was provided in the Committee packet, regarding a request to refer to the Board of Commissioners, approval to modify the contract to New Flyer for the purchase of fourteen (14) Battery Electric Articulated buses, to include an additional \$10,000 per bus, for a cost not to exceed \$1,340,000 per bus, totaling \$18,760,000.

Jessica Mefford-Miller provided a brief overview of this issue, noting that the Board of Commissioners previously approved the award of a contract to New Flyer for the purchase of fourteen (14) battery electric articulated buses, at a cost not to exceed \$1,330,000 per bus, totaling \$18,620,000. Subsequent to the contract award, certain pre-production changes were requested by Metro staff, to enhance the overall operational functionality of the bus and customer experience. She noted that changes of this type are customary during the pre-production phase of bus production, in this case however, changes have exceeded the small contingency allowed; therefore, additional monies are being requested to cover the cost of the changes.

A motion to approve this agenda item, as presented, was made by Commissioner Windmiller and seconded by Commissioner Gully. **Motion passed unanimously.**

11. Sole Source Contract Award – Public Address & Customer Information System (PA/CIS) Upgrade

9:59 a.m. A briefing paper was provided in the Committee packet, regarding a request to refer to the Board of Commissioners, approval to award a sole source contract to Collins Aerospace (ARINC) for Public Address and Customer Information System Upgrades in the not-to-exceed amount of \$4,549,415.00.

Jessica Mefford-Miller provided a brief overview of this issue, noting that the Public Address & Customer Information System (PA/CIS) conveys synchronized audio and text information (train arrival announcements & safety/emergency instructions) on Metrolink platforms to rail customers. The systems have proved reliable and performed with minimal disruption, but the equipment has reached end-of-life. It was noted that the last upgrade was performed at all Phase 1 Alignment Metrolink platforms in 2007, and the LED signs, Station Controller Units, are no longer supported or available, and the 32-bit software is inadequate to support any expansion of the system.

A motion to approve this agenda item, as presented, was made by Commissioner Brown and seconded by Commissioner Cox. **The motion passed unanimously.**

13. Contract Award – Temporary Help Services

10:01 a.m. A briefing paper was provided in the Committee packet, regarding a request to refer to the Board of Commissioners, approval to authorize the President and CEO to enter into an agreement with 10 to 15 firms which proposals are most advantageous to provide temporary help (staffing) services, on an as-needed basis in various job classifications throughout the Agency. The contract period of performance includes three base years and two option years, for Bi-State Development (BSD) to renew at its discretion.

Tammy Fulbright provided a brief overview of this issue, noting that BSD has issued solicitation 20-RFP-106489-DR – Temporary Help Services to obtain proposals from qualified firms to provide temporary staffing services on an as-needed basis. The areas throughout the Agency includes but are not limited to, Administrative/ Secretarial/Clerical, Customer Service, Finance, Marketing/Communication, Talent Management/Human Resources, Administration, Engineering, Procurement, Labor Relations, Reservation Clerks, Risk Management, and Safety. It is BSD's intent to include a total of 10 to 15 firms as a result of the evaluation criteria to be included on the contract list of staffing firms that may be utilized to provide temporary staffing, on an as-needed basis.

A motion to approve this agenda item, as presented, was made by Commissioner Golliday and seconded by Commissioner Brown. **The motion passed unanimously.**

14. Revision to Board Policies – Section 10.060 – Board and Committee Meetings

10:02 a.m. A briefing paper was provided in the Committee packet, regarding a request to refer to the Board of Commissioners, approval to revise Bi-State Development (BSD) Board Policies, Section 10.060 – Board and Committee Meetings. In addition it is requested that the Committee approve a recommendation that the Chair of the Board of Commissioners designate that the proposed revision to Board Policies, Section 10.060 – Board and Committee Meetings, not be tabled pursuant to *Article VI (D)* of the Board Policies, as it is in the best interest of the Agency that these revised Board Policies be approved at the Board Meeting on April 24, 2020.

Barbara Enneking, General Counsel, provided a brief overview of this issue, noting that the objective of the revision is to update the Board Policies in recognition of the importance of Agency responsiveness to the public and accountability to its constituents. It was noted that the revisions to Board Policy, Section 10.060 – Board and Committee Meetings will include:

- Requiring a written request from a member of the public specifying the topic or topics to be addressed during such public comment, before the start of the Board or Committee meeting at which such public comment is requested.
- Providing that no public comment shall be allowed addressing any pending bid protest, litigation, or legal matter to which the Agency, its Commissioners, officers, directors, employees, or agents are a party.
- Providing that all remarks shall be directed to the Board as a collective body and not to any individual member thereof.

- Prohibiting the use of threatening, obscene, profane, disruptive, or abusive language.
- Public comment by an individual shall be limited to three minutes unless permission to continue is given by motion adopted by the Board.

A motion to approve this agenda item, as presented, was made by Commissioner Zimmerman and seconded by Commissioner Simmons. **The motion passed unanimously.**

15. Potential Refunding of Bonds Issued to Finance Arch Motor Set Replacements

This item was removed from the Agenda.

16. Letter of Agreement between Bi-State Development and The Jerry Costello Group, LLC for Consulting Services for the St. Louis Regional Freightway and Bi-State Development

10:04 a.m. A briefing paper was provided in the Committee packet, regarding a request to refer to the Board of Commissioners for approval, a Letter of Agreement between BSD and The Jerry Costello Group, LLC for consulting services for the Freightway and BSD, with terms in effect for a twenty-four (24) month period of April 1, 2020, through March 31, 2022.

Mary Lamie, Executive Vice President Multi Modal Enterprises, provided a brief overview of this issue, noting that, consistent with the Agreement in place today, the Consultant will provide strategic advice and planning for the Freightway and BSD and work closely with the Executive Vice President of Multi Modal Enterprises and BSD Executive Staff, including the President and Chief Executive Officer. In addition to providing strategic planning and advice, the Consultant will coordinate activities between the Freightway and the U.S. Secretary of Transportation and its office, as well as with members of the U.S. Congress and other government officials in the St. Louis region. It was noted that the Consultant will also work with Class I railroads in the St. Louis region to identify strategies to fund Freightway projects. Former Congressman Jerry Costello will be the lead person for the Consultant. The proposed Agreement provides these services at the same rate of \$9,000 per month and would be in effect for twenty-four (24) months, starting on April 1, 2020, through March 31, 2022.

Commissioner Zimmerman stated that he is aware that the Agency has not been spending as much money on outside lobbying. He stated that he would like to know where we are heading in the future, with regard to this issue, and how we know which lobbyists we are using and who we are not. He also asked if the Commissioners could receive some type of summary or report from the consultants, to indicate the issues they are working on and to indicate what we are getting for our money.

President & CEO Roach noted that the Agency reduced the costs and number of consultants in the FY20 budget. He noted that the Agency specifically asked this consultant to renegotiate its contract and lower their monthly rate, which they did.

Commissioner Windmiller asked if this contract is specifically for issues relating to the Freightway. Mr. Roach noted that the cost is split between the Regional Freightway and Executive Services, and depending upon future issues, some of the cost may eventually be paid by the St. Louis Downtown Airport. Commissioner Windmiller asked that this contract be reviewed and reconsidered on an annual basis.

A motion to approve this agenda item, as presented, was made by Commissioner Simmons and seconded by Commissioner Windmiller. Commissioner Zimmerman voted nay, and Commissioner Beach abstained from the vote. All other Commissioners voted yea. **The motion passed.**

17. State Safety Oversight – Public Transit Agency Safety Plan, Internal Audit Department Work Plan

This item was removed from the Agenda.

18. Discussion Regarding Potential Refunding of Series 2013 Bonds for Savings

10:11 a.m. A briefing paper was provided in the Committee packet, regarding a request to refer to the Board of Commissioners, approval to direct staff to prepare a resolution authorizing a refinancing of the Series 2013A Bonds to be presented to the Board of Commissioners for approval at its next meeting.

Tammy Fulbright, Sr. Director, Financial Planning & Treasury, provided a brief overview of this issue, noting that, based upon current markets, a taxable advance refinancing of the Series 2013A Bonds could produce debt service savings of as much as \$35 million (in 2020 dollars), more than 25% of refinanced par, significantly higher than the MOA requirement. Although staff has generally been in favor of waiting to refund these bonds until their 2022 call date, the potential savings are at such a level today that they wanted to commence the process of seeking Board approval to undertake a refinancing if today's extraordinary market conditions persist.

A motion to approve this agenda item, as presented, was made by Commissioner Simmons and seconded by Commissioner Gully. **Motion passed unanimously.**

19. Treasury-Safekeeping Quarterly Accounts – Ending December 31, 2019

This item was removed from the Agenda.

20. Pension Plans, 401(k) Retirement Savings Program and OPEB Trust Investment Performance Update as of December 31, 2019

This item was removed from the Agenda.

21. Financial Statements

10:14 a.m. Tammy Fulbright, Sr. Director, Financial Planning & Treasury, provided an overview of this item, referencing the Combined Statement of Activities on page 9 of the report, and focusing on the Gateway Arch and Metro Transit numbers.

22. Treasurers Report

10:15 a.m. Tammy Fulbright, Sr. Director, Financial Planning & Treasury, provided a summary of December's results, from page 3 of the report.

23. Procurement Report 2nd Quarter – FY20

This item was removed from the Agenda.

(Note: Item #24 and Item #25 were addressed in a combined report.)

24. & 25. Metro Transit Operations Report & President & CEO Report

10:16 a.m. An operations report for Metro Transit was included in the Committee packet.

President & CEO Roach noted that he has authorized \$130,000 for expenses associated with conducting temperature forehead screenings of Metro operators as they report to work. He stated that they are putting every effort into place to protect our employees and our citizens, and he is hopeful that this will be in place on Monday.

Jessica Mefford-Miller, Executive Director of Metro Transit addressed service impacts related to the COVID-19 situation. She noted that, in the first half of the week, there was a decline in ridership on Metro Bus by 25% and 30% on MetroLink; in the past two days, that percentage has increased to 30% on Metro Bus. She stated that staff is currently compiling the numbers regarding MetroLink, but she anticipates that the ridership will have decreased by at least 40%. Paratransit demand has decreased approximately 25%. Ms. Mefford-Miller noted that service strategies will be discussed in Executive Session.

Ms. Mefford-Miller noted that across Bi-State, they have been working for weeks regarding the COVID-19 situation. Resources and information have been made available through the website, and Human Resources has updated new absence management policies, which have been distributed to employees. Updates will continue to be distributed to employees, as conditions change. She stated that Metro Transit is working to supply personal protective equipment to employees, especially front-line employees, which includes gloves, sanitizing products and wipes. She noted that they do not have masks available for employees; however, if an employee has access and wishes to wear a mask, it is being allowed. Ms. Mefford-Miller also noted increased cleaning at the facilities, as well as on the buses and trains. She stated that they are working on an economic sustainability strategy for the organization. As noted earlier, the FTA has authorized the use of Section 5307 capital funds to address these operational expenses, related to the COVID-19 situation. All COVID-19 operational expenses are being tracked, in the event of future reimbursement opportunities. She noted that Mary Lamie is working with federal and local organizations, lobbying for funding specific to public transit systems, with regard to COVID-19 expenses and reimbursement.

Commissioner Holman thanked Ms. Mefford-Miller for her report, and the steps that are being taken; however, she asked where are our vulnerabilities and what are we doing to lessen the fears of our transit riders. Ms. Mefford-Miller stated that the greatest vulnerability is that we are a service organization, of people helping people, and therefore, the greatest threat is person to person contact. She stated that she would like to discuss these issues in greater detail in Executive Session. Ms. Mefford-Miller noted that Metro Transit will need to make adjustments to its service level, and they are communicating with peers in other areas of the country, who are currently operating in the “isolation phase”, for input.

26. Unscheduled Business

10:26 a.m. There was no unscheduled business.

27. Call of Dates for Future Board and Committee Meetings

10:26 a.m. Due to the tentative nature of the schedule of upcoming meetings at this time, this item was not addressed.

28. Adjournment to Executive Session – If such action is approved by a majority vote of the Bi-State Development Agency’s Board of Commissioners who constitute a quorum, the Board may go into closed session to discuss legal, confidential, or privileged matters pursuant to Bi-State Development Board Policy Chapter 10, §10.080(D) Closed Records; Legal under §10.080(D)(1); Real Estate under §10.080(D)(2); Personnel under §10.080(D)(3); Health Proceedings under §10.080(D)(4); Employee Negotiations under §10.080(D)(5); Data Processing under §10.080(D)(6); Purchasing and Contracts under §10.080(D)(7); Proprietary Interest under §10.080(D)(8); Hotlines under §10.080(D)(9); Auditors under §10.080(D)(10); Security under §10.080(D)(11); Computers under §10.080(D)(12); Personal Access Codes under §10.080(D)(13); Personal Information under §10.080(D)(14); Insurance Information under §10.080(D)(15); Rail, Bus, or Facilities Safety and Accidents under §10.080(D)(16) or Protected By Law under §10.080(D)(17).

10:26 a.m. Committee Chair Holman requested a motion to allow the Committee to move into closed session as permitted under Bi-State Development Board Policy, Chapter 10, Section 10.080, (D) (1) – Legal and (D) (10) – Audit.

A motion to move into Executive Session was made by Commissioner Simmons and seconded by Commissioner Brown. A roll call vote was taken as follows:

Aliah Holman – Yea
Constance Gully – Yea
Vernal Brown – Yea
Rose Windmiller – Yea

Terry Beach – Yea
Derrick Cox – Yea
Irma Golliday – Yea
Herbert Simmons – Yea
Justin Zimmerman – Yea

Motion passed unanimously, and the Committee moved into executive session at 10:27 a.m. (Commissioner Zimmerman left the teleconference meeting at 10:27 a.m.)

29. Reconvene to Open Meeting

10:57 a.m. The Committee reconvened to the Open Meeting at approximately 10:57 a.m. Chair Holman noted that, per recent changes to Board Policy, no final action votes will be taken in a closed meeting or closed (executive) session. For items requiring final action, a roll call vote shall be taken in open session.

Commissioner Simmons made a motion to approve the Minutes from the January 24, 2020, Executive Session of the combined meeting of the Operations and Audit, Finance, & Administration Committee, as presented, as a closed record. The motion was seconded by Commissioner Gully.

Aliah Holman – Yea
Vernal Brown – Yea

Terry Beach – Yea
Irma Golliday – Yea

Rose Windmiller – Yea

Herbert Simmons – Yea

The motion passed.

Commissioner Simmons made a motion to approve the Draft Passenger Revenue - Farebox Audit. The motion was seconded by Commissioner Brown.

Aliah Holman – Yea
Constance Gully – Yea
Vernal Brown – Yea
Rose Windmiller – Yea

Terry Beach – Yea
Derrick Cox – Yea
Irma Golliday – Yea
Herbert Simmons – Yea

The motion passed.

Commissioner Simmons made a motion to approve the Draft Legal Fees Expense 5030301 Audit. The motion was seconded by Commissioner Brown.

Aliah Holman – Yea
Constance Gully – Yea
Vernal Brown – Yea
Rose Windmiller – Yea

Terry Beach – Yea
Derrick Cox – Yea
Irma Golliday – Yea
Herbert Simmons – Yea

The motion passed.

Commissioner Simons made a motion to approve the Restructuring of Financing Agreement for the Brentwood-Meridian Garage, as presented in Executive Session. The motion was seconded by Commissioner Brown.

Aliah Holman – Yea
Constance Gully – Yea
Vernal Brown – Yea
Rose Windmiller – Yea

Terry Beach – Yea
Derrick Cox – Yea
Irma Golliday – Yea
Herbert Simmons – Yea

The motion passed.

30. Adjournment

11:00 a.m. Chair Holman asked if there was any further business, and being none, Commissioner Gully made a motion to adjourn the meeting. The motion was seconded by Commissioner Brown. Unanimous vote in favor was taken. The motion passed, and the meeting was adjourned at approximately 11:00 a.m.

Bennett, Myra G.

From: Tom Sullivan <tsullivan@sullivanadv.net>
Sent: Thursday, February 27, 2020 7:23 PM
To: Bennett, Myra G.
Subject: Public Comments at Meetings

Follow Up Flag: Follow up
Flag Status: Flagged

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Ms. Bennett -- please forward to Bi-State Commissioners and the General Counsel --thanks -- Tom Sullivan



February 27, 2020

TO: Bi-State Board of Commissioners & General Counsel
FR: Tom Sullivan, 751 Syracuse, U.City, MO 63130, 314-727-2242
RE: Public Comments at Meetings

At the last board meeting there was a discussion of making changes in the procedure for signing up to speak and the time allotted. I think the only change that should be made is to allow speakers to sign in at the meeting as 48 hours notice is too much. I don't know of any governmental entity that has such a requirement.

As to the 5 minutes allowed to speak, I hope that stays the same. Mr. Roach mentioned how the St. Louis County Council has a three-minute limit. What he didn't mention is the problems it can cause. What happens is that people want to finish their remarks or statements even though their time is up. That leads to a conflict with the chair who is conducting the meetings. There has been a couple of times in the last few months where police officers have had to nudge speakers away from the lectern. I don't think you want that at your meetings.

The Metropolitan St. Louis Sewer District has 5 minutes for public comments as do most city councils. University City is the most liberal -- 5 minutes for each agenda item. So a citizen could speak for 15 minutes at a meeting if they had comments on three agenda items. Despite the policy, meetings move along pretty good.

What I would suggest is that you consider having board meetings on Saturday morning. That would allow more public input and presumably be more convenient for commissioners and citizens.

Thank you for considering my suggestions.

Copy: Taulby Roach

Bennett, Myra G.

From: Deertz, Brenda S. on behalf of Roach, Taulby
Sent: Wednesday, March 18, 2020 2:22 PM
To: Bennett, Myra G.
Subject: FW: Fare enforcement re: Coronavirus

Follow Up Flag: Follow up
Flag Status: Flagged

From: Mitch Eagles [mailto:mitch.eagles.stl@gmail.com]
Sent: Tuesday, March 17, 2020 3:54 PM
To: Roach, Taulby <TRoach@bistatedev.org>
Subject: Re: Fare enforcement re: Coronavirus

I appreciate the reply! It was perfectly informative, and I am sure things are very busy.

I'm glad to hear it's being taken under consideration, I think we can agree that so many of those riding the system are those who generally have no other option, and very many are seniors (and indeed, our system directly serves several medical centers!).

It'd an extraordinary measure, for sure, but my understanding of our security plan is that it doesn't rely on fare compliance so much as visible presence. Reducing several vectors of of transmission (e.g. cash, interaction with fare enforcement and bus drivers, people touching our fare machines all day) by making the system fare-free seems straightforward and could save lives, so I hope that Bi-State can lead on this policy.

Best,
Mitch Eagles
(515) 240.9213

On Tue, Mar 17, 2020 at 10:57 AM Roach, Taulby <TRoach@bistatedev.org> wrote:

Mr. Eagles-

I apologize that I have not gotten to a reply yet.

I will either read or forward your email in session at Friday's Sub Comm meeting.

We are considering this as a staff. Initially, I am not in favor of such a move based on the fundamental principals associated with our new security plan. However, today is certainly extraordinary time.

Normally, I would more thoroughly discuss this email in my reply but I am literally scrambling for every minute of time – yet I wanted at least to give you the courtesy of a reply (albeit brief).

Taulby Roach

President and Chief Executive Officer



One Metropolitan Square

211 North Broadway, Suite 700, St. Louis, MO 63102-2759

T 314.982.1588 | M 314.406.3063 | E troach@bistatedev.org

BiStateDev.org

From: Mitch Eagles <mitch.eagles.stl@gmail.com>

Date: Monday, March 16, 2020 at 3:09 PM

To: "Roach, Taulby" <TRoach@bistatedev.org>

Subject: Fare enforcement re: Coronavirus

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and are expecting the information.

Hi Taulby,

I'm writing you to urge you to suspend fare enforcement on Metro, both as an economic device to relax the strain on workers who rely on our transit system, but also to reduce our fare enforcement officers as a vector for the disease (since they interact rather closely with each person in the train car during their rounds).

The parking enforcement in the city has been suspended (<https://twitter.com/tishaura/status/1239633499675594759>), and I think it is only reasonable that transit fares and enforcement should follow suit. Fare revenue will be significantly down regardless with our state of emergency, and the FTA has stated they are allowing flexibility:

<https://www.transit.dot.gov/about/news/us-department-transportation-announces-increased-flexibility-help-transit-agencies>

I am asking you to show leadership here and ensure our system will not prove yet another strain on working people who rely on Metro during this crisis. I am sure you have moved all possible operations at Bi-State to remote work, and are taking utmost precautions for our transit workers as well.

It seems unlikely there will be an in-person April BSD meeting, so I suppose I'll see you when I see you! Thank you and Metro for continuing to operate through this crisis.

Best,

Mitch Eagles

P.S.

If the system should need to suspend service, is there a certain amount of notice transit riders should expect? I understand that there may not be a clear answer, but I was just curious.

--

Mitch Eagles

(515) 240.9213

This message has been scanned for malware by FORCEPOINT. www.forcepoint.com

Bennett, Myra G.

From: erdna williams <williamserdna@yahoo.com>
Sent: Friday, March 20, 2020 12:13 AM
To: Bennett, Myra G.
Subject: Question/Comment from LRV Operator Marland Williams

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and are expecting the information.

As a present employee..if layoffs are to be implemented..what percentage of Train Operators does management anticipate laying off?

Also how soon will these layoffs go into effect?

Lastly, what is the contingency plan to bring qualified operators back onto the premises once there is either a stabilization or uptick in ridership?

Open Session Item

5

**Bi-State Development Agency
Combined Operations Committee and
Audit, Finance & Administration Committee
Open Session Agenda Item
May 21, 2020**

From: Mark Vago, Chief Financial Officer
Subject: **Potential Refunding of Bonds Issued to Finance Arch Motor Set Replacements**
Disposition: Approval
Presentation: Mark Vago, Sr. Vice President & Chief Financial Officer;
Tammy Fulbright, Sr. Director Financial Planning & Treasury
Adam Pope (Columbia Capital Management, LLC)

Objective:

To present to the Audit, Finance and Administration Committee for discussion and referral to the Board of Commissioners for approval a resolution authorizing the refinancing (the Refunding) of BSD's Taxable Series 2014 Bonds issued in support of the Gateway Arch.

Background:

As of February 1, 2014, BSD and the National Park Service (NPS) executed the Jefferson National Expansion Memorial (JNEM) Fee Management and Services Agreements (the NPS Agreements) extending the unique partnership for the management of attractions at the JNEM. The NPS Agreements required separate written amendments to authorize BSD to issue bonds (the NPS Amendments).

The Agency's Compact permits it to issue bonds and provide for payment of principal and interest thereon out of the revenues derived from facilities owned or operated by BSD. In renegotiating the NPS Agreements, the parties contemplated that BSD would use this borrowing authority to issue bonds in support of replacement of the Arch Tram motor generator sets and related projects. As a result, BSD issued \$7,656,000 million in bonds in 2014 to finance the replacement of the motor generator sets and the roof over the then existing visitor center, part of the facility expansion realized by the City-Arch River project, along with required reserves and costs of issuance. Interest on the Arch Tram Bonds is subject to both Federal and Missouri state income tax.

BSD issued its 2014 Bonds on December 3, 2014, with the following terms:

- Bonds are repaid solely from Arch Tram revenues
- A direct purchase of the bonds by PNC Bank, National Association
- An amortization of 30 years
- A fixed-rate financing term of 10 years
- A delay in principal amortization to reduce stress on Arch finances during construction
- A provision to permit additional bonds to be issued in the future with the same security as this financing
- A debt service reserve fund maintained at roughly one year's debt service
- A BSD right to call the bonds prior to the expiration of the fixed rate financing term

Analysis:

Prior to the disruption of financial markets due to the COVID-19 pandemic, Columbia Capital Management, LLC, BSD's financial advisor, provided analysis that a refunding of the 2014 Bonds would produce debt service savings of approximately \$400,000 in 2020 dollars (markets as of early March). Due to the changes in financial markets in March and April, at this time, a refunding would not produce economic savings. If markets were to return to pre-pandemic levels, BSD's Multi Modal Enterprises staff, and local staff of the National Park Service, would be in favor of a refunding transaction.

BSD staff proposes authorization to refund all outstanding 2014 Bonds, replacing them with a 2020 series that does not change the amortization pattern or final maturity on the bonds. BSD staff requests authority to select a purchaser (the Purchaser) that would have the ability to execute the refunding transaction based on the terms specified and at a rate that would meet the savings parameters discussed below.

The plan of finance for the proposed refunding matches that of the 2014 Bonds. BSD pledges the net revenues of the Arch Tram operations as security to the bondholder, along with a debt service reserve fund maintained at the maximum debt service coming due in the then-current and any future year. **The net revenues of the Arch Tram system are the only Agency resources available to the bondholder.** The interest rate on the bonds will be fixed for approximately 10 years and the final maturity on the bonds will remain December 2044.

As in 2014, because the Purchaser will purchase the bonds directly, BSD will not need to secure a credit rating for the bonds or prepare an official statement, reducing both transaction costs and staff effort in executing the transaction.

The resolution before the Finance and Administration Committee seeks authorization for Execute Committee action to approve the financing subject to certain parameters, particularly the generation of an interest rate not to exceed 3.60%.

Committee Action Requested:

Management recommends that the Audit, Finance and Administration Committee approve, and forward to the Board of Commissioners for approval, this resolution to enable the Refunding of existing Arch Bonds.

Attachment:

Resolution of the Board of Commissioners of the Bi-State Development Agency of the Missouri-Illinois Metropolitan District Approving and Providing for the Refunding of Certain of BSD's Obligations

Funding Source:

Pursuant to Section 30.080, *Debt Issuance and Administration*, the Bi-State Development Agency of the Missouri-Illinois Metropolitan District is a body corporate and politic created and existing by reason of a joint compact (**Compact**) between the States of Missouri and Illinois (Sections 70.370 *et seq.* of the Revised Statutes of Missouri, as amended, and Illinois Compiled Statutes, Chapter 45, Act 100, as amended) and approved by the United States Congress and the President. The Powers to Issue Debt, *RSMo Section 70.373; 45 ILCS 110/1* was ratified by Congress as follows: Joint Resolutions of the United States Congress of August 31, 1950, 64 Stat. 568, Pub. L. No. 81-743; September 21, 1959, 73 Stat. 582, Pub. L. No. 86-303; September 30, 1985, 99 Stat. 477, Pub. L. No. 99-106; April 1, 1996, 110 Stat. 883, Pub. L. No. 104-125; December 19, 2011, 125 Stat. 775, Pub. L. No. 112-71. Bi-State Development is authorized to borrow money for any of the authorized purposes of BSD and to issue the negotiable notes, bonds or other instruments in writing of BSD in evidence of the sum or sums to be borrowed. The power to issue debt is vested in the Board of Commissioners.

As with the 2014 Bonds, the proposed Series 2020 Bonds will be repaid solely from the net revenues of the Arch Tram system.

Open Session Item 5
Attachment

**RESOLUTION OF THE BOARD OF COMMISSIONERS OF THE
BI-STATE DEVELOPMENT AGENCY OF THE MISSOURI-
ILLINOIS METROPOLITAN DISTRICT APPROVING AND
PROVIDING FOR THE REFUNDING OF CERTAIN OF THE
AGENCY'S OBLIGATIONS**

PREAMBLES:

- *Whereas*, the Bi-State Development Agency of the Missouri-Illinois Metropolitan District (the "Agency") is a body corporate and politic, created by an interstate compact (the "Compact") between the States of Missouri and Illinois, acting by and through its Board of Commissioners (the "Board"); and
- *Whereas*, the Agency is authorized by its Compact and by Mo. Rev. Stat. §§ 70.370 *et seq.* and 45 Ill. Comp. Stat. 100/1 *et seq.* to acquire by gift, purchase or lease, and to plan, construct, operate and maintain passenger transportation and rail facilities; to contract with municipalities or other political subdivisions for the services or use of any facility owned or operated by the Agency, or owned or operated by any such municipality or other political subdivision; to receive for its lawful activities any contributions or moneys appropriated by municipalities, counties, state or other political subdivisions or agencies, or by the Federal Government or any agency or officer thereof, and to perform all other necessary and incidental functions; and
- *Whereas*, the Agency is further authorized and empowered under the provisions of the Compact to issue bonds upon the security of the revenues to be derived from facilities owned and operated by it, or upon any property held or to be held by it; to borrow money for any of the authorized purposes of the Agency, and to issue the negotiable notes, bonds or other instruments in writing of the Agency in evidence of the sum or sums to be so borrowed; to provide that all negotiable notes, bonds or other instruments in writing issued shall be payable, both as to principal and interest, out of the revenues collected for the use of any facility or combination of facilities owned and operated by the Agency, or out of any other resources of the Agency, and may be further secured by a mortgage or deed of trust upon any property owned by the Agency; to prescribe the details of such notes, bonds or other instruments in writing, and of the issuance and sale thereof; and to enter into covenants with the owners of such notes, bonds or other instruments in writing, not inconsistent with the powers granted to the Agency, without further legislative authority; and
- *Whereas*, the Agency has previously issued its Taxable Arch Tram Revenue Bonds, Series 2014 (the "Series 2014 Bonds") on December 3, 2014 in the original principal amount of \$7,656,000; and
- *Whereas*, the Agency now desires to issue its Taxable Arch Tram Refunding Revenue Bonds, Series 2020 (the "Refunding Bonds") pursuant to the Compact and pursuant to a Master Trust Indenture dated as of December 1, 2014 (the "Master Indenture") and a Supplemental Trust Indenture No. 2 (the "Supplemental Indenture No. 2" and together with the Master Indenture and all other supplemental indentures, the "Indenture") to be entered into by and between the Agency and BOKF, N.A., as trustee (the "Trustee"), as successor

trustee to The Bank of New York Mellon Trust Company, N.A., in order to provide funds to defease and refund all of the outstanding Series 2014 Bonds (the “Refunding”); and

- *Whereas*, the terms of sale of the Refunding Bonds shall be specified in an agreement to be executed and delivered by the Agency and the purchaser thereof; and
- *Whereas*, it is feasible, necessary and in the public interest for the Agency to issue the Refunding Bonds in order to provide funds for the Refunding.

NOW, THEREFORE, THE BOARD OF COMMISSIONERS OF THE BI-STATE DEVELOPMENT AGENCY OF THE MISSOURI-ILLINOIS METROPOLITAN DISTRICT DOES HEREBY RESOLVE, DETERMINE AND ORDER AS FOLLOWS:

Section 1. Findings. The Board hereby finds and determines those matters set forth in the preambles hereof as fully and completely as if set out in full in this Section 1.

Section 2. Authorization of Refunding Bonds.

(a) The Board hereby approves the issuance, execution and delivery of the Refunding Bonds, subject to the terms and conditions set forth herein, for the purpose of (i) paying the costs of the Refunding, including a termination payment to the original purchaser of the Series 2014 Bonds, and (ii) paying the costs of issuance with respect to the Refunding Bonds.

(b) The Refunding Bonds, together with interest thereon, shall constitute special, limited obligations of the Agency and are payable solely out of the Pledged Revenues (as defined in the Indenture) and other funds to be pledged under the Indenture. The Refunding Bonds shall not be a debt of the National Park Service (as defined in the Indenture), the State of Missouri or the State of Illinois and none of the National Park Service, the State of Missouri, the State of Illinois, or any political subdivision of the State of Missouri or the State of Illinois shall be liable thereon nor in any event shall the Refunding Bonds be payable out of any funds or properties other than those acquired or pledged under the Indenture, and the Refunding Bonds shall not constitute an indebtedness within the meanings of any constitutional or statutory debt limitation or restriction. In addition, notwithstanding anything contained in the Indenture to the contrary, the Agency shall not have a general obligation for the payment of other amounts required to be paid by the Agency under the Indenture, such amounts to be payable only from the sources pledged pursuant to the Indenture for the payment of the Refunding Bonds, and neither the Trustee nor any holder of any Refunding Bonds shall bring or maintain any suit, action or other proceeding for judgment against the Agency for any payment required to be made under the Indenture, it being understood that the Trustee and the owners of the Refunding Bonds will look, insofar as the Agency is concerned, solely to the sources pledged pursuant to the Indenture for the payment of the Refunding Bonds for payment of all of the Agency’s obligations under the Indenture, and no other property or assets of the Agency shall be subject to levy, execution or other enforcement procedure for the satisfaction of the Agency’s obligations under the Indenture.

Section 3. Authorization of Executive Committee. The Board hereby authorizes its Executive Committee to act for and on behalf of the Board and the Agency to:

- (a) Determine and approve the final terms of the Refunding Bonds, including the interest rate or rates, the maturity amounts, the redemption provisions and redemption dates and other terms of the Refunding Bonds. The Refunding Bonds will be issued in an aggregate principal amount not to exceed \$7,850,000, representing the (i) costs of the Refunding, (ii) the costs of issuance of the Refunding Bonds and (iii) any amounts required to fund a

debt service reserve account for the Refunding Bonds if not funded from other available moneys; will mature no later than December 1, 2044 subject to prior prepayment at the option of the Agency; and will bear interest at a fixed rate that will not exceed 3.60% per annum.

- (b) Approve and execute the Supplemental Indenture No. 3 with the Trustee.
- (c) Approve and execute a continuing covenant agreement, purchase agreement, loan agreement or other similar document relating to the purchase of the Refunding Bonds, (the "Purchase Agreement"), between the Agency and the purchaser of the Refunding Bonds identified therein (the "Purchaser"). The Refunding Bonds shall be sold to the Purchaser at a purchase price of 100% of the par amount of the Refunding Bonds.

For purposes of this Resolution, a quorum of the Executive Committee shall consist of not less than two of the four members of the Executive Committee.

The approval of the Executive Committee shall be conclusively evidenced by a resolution, adopted by a unanimous vote of the members of the Executive Committee participating at a meeting for such purpose, setting forth the final terms of the Refunding Bonds and approving the final form of the above-listed documents. Such resolution is to be signed by the Chairman or Vice Chairman of the Executive Committee and attested by the Chief Financial Officer or Deputy Secretary of the Agency.

Section 4. Appointment of Successor Trustee. The Board hereby appoints BOKF, N.A. as successor trustee to The Bank of New York Mellon Trust Company, N.A. under and pursuant to the Indenture.

Section 5. Actions of Officers Authorized. The officers of the Agency, including the Chairman, Vice Chairman, Treasurer, Secretary and Deputy Secretary of the Board and the President and Chief Financial Officer of the Agency shall be, and they each hereby are, authorized and directed to execute and deliver all documents and take such actions as they may deem necessary or advisable in order to carry out and perform the purposes of this Resolution, giving direction to the Trustee to give notices of redemption of the Series 2014 Bonds, and the execution or taking of such action shall be conclusive evidence of such necessity or advisability.

Section 6. Partial Invalidity. If any term or provision of this Resolution, the Refunding Bonds or other document approved hereby or the application thereof for any reason or circumstance shall to any extent be held invalid or unenforceable, the remaining provisions or the application of such term or provision to persons in situations other than those as to which it is held invalid or unenforceable, shall not be affected thereby, and each remaining term and provision hereof and thereof shall be valid and enforced to the fullest extent permitted.

Section 7. Execution of Documents. The officers of the Agency, including the Chairman, Vice Chairman, Treasurer, Secretary and Deputy Secretary of the Board and the President and Chief Financial Officer of the Agency, are authorized and directed to execute and deliver all documents, including without limitation, the Supplemental Indenture No. 3 and the Purchase Agreement and to take such actions as they may deem necessary or advisable in order to carry out the purposes of this Resolution.

Section 8. Payment of Costs. The Chief Financial Officer of the Agency is hereby authorized and directed to pay or cause to be paid all costs, expenses and fees incurred in connection with or incidental to the Refunding and the issuance of the Refunding Bonds.

Section 9. No Personal Liability. No member of the Board, officer or employee of the Agency shall have any personal liability for acts taken in accordance with this Resolution.

Section 10. Effective Date. This Resolution shall become effective immediately upon its adoption.

ADOPTED, SIGNED AND APPROVED this 26th day of June, 2020.

**BOARD OF COMMISSIONERS OF THE
BI-STATE DEVELOPMENT AGENCY OF THE
MISSOURI-ILLINOIS METROPOLITAN
DISTRICT**

[SEAL]

Attest:

By: _____
Name: Aliah Holman
Title: Vice Chairman of the Board Commissioners

By: _____
Name: Vernal Brown
Title: Secretary of the Board of the Commissioners

**Bi-State Development Agency
Combined Operations Committee and
Audit, Finance & Administration Committee
Open Session Agenda Item
May 21, 2020**

From: Mary Lamie, Executive Vice President of Multi Modal Enterprises
Subject: **Letter of Agreement Between Bi-State Development and The Jerry Costello Group, LLC for Consulting Services for the St. Louis Regional Freightway and Bi-State Development**
Disposition: Approval
Presentation: Mary Lamie, Executive Vice President of Multi Modal Enterprises

Objective:

To present to the Combined Operations and the Audit and Finance Committee for consideration and referral to the Board of Commissioners (**Board**) for approval, a Letter of Agreement (**Agreement**) between BSD and The Jerry Costello Group, LLC (**Consultant**) for consulting services for the St. Louis Regional Freightway (**the Freightway**) and Bi-State Development (**BSD**), with terms in effect beginning April 1, 2020, through March 31, 2022.

Board Policy:

Per the Collected Board Policies of the Bi-State Development Agency of the Missouri-Illinois Metropolitan District, Chapter 50:

§50.010(A)(8): Definitions – “Non-Competitive Procurement” means a method by which goods and/or services are procured from a single offeror; and

§50.010(E)(1)(b): Award Authority – The Board of Commissioners shall approve the following procurements: Non-Competitive Procurements which exceed \$100,000.

Non-Competitive Procurements are also known as “sole-source” or “single-bid” contracts. This Agreement is a non-competitive procurement that exceeds \$100,000, and thus requires Board approval.

Funding Source:

The funding for this contract will be split between the Freightway operating budget and BSD Executive Services. Each cost center will contribute 50% of the total contract amount and of each invoice submitted by the Consultant.

Background:

The Consultant entered into an initial Letter of Agreement with BSD in the summer of 2015 as the Freightway was created and activities were getting underway.

Following the initial contract, the Consultant continued to work for the Freightway and BSD under two additional contracts. The most recent contract covered the period of April 1, 2018 through

March 31, 2020. On April 1, 2019, this contract was amended, lowering the Consultant's monthly fee. See **Exhibit 1** attached.

Analysis:

Consistent with the Agreement in place today, the Consultant will provide strategic advice and planning for the Freightway and BSD and work closely with the Executive Vice President of Multi Modal Enterprises and BSD Executive Staff, including the President and Chief Executive Officer. In addition to providing strategic planning and advice, the Consultant will coordinate activities between the Freightway and the U.S. Secretary of Transportation and its office, as well as with members of the U.S. Congress and other government officials in the St. Louis region.

The Consultant will also work with class I railroads in the St. Louis region to identify strategies to fund Freightway projects. Former Congressman Jerry Costello will be the lead person for the Consultant.

The proposed Agreement provides these services at the same rate of \$9,000 per month and would be in effect for twenty-four (24) months, starting on April 1, 2020, through March 31, 2022. See **Exhibit 2** attached.

Committee Action Requested:

It is requested that the Operations Committee accept, and refer to the Board of Commissioners for approval, the Letter of Agreement between BSD and The Jerry Costello Group, LLC for consulting services for the Freightway and BSD, with terms in effect for a twenty-four (24) month period of April 1, 2020, through March 31, 2022.

Attachments:

Exhibit 1: Existing Letter of Agreement with The Jerry Costello Group, LLC

Exhibit 2: Draft Letter of Agreement with The Jerry Costello Group, LLC

Exhibit 1



THE JERRY COSTELLO GROUP, LLC

Jerry F. Costello
Member of Congress
1988 - 2013

LETTER OF AGREEMENT

This Letter of Agreement ("Agreement") between The Bi-State Development Agency of the Missouri-Illinois Metropolitan District ("Client"), and The Jerry Costello Group, LLC ("Consultant") defines the terms of their relationship.

Client retains Consultant to advise Client and work with other parties as necessary to promote the Client's goals, interests and objectives regarding the Regional Freight Project. Client will keep Consultant fully apprised of the current issues and objectives of the Client.

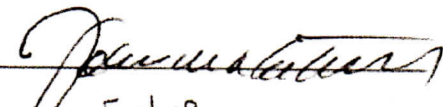
Client and Consultant agree that Jerry F. Costello will be primarily responsible for the performance of the services referred to in this Agreement, however, as needed, Consultant is allowed to retain third party consultants to provide assistance in performing the Agreement, at no cost to the Client, unless agreed upon by the Client.

Consultant will devote time and resources necessary in performing the services described in this Agreement. Consultant shall have full discretion in selecting the dates and times necessary to complete the terms of the agreement giving due regard to the needs of the Client.

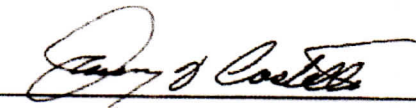
The term of this agreement shall commence on April 1, 2018 and continue through March 31, 2020.

Client agrees to pay Consultant a monthly fee of ~~\$10,000~~ ^{9,000.00 TR}. Monthly fees will be paid on the 1st business day of each month, starting on April 1, 2018. A monthly invoice will be mailed to the Client. The client agrees to pay Consultant any reasonable expenses the Consultant deems necessary in accomplishing the terms of this Agreement. Such expenses shall be documented and submitted to the Client for reimbursement within 60 days after the expenses were incurred. The Client shall reimburse the Consultant within 30 days of the date the request for reimbursement is received by the Client. Total expenses shall not exceed \$1000 in any month unless expressly permitted by the Client in advance.

This agreement is not a contract of employment. Consultant is an independent contractor of the Client and shall have no authority to obligate the Client. Consultant shall have the exclusive right to determine the method, manner and means by which it will perform the Agreement.


Date: 5-4-18


John Nations
Bi-State Development Agency of the
Missouri-Illinois Metropolitan District
211 N. Broadway, Suite 700
St. Louis, MO 63102


Date: 3/26/18

Jerry F. Costello
The Jerry Costello Group, LLC
144 Lincoln Place Court
Suite 3
Belleville, IL 62221
thejerrycostellogroup@gmail.com
618-234-6701 - office
618-971-6200 - cell

Addendum to Agreement - April 1, 2019

Per verbal agreement between Taulby Roach, President & CEO and Jerry Costello, Consultant, the monthly rate will now be \$9,000.00 a month. Please affirm with your signature and return to our office.


Taulby Roach, President


Jerry F. Costello

Open Session Item 6

Exhibit 2



THE JERRY COSTELLO GROUP, LLC

Jerry F. Costello

Member of Congress
1988 – 2013

LETTER OF AGREEMENT

This Letter of Agreement ("Agreement") between The Bi-State Development Agency of the Missouri-Illinois Metropolitan District ("Client"), and The Jerry Costello Group, LLC ("Consultant") defines the terms of their relationship.

Client retains Consultant to advise Client and work with other parties as necessary to promote the Client's goals, interests and objectives regarding both Bi-State Development and the St. Louis Regional Freightway. Client will keep Consultant fully apprised of the current issues and objectives of the Client.

Client and Consultant agree that Jerry F. Costello will be primarily responsible for the performance of the services referred to in this Agreement, however, as needed, Consultant is allowed to retain third party consultants to provide assistance in performing the Agreement, at no cost to the Client, unless agreed upon by the Client.

Consultant will devote time and resources necessary in performing the services described in this Agreement. Consultant shall have full discretion in selecting the dates and times necessary to complete the terms of the agreement giving due regard to the needs of the Client.

The term of this amended agreement shall commence on April 1, 2020 and continue through March 31, 2022.

Client agrees to pay Consultant a monthly fee of \$9,000. Monthly fees will be paid on the 1st business day of each month, starting on April 1, 2020. A monthly invoice will be mailed to the Client. The client agrees to pay Consultant any reasonable expenses the Consultant deems necessary in accomplishing the terms of this Agreement. Such expenses shall be documented and submitted to the Client for reimbursement within 60 days after the expenses were incurred. The Client shall reimburse the Consultant within 30 days of the date the request for reimbursement is received by the Client. Total expenses shall not exceed \$1000 in any month unless expressly permitted by the Client in advance.

This agreement is not a contract of employment. Consultant is an independent contractor of the Client and shall have no authority to obligate the Client. Consultant shall have the exclusive right to determine the method, manner and means by which it will perform the Agreement.

Date: _____

Taulby Roach
Bi-State Development Agency of the
Missouri-Illinois Metropolitan District
211 N. Broadway, Suite 700
St. Louis, MO 63102

Date: _____

Jerry F. Costello
The Jerry Costello Group, LLC
138 Lincoln Place Court
Suite 101
Belleville, IL 62221
thejerrycostellogroup@gmail.com
618-234-6701 – office
618-971-6200 - cell

Open Session Item

7

**Bi-State Development Agency
Combined Operations Committee and
Audit, Finance & Administration Committee
Open Session Agenda Item
May 21, 2020**

| | |
|----------------------|--|
| From: | Jessica N. Mefford-Miller, Executive Director, Metro Transit |
| Subject: | Contract Modification: Extension of Contract with The Harlan Company for Installation of Brentwood Bus Garage Oil and Water Separator |
| Disposition: | Approval |
| Presentation: | Christopher C. Poehler, Assistant Executive Director – Engineering, Greg Smith, Vice President Purchasing, Materials Management and Supplier Diversity, Timothy F. Nittler, Director of Capital Projects |

Objective:

To present to the Operations Committee, for discussion and referral to the Board of Commissioners for approval, a request to authorize the President & CEO to execute a contract modification with The Harlan Company for the continuation of construction services of the Oil-Water Separator at the Brentwood Bus Garage.

Background:

On July 16, 2018, Metro issued a Request for Sealed Bids (18-SB-105225-CB) for the construction of a new replacement Oil-Water Separator at the Brentwood Bus Garage. The construction firm, The Harlan Company, was the low bidder and was awarded the construction contract, and the Notice to Proceed was issued on March 21, 2019, with a completion date of November 30, 2019. The original contract award amount was \$404,800.

As part of the construction contract, The Harlan Company was tasked with developing a design of a system that would be suitable to be installed at the facility. The Harlan Company commenced with design activities and requested a meeting with City of Richmond Heights Building Permit officials.

Through no fault of The Harlan Company, the meeting with the City Building Permit staff did not occur until the fall of 2019, with a follow up meeting held in February 2020. The Harlan Company now has developed a design that will be permitted by the City of Brentwood. Construction of the improvements will begin in late spring, 2020. The original contract and the contract modification are based on lump sum prices. The Harlan Company is requesting a contract extension as defined in Contract Article 1.09, Contract Extensions.

Analysis

As a result of this recent event, BSD's Procurement has prepared a contract modification to extend the period of performance up to 180 days (November 30, 2019, to May 31, 2020), in accordance with Section 00 72 13, General Conditions, Article 1.09.A.; however, additional time is still required, to allow The Harlan Company to complete the installation of the Oil-Water Separator as originally contracted.

Committee Action Requested:

Management recommends that the Operations Committee accept, and forward to the Board of Commissioners for approval, this request to allow Metro to issue a second contract modification to The Harlan Company, which will extend the period of performance from May 31, 2020 to September 30, 2020.

Funding Source:

Funding will be provided from approved FTA formula funds and Prop M funds for local match.

**Bi-State Development
Combined Operations Committee and
Audit, Finance and Administration Committee
Open Session Agenda Item
May 21, 2020**

| | |
|----------------------|--|
| From: | Jessica N. Mefford-Miller, Executive Director, Metro Transit |
| Subject: | Contract Award – Project Support Services by Center for Transportation and the Environment |
| Disposition: | Approval |
| Presentation: | Darren Curry, Chief Mechanical Officer; Christopher Poehler, Asst. Exec. Director Engineering Systems |

Objective:

To present to the Operations Committee, for discussion and referral to the Board of Commissioners for approval, a request to contract with the Center for Transportation and the Environment (CTE) for additional Project Support Services, as needed, throughout the initial implementation of the Battery Electric Bus (BEB) fleet.

Background:

Bi-State Development / Metro Transit is in the process of procuring an initial fleet of BEBs to partially replace the fleet of diesel buses currently in operation, and also the charging systems to enable efficient operation of these assets. This initial fleet will consist of fourteen (14) New Flyer, sixty foot, articulated BEBs and four (4) Gillig, forty foot, BEBs. Gradual implementation of this fleet is expected to start in fall 2020 and continue into spring 2021.

Metro utilized guidance from CTE in the early stages of developing this project and partnered with them in applying for grant funding under the FTA's Low-Mo Emissions Program. CTE provides a wealth of technical and practical knowledge, having been involved in numerous similar BEB implementations throughout the country. CTE also provided the scope for the charging system implementation and is contracted to provide technical support for the initial construction efforts. CTE's continued support through commissioning, startup, validation of operating assumptions, and establishing appropriate operating parameters will be an important element of Metro's successful project.

Analysis:

For an analysis of BEB battery sizing and expected performance, Metro issued a sole source contract previously to CTE for \$29,000. For installation of infrastructure, Metro contracted previously with CTE for development of the charging infrastructure scope and for project support services through the initial phases of construction, for a total of \$99,537. CTE has proposed to provide additional support services to now support both those portions of the project, as needed, for an amount not expected to exceed **\$128,150**, which does include a 10% contingency. This amount is considered fair and reasonable, and this is within the project budget.

Committee Action Requested:

Management recommends that the Operations Committee accept, and forward to the Board of Commissioners for approval, this request to authorize the President and CEO to enter into an additional sole source contract with CTE for Project Support Services, not exceeding **\$128,150**.

Funding Source:

This project is supported by FTA Section 5339 funds, with Prop M funds as the local match.

**Bi-State Development Agency
Combined Operations Committee and
Audit, Finance & Administration Committee
Open Session Agenda Item
May 21, 2020**

From: Jessica N. Mefford-Miller, Executive Director, Metro Transit
Subject: **Contract Award- WSP USA Inc. On-Call General Engineering Consultant Consulting Services Multiple Disciplines (Architectural Services, Mechanical, Civil and Structural Engineering, Surveying, Environmental, Geotechnical Services and Material Testing)**
Disposition: Approval
Presentation: Jessica N. Mefford-Miller, Executive Director Metro Transit;
Christopher C. Poehler, Assistant Executive Director of Engineering Systems;
Larry Jackson, Executive Vice President of Administration;
Gregory A. Smith, Vice President of Procurement & Inventory Management

Objective:

To present to the Operations Committee, for discussion and referral to the Board of Commissioners, a request for approval to enter into a contract with WSP USA, Inc., to provide On-Call Architectural and Engineering services. The contract consist of three base years and two, one year option periods. Option years will be awarded at the discretion of Bi-State Development (BSD).

Background:

The past years have proven our increasing need for engineering support of our projects. This On-Call General Engineering Consultant (GEC) Contract will allow us to request such services as independent cost estimating, support of design and construction projects, performing feasibility studies as well as developing plans for maintenance projects.

The current On-Call GEC Consulting Services contract is being increasingly relied upon to supply engineering support for a range of BSD projects. During the current contract period of performance, which consists of three base years and one option year, BSD issued task orders totaling \$2.5-\$3 million for GEC services.

On February 7, 2020, BSD issued Solicitation 20-RFP-106674-CG - On Call GEC Consulting Services/Multiple Disciplines in the area of Architectural Services, Mechanical, Civil and Structural Engineering, Surveying, Environmental, Geotechnical Services and Material testing from qualified engineering firms.

Technical Proposals were due March 24, 2020; a total of eight (8) proposals were received.

Analysis:

In response to the solicitation, eight (8) technical proposals were received, reviewed, and forwarded to the evaluation team, which consisted of four (4) individuals within BSD Engineering Division. The Procurement of an A&E firm will be based on the Brooks Method as defined in 40 U.S.C. 541, Brooks Act.

The Brooks Act requires that:

- a. Qualifications be evaluated.
- b. Price be excluded as an evaluation factor.
- c. Negotiations be conducted with only the most qualified offeror.
- d. Failing agreement of price, negotiations with the next most qualified offeror be conducted until contract award be made to the most qualified offeror whose price is fair and reasonable.

The technical proposals were evaluated based on the below pre-determined technical criteria listed in order of importance:

1. Technical Competence
2. General Experience of Personnel
3. Team Experience
4. Capacity
5. Utilization of Disadvantage Business Enterprise

On April 13, 2020, a consensus meeting was conducted with the evaluation team.
The table below indicates the consensus technical scores.

| Firm | Consensus Technical Scores | Ranking of Firms |
|--------------------|---------------------------------------|-------------------------|
| WSP | 426.25 | 1st |
| TWM | 410.00 | 2nd |
| HDR | 406.25 | 3rd |
| HNTB | 395.63 | 4th |
| Oates & Associates | 390.00 | 5th |
| Juneau | 338.13 | 6th |
| Ross & Baruzzini | 301.25 | 7th |
| Heideman | 263.75 | 8th |

The highest possible attainable score was 500.

The primary objective of the evaluation process is to select for award the highest ranked Technical Proposal from a responsible and responsive Offer.

Based on the above scores, the committee determined WSP USA, Inc. is the most qualified firm to provide services to BSD engineering staff in support of the agency's in-house projects. On April 24, 2020, a cost proposal, which consisted of hourly rates was requested from the highest ranked firm, WSP Inc. BSD staff members will negotiate the hourly rates with the Consultant. Each individual task order will be negotiated based on these approved rates.

Committee Action Requested:

Management recommends that the Operations Committee accept, and forward to the Board of Commissioners for approval, this request to authorize the President and CEO to enter into a contract with WSP USA, Inc., for three base years and award each option year in the amount not to exceed **\$2,500,000.**

Funding Source:

Funding will be provided from approved FTA formula funds and Prop M funds for local match.

**Bi-State Development Agency
Combined Operations Committee and
Audit, Finance & Administration Committee
Open Session Agenda Item
May 21, 2020**

From: Charles Stewart – Executive Vice President - Organizational Effectiveness;
Larry Jackson – Executive Vice President - Administration
Subject: **Emergency Contract Award for Employee Temperature Screenings –Vobis
Sanus LLC (DBA BrightStar Care)**
Disposition: Approval
Presentation: David Toben, Director of Benefits;
Gregory A. Smith, Vice President - Procurement, Materials Management

Objective:

To present to the Audit, Finance and Administration Committee, for discussion and referral to the Board of Commissioners for approval, a request to approve an emergency contract award for employee temperature screenings to Vobis Sanus LLC (DBA BrightStar Care).

Background:

In response to the COVID-19 pandemic, senior leadership initiated an employee safety program to screen employee body temperatures upon reporting to work. It was recommended that these occur through a clinically trained third party vendor to help ensure proper temperature screening protocols were followed, in addition to providing privacy and confidentiality for employees. These screenings are also required for any vendor, contractor or visitor entering a BSD facility and occur 24x7.

The Bi-State Development (BSD) Organizational Effectiveness division quickly sought out temporary medical staffing vendors. On March 18, 2020, a total of three (3) vendors responded to the outreach. Elite Medical Staffing, Medical Staffing Network and BrightStar Care. Pricing obtained ranged from \$65 per hour for registered nurses to \$30 per hour for certified nursing assistants and/or home health aides.

BrightStar Care was selected, as they were able to immediately provide resources to begin screenings by Monday March 23, 2020 at an acceptable cost per hour between \$30 and \$35. Daily costs were estimated at \$4,500 predicated on having one, vendor provided screener at seven designated locations, paired with a transit service manager (TSM) and security personnel to manage flow and social distancing practices.

During the week of March 23rd, it became apparent that the TSM resources would be needed elsewhere to keep the system operating, and that available security resources would end with the termination of the Securitas contract on March 31. BrightStar Care was asked to increase staffing to compensate and manage the entire screening process. A second screener was added to each location to manage social distancing and flow along with providing back up support. A clinically trained field supervisor was deployed to manage staffing, provide additional back-up, troubleshoot issues, replace thermometers and deliver personal protection equipment (PPE) and most

importantly to ensure clinical protocols were being followed and scans were being delivered effectively and safely. Daily costs for this increased coverage was estimated at \$11,000.

Analysis:

A cost summary of the first six weeks follows and has stabilized at approximately \$77,000 per week.

| Period | Amount |
|-------------------------|---------------------|
| Week 1 – 3/23 thru 3/29 | \$38,245.73 |
| Week 2 – 3/30 thru 4/5 | \$66,441.45 |
| Week 3 – 4/6 thru 4/12 | \$84,617.06 |
| Week 4 – 4/13 thru 4/19 | \$77,971.18 |
| Week 5 – 4/20 thru 4/26 | \$76,453.75 |
| Week 6 – 4/27 thru 5/3 | \$77,485.06 |
| Total | \$421,214.23 |

Maintaining the program at existing staffing levels through the end of May will require funding of \$746,000. Management realizes that this is not a sustainable solution and is in the process of sourcing a technology based solution, such as a temperature screening thermographic camera product, designed to detect elevated skin-surface temperatures that could be installed at points of entry to our facilities as well as potentially for customers using the transit system. We will need on-going funding approved for our current screening process until such time as technology is employed to replace the manual screening.

Committee Action Requested:

Management recommends that the Audit, Finance and Administration Committee accept, and forward to the Board of Commissioners for approval, a request that the President & CEO be authorized to continue funding the current employee temperature screening process as needed, while providing cost updates at each Board meeting.

Funding Source:

Funding is provided through operating funds and will be reimbursed through FEMA or the CARES Act.

Open Session Item

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**Bi-State Development Agency
Combined Operations Committee and
Audit, Finance & Administration Committee
Open Session Agenda Item
May 21, 2020**

From: Jessica Mefford-Miller – Executive Director – Metro Transit
Larry Jackson – Executive Vice President – Administration
Subject: **Emergency Contract Award – DH Pace Company, Inc. for Employee Temperature Screening Gateways**
Disposition: Approval
Presentation: Andrew Ghiassi, Director of Safety; David Toben, Director of Benefits

Objective:

To present to the Operations Committee, for discussion and referral to the Board of Commissioners, a request to approve an emergency contract award for employee temperature screening equipment necessary to allow us to transition away from manual screening.

Background:

In response to the COVID-19 pandemic, senior leadership initiated an employee safety program to screen employee body temperatures upon reporting to work. It was recommended that these occur through a clinically trained third party vendor to help ensure proper temperature screening protocols were followed in addition to providing privacy and confidentiality for employees. These screenings are also required for any vendor, contractor or visitor entering a BSD facility and occur 24x7.

Bi-State Development staff has reviewed equipment available in the market at this time that can be employed to reduce the manual effort of the existing temperature screening process. Technology is rapidly developing and the array of products to meet this need will continue to prove themselves and become viable in the coming months.

Analysis:

Management has determined that of the products currently available in the market, the Temperature Monitoring Portal Model B from DH Pace provides a product and technology from which we can start to automate our employee temperature screening process. This equipment is new to the US market and we have been able to obtain 7 units for deployment at our operating facilities. These units will be available for installation in late May/early June after which we will integrate into our employee badging and other systems.

Committee Action Requested:

Management recommends that the Operations Committee approve and forward to the Board of Commissioners for approval, a request that the President & CEO be authorized to execute a contract for the purchase of 7 Temperature Monitoring Portals from DH Pace Company, Inc. in an amount of \$114,100.

Funding Source:

Funding is provided through operating funds and will be reimbursed through the CARES Act.

Open Session Item

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**Bi-State Development Agency
Combined Operations Committee and
Audit, Finance & Administration Committee
Open Session Agenda Item
May 21, 2020**

From: Kevin Scott, General Manager of Field Security
Subject: Agreement with St. Clair County, Illinois Transit District
Disposition: Approval
Presentation: Kevin Scott, General Manager of Field Security

Objective:

To present to the Committee, a request to accept and forward to the Board of Commissioners for approval, a request to enter into an Agreement (“Agreement”) with the St. Clair County, Illinois Transit District (“District”) allowing BSD to assume responsibility for managing the dates, locations, times, and duties of all St. Clair County Sheriff secondary patrols on BSD facilities and conveyances within the District’s boundaries and to reimburse BSD for its respective share of providing such secondary St. Clair County Sheriff secondary patrols.

Background:

This Agreement is for the consolidation of secondary law enforcement resources spent for BSD facilities and conveyances within the St. Clair County, Illinois Transit District boundaries. St. Clair County Sheriff’s Deputies, who are currently part-time secondary law enforcement employees of BSD, will be coordinated by BSD to staff selected posts within the District.

Analysis:

This Agreement allows for the District to reimburse BSD for its respective share of the cost of providing secondary law enforcement security services on BSD facilities and conveyances within the District’s boundaries not to exceed \$365,000.00 for a one (1) year term. This will include any cost in excess of the current 5th and Missouri secondary law enforcement security detail, which is currently funded and managed by BSD.

Committee Action Requested:

Management recommends that the Committee accept, and forward to the Board of Commissioners for approval, a request to enter into an Agreement with the St. Clair County, Illinois Transit District, allowing for the consolidation of resources spent for BSD facilities and conveyances within the St. Clair County, Illinois Transit District boundaries.

Attachments:

1. Draft Agreement with St. Clair County, Illinois Transit District for the consolidation of secondary law enforcement resources.

Funding Source:

BSD General Operating Budget reimbursed by the St. Clair County, Illinois Transit District.

COOPERATIVE AGREEMENT

This COOPERATIVE AGREEMENT (hereinafter the “Agreement”) entered into on this _____ day of April, 2020, by and between the BI-STATE DEVELOPMENT AGENCY OF THE MISSOURI-ILLINOIS METROPOLITAN DISTRICT (“Agency”) and the ST. CLAIR COUNTY TRANSIT DISTRICT (“District”), (each a “Party” and collectively, the “Parties”).

RECITALS

WHEREAS, Agency is a legally constituted body corporate and politic created and existing by reason of a joint compact between the States of Missouri and Illinois which is codified at Section 70.370 et seq. of the Missouri Revised Statutes, as amended, and 45 ILCS 100/1 et seq. of the Illinois Compiled Statutes, as amended and ratified by the United States Congress (the “Compact”); and

WHEREAS, District is a mass transit district organized pursuant to the Mass Transit District Act of the State of Illinois and provides and manages transportation services for fifteen townships in St. Clair County, Illinois (collectively, the “District Boundaries”);

WHEREAS, the Agency’s authority to own and operate passenger transportation systems includes St. Clair County, Illinois, and the Agency is the owner of certain transit facilities that include MetroLink and MetroBus stations and routes within the District Boundaries (the “Agency Facilities and Conveyances”);

WHEREAS, Agency and District desire to enter into an agreement to share the costs for the provision of security services in/on the Agency Facilities and Conveyances within the District Boundaries;

WHEREAS, pursuant to a Memorandum of Understanding with St. Clair County, Illinois, on behalf of the St. Clair County Sheriff’s Department (“County”), dated November 11, 2019, Agency contracts with County for the engagement of off-duty St. Clair County Sheriff’s Department deputy sheriffs to provide secondary law enforcement protection and presence on the Agency’s facilities and conveyances, including its light rail system (the “Secondary MOU”);

WHEREAS, the Parties agree that District will reimburse Agency for its respective share of the cost of the Secondary MOU.

NOW THEREFORE, in consideration of the premises and for the other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the Parties agree as follows:

1. Security Cooperation. Agency and District acknowledge and agree that Agency entered into specific elements of the Secondary MOU in furtherance of the Parties’ collective purpose of the security of the Agency Facilities and Conveyances within District Boundaries. Agency shall be responsible for managing the dates, locations, times and

duties of all County Sheriff secondary security patrols on Agency Facilities and Conveyances within District Boundaries pursuant to the Secondary MOU.

2. Allocation of Resources. The Parties hereby agree to consolidate resources spent on security for Agency Facilities and Conveyances within District Boundaries. District agrees to reimburse Agency for its respective share of the costs of providing secondary security services on Agency Facilities and Conveyances within District Boundaries in an amount not to exceed \$365,000 (“Services Fees”) for a one (1) year term. The District’s respective share of the costs of providing secondary security services will be those costs in excess of the costs for providing the secondary services detail at the 5th and Missouri, MetroLink location.
3. Payment. Agency shall be the sole contracting entity with County. As such, Agency shall remit payment directly to County for all consideration owed pursuant to the Secondary MOU. Agency shall furnish District with a monthly invoice summarizing the District’s respective secondary law enforcement services provided within District Boundaries and the District’s Services Fees payable, based on the rates approved by the Agency and County pursuant to the Security MOU, for the current billing period. Within 15 calendar days of such invoice, District shall remit payment to Agency.
4. Term; Termination. The term of this Agreement shall commence on the date of its execution by the Parties and shall remain in effect for one (1) year, unless terminated earlier in accordance with this Agreement. Thereafter, this Agreement shall automatically renew for additional, successive one (1) year period, unless either Party expresses an intent not to renew no less than thirty (30) days prior to the expiration of the Agreement. Either Party may terminate their participation in this Agreement at any time, for any reason, upon thirty (30) days written notice to the other. In the event that any Party gives notice of non-renewal pursuant to this Section, this Agreement will expire at the end of the then-current term. Notwithstanding the foregoing, any renewal period may be terminated in accordance with this Section. Upon any termination, District shall reimburse Agency for the secondary law enforcement services provided within District Boundaries pursuant to the Secondary MOU prior to the effective date of such termination.
5. Indemnity. To the extent permitted by applicable law, each Party (“Indemnitor”) shall indemnify and hold harmless the other Party, including any of their affiliated entities and their respective boards, members, managers, trustees, employees, officers, shareholders, agents and partners, successors and assigns, from and against any and all claims, or suits for damages, liability, loss, expenses, causes of action and judgments (including, but not limited to, reasonable attorneys’ fees and legal expenses in connection with defending against any such action, suit or claim) arising from any wrongful or negligent act or omission or breach of this Agreement by the Indemnitor or its affiliated entities, boards, members, managers, trustees, employees, officers, shareholders, agents, partners, successors, or assigns.
6. Notices. All notices, invoices or other communication required or desired to be given hereunder shall be in writing and shall be deemed duly delivered and received (a) upon

receipt or refusal of delivery, when delivered personally; (b) the day deposited with the U.S. Postal Service, when sent certified or registered mail (postage prepaid), return receipt requested; and (c) the day deposited with Federal Express or other overnight courier service (charges prepaid) when sent next-day delivery; as follows (or to such other addressee or address as shall be set forth in a notice given in the same manner):

If to Agency:

Bi-State Agency of the Missouri-Illinois Metropolitan District
One Metropolitan Square
211 North Broadway, Suite 700
St. Louis, MO 63102
Attention: President & CEO

With copy to: General Counsel
Email: baenneking@bistatedev.org

If to District:

St. Clair County Transit District
27 North Illinois
Belleville, IL 62220
Attention: Director of Human Resources
Email: hetling@scctd.org

With copy to: Managing Director
Email: ksharkey@scctd.org

7. Counterparts; Facsimile, Electronic Transmittal. This Agreement may be executed in counterparts, each of which shall constitute an original. This Agreement may be signed and transmitted electronically; the signature of any person on an electronically transmitted copy hereof shall be considered an original signature; and an electronically transmitted copy hereof shall have the same binding effect as an original signature on an original document. At the request of any Party hereto, any electronic copy of this Agreement shall be re-executed in original form. No Party hereto may raise the use of electronic mail or the fact that any signature was transmitted through the use of electronic mail as a defense to the enforcement of this Agreement.
8. Binding Agreement. This Agreement shall be binding and inure to the benefit of the Parties hereto. The covenants and agreements of the Parties hereunder shall survive the expiration or termination of this Agreement.
9. Amendment. This Agreement may only be amended upon written agreement of the Parties hereto.

Signature page follows

IN WITNESS WHEREOF, this Agreement has been executed as of the day and year first written above.

**Bi-State Development Agency of the
Missouri-Illinois Metropolitan District**

St. Clair County Transit District

By: _____

Name

Title

By: _____

Name

Title

DRAFT

**Bi-State Development Agency
Combined Operations Committee and
Audit, Finance & Administration Committee
Open Session Agenda Item
May 21, 2020**

From: Gregory Smith, VP Procurement & Inventory Management
Subject: **Sole Source Contracts for Hardware and Software Maintenance**
Disposition: Approval
Presentation: Gregory A. Smith, Vice President of Procurement & Inventory Management;
Kerry Kinkade, Vice President & Chief Information Officer

Objective:

To present to the Audit, Finance and Administration Committee, for approval and recommendation to the Board of Commissioners, a request for sole source contracts for Hardware and Software Maintenance as budgeted for FY 2021.

Background:

Bi-State Development (BSD) has made significant investment in its technology platforms to enhance all aspects of our businesses. Each of these systems require continued support from the manufacturer/developer, to ensure the software functions as expected and remains current with technology updates. When software products are initially licensed and deployed, BSD enters into software maintenance agreements with the manufacturer/developer that ensure the necessary support is available. These software maintenance agreements provide for:

- 1) Correction of “bugs” discovered after software delivery;
- 2) Enhancement of the software to improve performance and maintainability;
- 3) Adaptive maintenance to ensure continued efficient operation in changing operational environments; and
- 4) Emergency support to correct issues that may interfere with the efficient operation of the software.

Contracts for technology hardware and software maintenance have historically been submitted to the Board individually as needed. During the October 2013 Operations Committee meeting, it was suggested that BSD consider revising this approach in favor of an annual approval of the required contracts. This has since become our adopted standard approach.

Analysis:

BSD’s FY 2021 Operating Budget allocates approximately \$3,002,000 for costs associated with hardware and software maintenance contracts. Most of the contracts are less than \$100,000 per year, therefore, do not require approval of the Board of Commissioners. There are six providers, however, whose annual costs are anticipated to exceed the \$100,000 threshold which requires Board approval.

| <u>Supplier</u> | <u>Product Description</u> | <u>Annual Cost</u> |
|------------------------|------------------------------------|---------------------------|
| Kronos | Employee Timekeeping System | \$ 145,000 |
| Oracle | Financial/ERP System | \$ 558,000 |
| Scheidt and Bachmann | Farebox Management System | \$ 328,000 |
| Giro | Operator Dispatch System | \$ 138,000 |
| Tableau | Business Analytics & Reporting | \$ 136,000 |
| Trapeze | Operations & Customer Svc. Systems | \$ 1,029,000 |

BSD staff shall analyze each situation prior to entering into any contracts to verify the continued need and appropriate level of support. Negotiations will be conducted with the contractor to ensure reasonableness of cost.

Committee Action Requested:

It is requested that the Audit, Finance and Administration Committee accept, and forward to the Board of Commissioners for approval, this request for FY 2021 funding of Sole Source Contracts for Hardware and Software Maintenance.

Funding Source:

Hardware and software maintenance is budgeted in the annual operating budget and funded through local sales tax appropriations.

**Bi-State Development Agency
Combined Operations Committee and
Audit, Finance & Administration Committee
Open Session Agenda Item
May 21, 2020**

From: Andrew J Ghiassi, Director of Safety
Subject: Metro Transit's Agency Safety Plan
Disposition: Approval
Presentation: Andrew J Ghiassi, Director of Safety

Objective:

To submit to the Operations Committee, for consideration and recommendation to the Board of Commissioners for approval, Metro Transit's Agency Safety Plan, which meets and is responsive to the FTA's Public Transportation Agency Safety Program (PTASP) requirements, as set forth by 49 CFR Part 673.

Background:

MAP-21 (Pub. L. 112-141 (2012)) amended Federal Transit Law by requiring a new Public Transportation Safety Program at 49 U.S.C. § 5329. Pursuant to Section 5329(b), the Public Transportation Safety Program must include a Public Transportation Safety Plan to improve the safety of all public transportation systems that receive Federal transit funds. Metro Transit is required to certify that it has a safety plan meeting the requirements of the rule by July 20, 2020.

Analysis:

Metro Transit's Agency Safety Plan guides the effort in managing safety risks and hazards within our transportation system. The plan includes the following elements:

1. Processes and procedures for establishing a Safety Management System, which consists of four main elements: (1) Safety Management Policy, (2) Safety Risk Management, (3) Safety Assurance, and (4) Safety Promotion.
2. Performance targets based on the safety performance criteria established under the National Public Transportation Safety Plan (49 CFR 673.11(a)(3)).
3. Addresses all applicable requirements and standards as set forth in the FTA's Public Transportation Safety Program and the National Public Transportation Safety Plan (49 CFR 673.11(a)(4)).
4. Establishes a process and timeline for conducting an annual review and update of the Public Transportation Agency Safety Plan (49 CFR 673.11(a)(5)).

Committee Action Requested:

Management recommends that the Operations Committee accept, and refer to the Board of Commissioners for approval, Metro Transit's Agency Safety Plan.

Funding Source:

No funding request is being made with this action. Metro Transit's Office of Safety will review and operate the plan under a principle of continuous improvement to reflect changes in Metro Transit's organization, procedures, equipment, facilities, and operating environment.

Attachments:

1. Metro Transit's Agency Safety Plan

Open Session Item 14
Attachment

Metro Transit Agency Safety Plan

The following document is available
on the Bi-State Development website:

[Metro Transit Agency Safety Plan 2020](#)

**Bi-State Development
Combined Meeting of the Operations/
Audit, Finance & Administration Committee
Open Session Agenda Item
May 21, 2020**

From: Angela M. Staicoff, CPA
Interim Audit Executive
Subject: **Treasury-Safekeeping Quarterly Accounts –Ending December 31, 2019**
Briefing Paper No. 20-20
Disposition: Information
Presentation: Angie Staicoff, Interim Audit Executive

Objective:

To provide the Committee with the results of the Treasury - Safekeeping Quarterly Accounts Audit, ending December 31, 2019.

Background:

In accordance with the FY2020 Internal Audit Plan and the requirements of the Board Policy, the Internal Audit Department (**IAD**) performed a quarterly audit of the Treasury Safekeeping Accounts.

IAD reviewed the Treasurer's Report, as of December 31, 2019, to identify the Securities classified under the Safekeeping Accounts criteria. Each bank custodian, where the Safekeeping Accounts are held, was contacted to verify the existence of the Securities and to confirm the account balances.

For financial statements issued after June 15, 2015, the Government Accounting Standards Board (**GASB**) Statement No. 72 *Fair Value Measurement and Application* requires investments to be measured at fair value. GASB defines fair value to be the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date.

Analysis:

In applying the new GASB Statement No. 72 *Fair Value Measurement and Application*, IAD performed an examination of each bank/safekeeping custodian's account confirmations as compared with the fair values for each investment presented in the Treasurer's Report dated December 31, 2019.

At December 31, 2019, BSD had approximately 28.3 million in US Treasury and Government Agency Securities. Sales tax capital represented \$19.4 million, Self-Insurance funds consisted of approximately \$2.4 million, internally restricted funds represented \$2 million, and FTA funds represented \$4.5 million.

Conclusion:

IAD has determined that the Safekeeping Accounts exist, and the respective balances have been fairly presented. A summary of our findings is presented in the attached table.

Committee Action Requested:

This material is presented for information only; therefore, no action is required of the Committee.

Funding Source:

Funding is provided through the Internal Audit Operating Budget.

Attachment:

1. Safekeeping Quarterly Accounts Audit – Ending December 31, 2019.

Internal Audit Department
INDEPENDENT VERIFICATION for SAFEKEEPING ACCOUNTS
Ending December 31, 2019

ATTACHMENT

| The Treasurer's Report as of December 31, 2019, indicates the following U. S. Securities held in Agency Safekeeping Accounts: | | | | |
|---|---|---|--------------------|----------------------------|
| Issuer-Investment (Bank Safekeeping Agent) | Treasurer's Report Balance Stated At Market Value | Confirmed Balance Per Bank Agent Stated At Fair Value | Variance | Description/Disposition |
| Farm Credit Bank (Bank of America) | \$11,492,496.00 | \$11,492,496.75 | \$0.75 | |
| Federal Home Loan (Bank of America) | \$8,503,435.00 | \$8,503,434.90 | -\$0.10 | |
| U.S. Treasury Bills (Bank of America) | \$5,998,068.00 | \$5,998,068.00 | \$0.00 | |
| U.S. Treasury Bills (Jefferson Bank & Trust) | \$2,366,662.00 | \$2,405,000.00 | \$38,338.00 | JBT Confirms at par value. |
| Total | \$28,360,661.00 | \$28,398,999.65 | \$38,338.65 | |

GASB No. 72 = For financial statements issued after June 15, 2015, the Government Accounting Standards Board (**GASB**) Statement No. 72 *Fair Value Measurement and Application* requires investments measured at fair value. GASB defines fair value to be the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date.

**Bi-State Development
Combined Meeting of the Operations/
Audit, Finance & Administration Committee
Open Session Agenda Item
May 21, 2020**

From: Angela M. Staicoff, CPA
Interim Audit Executive
Subject: **Treasury-Safekeeping Quarterly Accounts –Ending March 31, 2020**
Briefing Paper No. 20-25
Disposition: Information
Presentation: Angela M. Staicoff, Interim Audit Executive

Objective:

To provide the Committee with the results of the Treasury - Safekeeping Quarterly Accounts Audit, ending March 31, 2020.

Background:

In accordance with the FY2020 Internal Audit Plan and the requirements of the Board Policy, the Internal Audit Department (**IAD**) performed a quarterly audit of the Treasury Safekeeping Accounts.

IAD reviewed the Treasurer's Report, as of March 31, 2020, to identify the Securities classified under the Safekeeping Accounts criteria. Each bank custodian, where the Safekeeping Accounts are held, was contacted to verify the existence of the Securities and to confirm the account balances.

For financial statements issued after June 15, 2015, the Government Accounting Standards Board (**GASB**) Statement No. 72 *Fair Value Measurement and Application* requires investments to be measured at fair value. GASB defines fair value to be the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date.

Analysis:

In applying the new GASB Statement No. 72 *Fair Value Measurement and Application*, IAD performed an examination of each bank/safekeeping custodian's account confirmations as compared with the fair values for each investment presented in the Treasurer's Report dated March 31, 2020.

At March 31, 2020, BSD had approximately \$51 million in US Treasury and Government Agency Securities. Sales tax capital represented \$37.5 million, Self-Insurance funds consisted of approximately \$2.5 million, capital tower lease represented \$1 million, and FTA funds represented \$10 million.

Conclusion:

IAD has determined that the Safekeeping Accounts exist, and the respective balances have been fairly presented. A summary of our findings is presented in the attached table.

Committee Action Requested:

This material is presented for information only; therefore, no action is required of the Committee.

Funding Source:

Funding is provided through the Internal Audit Operating Budget.

Attachment:

1. Treasury Safekeeping Quarterly Accounts Audit – Ending March 31, 2020.

Open Session Item 16
Attachment 1

Internal Audit Department
INDEPENDENT VERIFICATION for SAFEKEEPING ACCOUNTS
 Ending March 31, 2020

ATTACHMENT

| The Treasurer's Report as of March 31, 2020, indicates the following U. S. Securities held in Agency Safekeeping Accounts: | | | | |
|--|---|---|------------|-------------------------|
| Issuer-Investment (Bank Safekeeping Agent) | Treasurer's Report Balance Stated At Market Value | Confirmed Balance Per Bank Agent Stated At Fair Value | Variance | Description/Disposition |
| Farm Credit Bank (Bank of America) | \$10,501,753.00 | \$10,501,752.14 | -\$0.86 | |
| Federal Home Loan (Bank of America) | \$15,010,019.00 | \$15,010,019.35 | \$0.35 | |
| U.S. Treasury Bills (Bank of America) | \$22,992,623.00 | \$22,992,622.50 | -\$0.50 | |
| U.S. Treasury Bills (Jefferson Bank & Trust) | \$2,403,502.00 | \$2,405,000.00 | \$1,498.00 | JBT confirms at Cost. |
| Total | \$50,907,897.00 | \$50,909,393.99 | \$1,496.99 | |

GASB No. 72 = For financial statements issued after June 15, 2015, the Government Accounting Standards Board (**GASB**) Statement No. 72 *Fair Value Measurement and Application* requires investments measured at fair value. GASB defines fair value to be the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date.

**Bi-State Development
Combined Meeting of the Operations/
Audit, Finance & Administration Committee
Open Session Agenda item
May 21, 2020**

From: Angela M. Staicoff, CPA
Interim Audit Executive
Subject: **Internal Audit Follow-Up Summary – 3rd Quarter FY2020**
Briefing Paper No. 20-26
Disposition: Information
Presentation: Angela Staicoff, Interim Audit Executive

Objective:

To present to the Committee the Internal Audit Department's (**IAD's**) Follow-Up Summary Findings regarding the status of prior Recommendations during the 3rd Quarter Fiscal Year (**FY**) 2020.

Background:

The Standards for the Professional Practice of Internal Auditing, Standard 2500 – Monitoring Process, states that, “The Chief Audit Executive should establish and maintain a system to monitor the disposition of audit results communicated to management.” To ensure compliance with this standard, the IAD regularly monitors the status of recommendations.

The Audit Follow-Up Executive Summary Report, on the following page, is a comprehensive overview highlighting the current implementation status of recommendations issued in prior audit reports. Each Recommendation has been reviewed and its status has been classified as follows:

- **Completed** – The recommendation has been implemented.
- **Outstanding** – The recommendation has not yet been implemented, and/or the implementation date has not occurred yet.
- **Overdue** – The recommendation remains outstanding past the established implementation date.

The report should be used to determine the timeliness and the completeness of the implementation of corrective action. Management should place specific focus on those Recommendations that are determined to be overdue.

In response to the InterCompany Account Balances Audit that was presented to the Board of Commissioners on September 27, 2019, it was suggested that IAD inform the Board of Commissioners of the InterCompany Account Balances quarterly.

Below is the status of the InterCompany Account Balances as of March 31, 2020.

Entities that are owed money:

- Transit was due \$6,309,075 from others;
- The Worker's Compensation Internal Service Fund was due \$988,005 from others;
- The Health and Welfare Internal Service Fund was due \$64,047 from others; and
- The Casualty Internal Service Fund was due \$14,296 from others.

Entities that owe money to others:

- The Gateway Arch owed others \$5,488,575;
- Riverfront Attractions owed others \$1,390,378;
- The St. Louis Regional Freightway owed others \$368,649;
- Executive Services owed others \$63,566;
- The St. Louis Downtown Airport owed others \$39,099;
- The Research Institute owed others \$22,941; and
- Arts-In-Transit owed others \$2,215.

Funding Source:

Funding is provided through the IAD Operating Budget.

Committee Action Requested:

This material is presented for information only; therefore, no action is required of the Committee.

Third Quarter-FY2020 Audit Follow-Up Executive Summary

| Report Name | Number of Recommendations | Completed | Outstanding – Not Overdue | Overdue |
|---|----------------------------------|------------------|----------------------------------|----------------|
| Compliance & Fraud Helpline Audit | 5 | 4 | 1 | 0 |
| InterCompany Account Balances Audit | 7 | 5 | 2 | 0 |
| ADA “No Show” Policy Audit | 7 | 4 | 3 | 0 |
| Fare Collection System Project Audit | 2 | 2 | 0 | 0 |
| Small Purchase Requisition Audit | 7 | 2 | 5 | 0 |
| Accounts Receivables Core Credits Audit | 3 | 1 | 2 | 0 |
| Passenger Revenue-Working Funds Count Audit | 5 | 5 | 0 | 0 |
| Casualty Claims Audit | 19 | 15 | 4 | 0 |
| Contract Services - Vehicle Maintenance Audit | 3 | 3 | 0 | 0 |
| Benefits in Arrears Audit | 12 | 12 | 0 | 0 |
| Payroll Hours of Service Audit | 14 | 11 | 3 | 0 |
| Accounts Receivables Audit | 5 | 5 | 0 | 0 |
| Drug and Alcohol Program Testing Audit - FY2017 | 11 | 10 | 1 | 0 |
| ID Badge Access Audit | 19 | 19 | 0 | 0 |
| Fuel Inventory Audit | 6 | 6 | 0 | 0 |
| SSO-Safety Data Collection & Analysis Audit | 3 | 3 | 0 | 0 |
| SSO-Compliance, Federal, State and Local Requirements Audit | 5 | 3 | 2 | 0 |
| SSO-Drug & Alcohol Testing Audit | 15 | 14 | 1 | 0 |
| TOTAL | 148 | 124 | 25 | 0 |

COMPLETED FOLLOW-UP AUDIT REPORTS:

1. SSO-Safety Data Collection & Analysis Audit- Closed 4th Quarter-FY2019
2. SSO-Safety Policy Audit-Closed 4th Quarter-FY2019
3. SSO-Safety Goals and Objectives Audit- Closed 4th Quarter-FY2019
4. Passenger Revenue-Working Funds Count Audit- Closed 4th Quarter-FY2019
5. Fare Collection System Project 1279 Audit- Closed 4th Quarter-FY2019
6. ID Badge Access Audit- Closed 4th Quarter-FY2019
7. Fuel Inventory Audit- Closed 1st Quarter-FY2020
8. Benefits in Arrears Audit-Closed 2nd Quarter-FY2020

**Bi-State Development
Combined Meeting of the Operations/
Audit, Finance & Administration Committee
Open Session Agenda Item
May 21, 2020**

From: Angela M. Staicoff, CPA
Interim Audit Executive
Subject: **Internal Audit Status Report – 3rd Quarter FY2020**
Briefing Paper No. 20-27
Disposition: Information
Presentation: Angela M. Staicoff, Interim Audit Executive

Objective:

To present to the Committee the Internal Audit Department's (**IAD**) Status Report for the 3rd Quarter Fiscal Year (**FY**) 2020.

Background:

The Internal Audit Status Report provides the Board of Commissioners, the Audit, Finance and Administration Committee members and Senior Management with a summary of the IAD's quarterly activity pertaining to the Annual Audit Plan.

In addition to tracking the status of current audits and special projects, this report also highlights the follow-up activity related to the implementation of recommendations from prior audits. The IAD highlights for the 3rd Quarter FY2020 are as follows:

- IAD issued a solicitation for the audit of the Bi-State Development Fuel Hedging Program. The contract was awarded to BKD CPAs & Advisors and commenced on March 16, 2020.
- Effective March 18, 2020, IAD staff began working remotely from home in response to the COVID-19 pandemic.

Committee Action Requested:

This material is presented for information only; therefore, no action is required of the Committee.

Funding Source:

Funding is provided through the Internal Audit Operating Budget.

Attachments:

1. Internal Audit Status Report – 3rd Quarter FY2020
2. Internal Audit Status Report – Special Projects – 3rd Quarter FY2020

**Bi-State Development
Internal Audit Department**

**3rd Quarter Status Report
Ending March 31, 2020**

Attachment 1

| Fiscal Year 2020 - Audit Plan | Start Date | Completion Date | Status | Completion Rate (Percentage) | Budget | Hours Previous Periods | Hours This Quarter | Total Hours | Hours Remaining |
|--|-------------------|------------------------|---------------|-------------------------------------|-----------------|-------------------------------|---------------------------|--------------------|------------------------|
| Audit Follow - Up | July 2019 | | Ongoing | 64.88% | 620.00 | 318.75 | 83.50 | 402.25 | 217.75 |
| Quarterly IAD Status Report | July 2019 | | Ongoing | 29.79% | 240.00 | 49.50 | 22.00 | 71.50 | 168.50 |
| Quarterly Treasury Safekeeping Audit | July 2019 | | Ongoing | 20.10% | 240.00 | 38.25 | 10.00 | 48.25 | 191.75 |
| On-Call Bi-State Research Institute Consulting Services - IAD Time | December 2016 | | In Progress | 69.58% | 240.00 | 167.00 | 0.00 | 167.00 | 73.00 |
| Passenger Revenue - Pass Unit | February 2018 | August 2019 | Complete | 100.00% | 1,000.00 | 938.50 | 0.00 | 938.50 | 61.50 |
| Self-Funded Insurance Medical Claims Audit - IAD Time | July 2018 | January 2020 | Complete | 100.00% | 120.00 | 86.25 | 1.50 | 87.75 | 32.25 |
| Due To and Due From Inter-Company Account Balance Audit | December 2018 | August 2019 | Complete | 100.00% | 480.00 | 416.75 | 0.00 | 416.75 | 63.25 |
| Fuel Hedging Program Audit - IAD Time | January 2019 | | In Progress | 62.08% | 240.00 | 118.00 | 31.00 | 149.00 | 91.00 |
| Disadvantaged Business Enterprise (DBE) - "Mock" Triennial Audit | June 2019 | | In Progress | 93.89% | 720.00 | 496.50 | 179.50 | 676.00 | 44.00 |
| Bi-State Research Institute Audit | May 2019 | | In Progress | 60.83% | 120.00 | 63.25 | 9.75 | 73.00 | 47.00 |
| Compliance & Fraud Helpline Audit | March 2019 | August 2019 | Complete | 100.00% | 240.00 | 239.75 | 0.00 | 239.75 | 0.25 |
| Passenger Revenue - Fare Box Audit | June 2019 | March 2020 | Complete | 100.00% | 280.00 | 231.25 | 21.50 | 252.75 | 27.25 |
| ADA "No Show Policy" Audit | June 2019 | October 2019 | Complete | 100.00% | 240.00 | 220.25 | 0.00 | 220.25 | 19.75 |
| Subtotal Audit Hours | | | | | 4,780.00 | 3,384.00 | 358.75 | 3,742.75 | 1,037.25 |

| Fiscal Year 2020 - Audit Plan | Start Date | Completion Date | Status | Completion Rate (Percentage) | Budget | Hours Previous Periods | Hours This Quarter | Total Hours | Hours Remaining |
|--|-------------------|------------------------|---------------|-------------------------------------|-----------------|-------------------------------|---------------------------|--------------------|------------------------|
| Office Supply Program Audit | June 2019 | January 2020 | Complete | 100.00% | 240.00 | 196.50 | 0.50 | 197.00 | 43.00 |
| Cortex MetroLink Station Project Audit | July 2019 | | In Progress | 24.24% | 360.00 | 9.50 | 77.75 | 87.25 | 272.75 |
| Procurement Card Audit | November 2019 | | In Progress | 78.05% | 320.00 | 82.25 | 167.50 | 249.75 | 70.25 |
| Facility Lost & Found Audit | | | | 0.00% | 240.00 | 0.00 | 0.00 | 0.00 | 240.00 |
| Customer Service Audit | | | | 0.00% | 360.00 | 0.00 | 0.00 | 0.00 | 360.00 |
| Freightway USDOL Grant - Truck Driver Apprentice Program Audit | | | | 0.00% | 160.00 | 0.00 | 0.00 | 0.00 | 160.00 |
| Legal Expenses Audit | September 2019 | March 2020 | Complete | 100.00% | 240.00 | 178.00 | 32.50 | 210.50 | 29.50 |
| Embark Safety Audit | | | | 0.00% | 120.00 | 0.00 | 0.00 | 0.00 | 120.00 |
| Small Grants Audit | | | | 0.00% | 240.00 | 0.00 | 0.00 | 0.00 | 240.00 |
| Failed DOT Medical Exams Audit | December 2019 | | In Progress | 85.80% | 280.00 | 15.00 | 225.25 | 240.25 | 39.75 |
| Arch and Riverboat Ticket Sales Audit | | | | 0.00% | 360.00 | 0.00 | 0.00 | 0.00 | 360.00 |
| St. Louis Downtown Airport Revenue Audit | | | | 0.00% | 240.00 | 0.00 | 0.00 | 0.00 | 240.00 |
| DataServ Audit | | | | 0.00% | 120.00 | 0.00 | 0.00 | 0.00 | 120.00 |
| Shift Pick Audit | | | | 0.00% | 240.00 | 0.00 | 0.00 | 0.00 | 240.00 |
| Customer Contact Responsiveness Audit | | | | 0.00% | 240.00 | 0.00 | 0.00 | 0.00 | 240.00 |
| Subtotal Audit Hours | | | | | 3,760.00 | 481.25 | 503.50 | 984.75 | 2,775.25 |

**Bi-State Development
Internal Audit Department**

**3rd Quarter Status Report
Ending March 31, 2020**

Attachment 1

| Fiscal Year 2020 - Audit Plan | Start Date | Completion Date | Status | Completion Rate (Percentage) | Budget | Hours Previous Periods | Hours This Quarter | Total Hours | Hours Remaining |
|--|-------------------|------------------------|---------------|-------------------------------------|-----------------|-------------------------------|---------------------------|--------------------|------------------------|
| Armored Car Contract Audit | | | | 0.00% | 240.00 | 0.00 | 0.00 | 0.00 | 240.00 |
| Worker's Compensation Self-Insurance Fund Audit | March 2020 | | In Progress | 3.61% | 360.00 | 0.00 | 13.00 | 13.00 | 347.00 |
| Payroll Hours of Service Audit | | | | 0.00% | 360.00 | 0.00 | 0.00 | 0.00 | 360.00 |
| Ticket Vending Machines (TVM's) Audit | | | | 0.00% | 240.00 | 0.00 | 0.00 | 0.00 | 240.00 |
| FY 2021 Annual Audit Plan & Risk Assessment | March 2020 | | In Progress | 11.46% | 120.00 | 0.00 | 13.75 | 13.75 | 106.25 |
| Passenger Revenue - Bus Special Service (Red Bird Express) | February 2020 | March 2020 | Completed | 100.00% | 120.00 | 0.00 | 53.50 | 53.50 | 66.50 |
| Grand Total Annual Audit Plan | | | | | 9,980.00 | 3,865.25 | 942.50 | 4,807.75 | 5,172.25 |

| Fiscal Year 2020 - Audit Plan Summary On-Call Contract Audit Hours | Start Date | Completion Date | Status | Completion Rate (Percentage) | Budget | Hours Previous Periods | Hours This Quarter | Total Hours | Hours Remaining |
|---|-------------------|----------------------------|---------------|---|-----------------|---------------------------------------|-----------------------------------|------------------------|----------------------------|
| Fuel Hedging Program Audit - On-Call Contract | March 2020 | | In Progress | 10.05% | 480.00 | 0.00 | 48.25 | 48.25 | 431.75 |
| Research Institute Consulting - On-Call Contract | April 2017 | | In Progress | 67.83% | 480.00 | 325.60 | 0.00 | 325.60 | 154.40 |
| Self-Funded Insurance Medical Claims Audit - On-Call Contract | February 2019 | January 2020 | Complete | 100.00% | 620.00 | 574.25 | 7.75 | 582.00 | 38.00 |
| Total On-Call Contract Audit Hours | | | | | 1,580.00 | 899.85 | 56.00 | 955.85 | 624.15 |

| Fiscal Year 2020 Special Projects | Start Date | Completion Date | Status | Completion Rate (Percentage) | Budget | Hours Previous Periods | Hours This Quarter | Total Hours | Hours Remaining |
|---|-------------------|------------------------|---------------|-------------------------------------|---------------|-------------------------------|---------------------------|--------------------|------------------------|
| Board Meeting / Audit Committee Preparations and Meetings | | | Ongoing | | | 214.75 | 135.50 | 350.25 | |
| CEO Meetings/Projects | | | Ongoing | | | 16.25 | 0.00 | 16.25 | |
| DBE Application Review | | | Ongoing | | | 27.00 | 45.50 | 72.50 | |
| IAD Audit Research / Reading | | | Ongoing | | | 115.50 | 58.00 | 173.50 | |
| Records Retention | | | Ongoing | | | 129.50 | 69.50 | 199.00 | |
| Training & Professional Development | | | Ongoing | | | 213.50 | 127.25 | 340.75 | |
| Total Special Projects Hours | | | | | 0.00 | 716.50 | 435.75 | 1,152.25 | 0.00 |

**Bi-State Development
Combined Meeting of the Operations/
Audit, Finance & Administration Committee
Open Session Agenda Item
May 21, 2020**

From: Angela M. Staicoff, CPA
Interim Audit Executive
Subject: **Internal Audit State Safety Oversight Status Report – 1st Quarter
Calendar Year 2020**
Briefing Paper No. 20-28
Disposition: Information
Presentation: Angela M. Staicoff, Interim Audit Executive

Objective:

To present to the Committee the Internal Audit Department's (**IAD's**) State Safety Oversight (**SSO**) Status Report for the 1st Quarter of Calendar Year 2020.

Background:

The Internal Audit Status Report provides the Board of Commissioners, the Audit, Finance and Administration Committee members and Senior Management with a summary of the IAD's quarterly activity pertaining to the Federal Transit Administration (**FTA**) Required Rail Security and Safety, 2019 - SSO Audit Work Plan.

This status report tracks all current SSO Audits and special projects completed during the 1st Quarter. The following Audits were submitted, approved and closed by the State Safety Oversight (**SSO**) Committee on March 31, 2020.

- SSO-Security Training & Certification Audit
- SSO-Safety Accident & Incident Investigations Audit

The following “Draft” Audits were completed by SSO Internal Auditor, Gary Smith and approved by the Executive Safety and Security Committee (**ESSC**) on February 24, 2020. These Audit Reports are currently being reviewed by the Bi-State –State Safety Oversight Committee (**BSSO**).

- Draft SSO-Security Data Collection & Analysis Audit
- Draft SSO-Security Incident Notification & Investigation Audit

The following Audits were initiated by SSO Internal Auditor, Gary Smith, during the 1st Quarter and are awaiting responses from the Department of Engineering.

- SSO-System Modification Review and Approval Audit
- SSO- Configuration Management Audit

During this 1st Quarter, Mr. Smith completed the “2019 Annual State Safety and Security Oversight Review and Certification Audit” on February 01, 2020, and submitted to the BSSO. The approval letter for the Certification Audit Report was submitted to Jessica Mefford-Miller, Executive Director of Metro, on February 28, 2020.

During this 1st Quarter, Mr. Smith also assisted the Bi-State Development (**BSD**) Department of Safety by assisting the Department of Safety in preparing documentation and scheduling monthly meetings for the BSD Executive Safety and Security Committee (**ESSC**).

Committee Action Requested:

This material is presented for information only; therefore, no action is required of the Committee.

Funding Source:

Funding is provided through the Internal Audit Operating Budget.

Attachment:

1. Internal Audit SSO Status Report – 1st Quarter Calendar Year 2020

Open Session Item 19
Attachment 1

| Calendar Year 2020 - Audit Plan | Start Date | Completion Date | Status | Completion Rate (Percentage) | Budget | Hours Previous Periods | Hours This Quarter | Total Hours | Hours Remaining |
|---|-------------------|------------------------|---------------|-------------------------------------|-----------------|-------------------------------|---------------------------|--------------------|------------------------|
| SSO CAP Preparation & Follow-up | January 2020 | | Ongoing | 8.13% | 240.00 | 0.00 | 19.50 | 19.50 | 220.50 |
| SSO Audit Plans | January 2020 | | Ongoing | 40.39% | 320.00 | 0.00 | 129.25 | 129.25 | 190.75 |
| SSO Meetings / Executive Safety & Security Committee Meetings | January 2020 | | Ongoing | 53.96% | 120.00 | 0.00 | 64.75 | 64.75 | 55.25 |
| Training & Professional Development | January 2020 | | Ongoing | 0.00% | 320.00 | 0.00 | 0.00 | 0.00 | 320.00 |
| FTA Required Rail Security Audit - Security Data Collection & Analysis | July 2019 | | In Progress | 76.77% | 240.00 | 155.00 | 29.25 | 184.25 | 55.75 |
| FTA Required Rail Safety Audit - Security Incident Notification & Investigation | July 2019 | | In Progress | 81.25% | 240.00 | 166.25 | 28.75 | 195.00 | 45.00 |
| FTA Required Rail Safety Audit - Control, Review & Approval of SSPP | | | | 0.00% | 120.00 | 0.00 | 0.00 | 0.00 | 120.00 |
| FTA Required Rail Safety Audit - System Modification Review & Approval | October 2019 | | In Progress | 56.77% | 240.00 | 48.25 | 88.00 | 136.25 | 103.75 |
| FTA Required Rail Security Audit - Management Structure | | | | 0.00% | 120.00 | 0.00 | 0.00 | 0.00 | 120.00 |
| FTA Required Rail Safety Audit - Emergency Management Program | | | | 0.00% | 80.00 | 0.00 | 0.00 | 0.00 | 80.00 |
| FTA Required Rail Safety Audit - Rules Compliance | | | | 0.00% | 100.00 | 0.00 | 0.00 | 0.00 | 100.00 |
| FTA Required Rail Safety Audit - Facility & Equipment Safety Inspections | | | | 0.00% | 120.00 | 0.00 | 0.00 | 0.00 | 120.00 |
| FTA Required Rail Security Audit - Configuration Management | October 2019 | | In Progress | 44.17% | 240.00 | 34.25 | 71.75 | 106.00 | 134.00 |
| FTA Required Rail Safety Audit - Drug & Alcohol Program | September 2019 | | In Progress | 1.09% | 320.00 | 3.50 | 0.00 | 3.50 | 316.50 |
| Subtotal Audit Hours | | | | | 2,820.00 | 407.25 | 431.25 | 838.50 | 1,981.50 |

| Calendar Year 2020 - Audit Plan | Start Date | Completion Date | Status | Completion Rate (Percentage) | Budget | Hours Previous Periods | Hours This Quarter | Total Hours | Hours Remaining |
|--|-------------------|------------------------|---------------|-------------------------------------|-----------------|-------------------------------|---------------------------|--------------------|------------------------|
| FTA Required Rail Safety Audit - Procurement Process | | | | 0.00% | 80.00 | 0.00 | 0.00 | 0.00 | 80.00 |
| SSO Litigation Support | December 2019 | | In Progress | 10.52% | 240.00 | 22.25 | 3.00 | 25.25 | 214.75 |
| PTASP - Audit Plan | January 2020 | | In Progress | 28.33% | 240.00 | 0.00 | 68.00 | 68.00 | 172.00 |
| Grand Total Annual Audit Plan | | | | | 3,380.00 | 429.50 | 502.25 | 931.75 | 2,448.25 |

**Bi-State Development Agency
Combined Operations Committee and
Audit, Finance & Administration Committee
Open Session Agenda Item
May 21, 2020**

From: Charles A. Stewart, Jr., EVP, Organizational Effectiveness
Subject: **Pension Plans, 401(k) Retirement Savings Program and OPEB Trust Investment Performance Update as of December 31, 2019**
Disposition: Information
Presentation: Charles A. Stewart, Jr., EVP, Organizational Effectiveness

Objective:

To present to the Combined Operations Committee and Audit, Finance and Administration Committee the Pension Plans, 401(k) Retirement Savings Program and OPEB Trust Investment Performance Update as of December 31, 2019.

Background:

Year to Date December 31, 2019, Pension Plans, 401(k) Retirement Savings Program and OPEB Investment Performance Reports were presented to the respective trustees by Ellwood Associates at the February 2020 trustee meetings.

Analysis:

BSD 401(k) Retirement Savings Program

- Total 401(k) Program assets were \$65.8M as of the end of calendar year 2019. This is up \$12.5M in combined contributions and investment earnings from calendar year end 2018 which stood at \$53.3M.
- During calendar year 2019, the Plan saw ~\$5.4M in new contributions representing vesting employer only contributions, employee elective contributions and their corresponding employer match.
- Allocations to individual funds and asset types remain relatively unchanged over the last year. The Vanguard Index Fund with ~\$13.0M in participant money or 20% of total assets. The T. Rowe Price Stable Value Fund (9%) and Dodge & Cox Balanced (11%) both also have significant participation. New fixed income manager, MetWest Total Return, has seen positive inflows and current participant exposure of ~\$700k. The T. Rowe Price Lifecycle Funds continue to grow in popularity. Approximately 32% of participant money is now held in these funds.

Salaried Pension Plan

- As of December 31, total Plan assets were \$81.4M, up by \$13.7M since the beginning of the calendar year.
- Cash flows are positive for the year. A total of \$7.4M in new contributions was offset by outflows of \$5.5M.
- The Portfolio gained 5.6% during the calendar year 2019, bringing the performance for the full year to 17.0%. Since inception dating back to 1988, the Total Portfolio has gained +7.6% outpacing its benchmark by 40 basis points. The Portfolio also outperformed its current actuarial return target of 7.0%.
- The Portfolio was rebalanced at the beginning of the year and is in line with target allocations.

IBEW Pension Plan

- As of December 31, total Plan assets were \$6.3M, an increase of \$1.1M since the beginning of the calendar year. With the exception of 2018, Total Portfolio Market values have steadily increased over the past 11 years. In 2008 the market value fell to \$1.1M, but has quadrupled since reaching the market bottom. Now that the Plan is fully funded the cash flows into the Plan have moderated.
- The Portfolio gained 6.3% during the fourth quarter of 2019 bringing year-to-date performance to 23.1%. The Portfolio has strong long-term performance gaining +10.7% and +7.6% over the trailing 3- and 5-year periods, respectively. Since inception the Plan has gained +6.6%. The Portfolio's current actuarial return target is 7.0%.
- The Portfolio was rebalanced at the beginning of the year and is in line with target allocations.

ATU 788 Pension Plan

- As of December 31, total Plan assets were \$150.9M – an increase of \$23.0M since the beginning of the calendar year. Fund flows over the past few years have been consistently negative and 2019 continued this trend. Calendar year cash inflows of \$14.0M were less than outflows of \$16.6M. The Portfolio experienced investment gains of \$25.6M for the calendar year.
- Through December 31, the Portfolio has gained 20.2%. Longer term performance remains favorable. Over the trailing 3- and 5-year periods, the Portfolio has gained 9.3% and 6.9% respectively. The Portfolio's current actuarial return target is 7.0%.
- At the last committee meeting the portfolio was slightly underweight to Non-U.S. equities and Fixed Income. Ellwood provided a rebalancing recommendation to move the portfolio more in line with targets. The Committee approved Ellwood's rebalancing recommendation which was executed in February 2020. These transactions would bring asset allocation closer to target.

OPEB Trust

- The OPEB Retirement Trust ended the quarter with a total Portfolio market value of \$38.7M. The portfolio gained 6.5% during the fourth quarter of 2019. Through December 31, the total Portfolio gained 18.6%, equating to \$5.8M in investment earnings since the beginning of the year.
- Earlier this year, the committee approved that Blackstone Partners Fund serve as the replacement hedge fund strategy for the now closed Blackstone Hedged Equity Strategy. The Partners Fund has generated solid returns over all time periods and serves as a strong diversifier to the existing Blackstone Park hedge fund investment.
- At the last committee meeting the Portfolio was slightly underweight to Non-U.S. equities. Ellwood provided a rebalancing recommendation to move the portfolio more in line with targets along with investing the \$3 million contribution made to the Portfolio in May 2019.

Committee Action Requested:

None. Information only.

Attachments:

1. BSD 401(k) Retirement Savings Program 4th Quarter 2019 Performance Report
2. BSD Salaried Pension Plan 4th Quarter 2019 Performance Report
3. IBEW Pension Trust Local 4th and Local 309 4th Quarter 2019 Performance Report
4. Div. 788 A.T.U. AFL-CIO Pension 4th Quarter 2019 Performance Report
5. BSD OPEB Retirement Trust 4th Quarter 2019 Performance Report

Funding Source:

No funding request is made. The pension plans and 401(k) retirement savings programs are funded by employer and employee contributions. The OPEB Trust is funded solely through the operating budget.

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Attachments 1-5

Pension Audit Updates - Attachments

The following documents are available
on the Bi-State Development website:

[401 k Performance Report Q4-2019](#)

[IBEW Pension Performance Report Q4-2019](#)

[OPEB Retirement Trust Performance Report Q4-2019](#)

[Salaried Pension Performance Report Q4-2019](#)

[788 ATU Pension Performance Report Q4-2019](#)

Financial Statements

The following document is available
on the Bi-State Development website:

[Financial Statements - 3rd Quarter - FY20](#)

**BI-STATE DEVELOPMENT
TREASURER'S REPORT
Quarter Ended March 31, 2019**

INVESTMENTS

Yields:

Bi-State investments had an average yield of 1.06% for the month of March, down from 1.5% in December. The Federal Reserve cut the Federal Funds Rate to 0.25% in March, in an emergency action to support the economy due to the disruption to markets caused by the coronavirus pandemic. The FMOC plans to keep the rate low until full employment returns, and inflation reaches 2%.

Invested Funds:

In March, Bi-State directed approximately \$205 million of cash and investments. Approximately 25% of the invested funds were invested in U.S. Treasury or U.S. Government Agency securities, and 0.8% were invested in collateralized Certificates of Deposit (CDs). The balance was invested in AAA rated money market funds. The average maturity of Bi-State investments was approximately 112 days.

DEBT MANAGEMENT

Debt Restructuring, 2019:

On September 12, 2019, Bi-State successfully sold its \$164,430,000 Series 2019 Bonds. The deal closed on September 26, 2019, and a true interest cost of 2.87% was achieved. The bond restructuring refunded all \$97 million of the Series 2009 and \$90 million of the Series 2013A Cross County Bonds, achieving important short and long-term financial objectives for Bi-State:

- Generated \$49.1 million in debt service savings (in 2019 dollars) over the life of the bonds.
- Returned \$19.9 million of these savings to fund public safety infrastructure improvements.
- Eliminated the requirement to secure the Series 2019 debt with a debt service reserve fund, and lowered the required reserve on Series 2013A from \$23.6 to \$19.3 million.
- Brought 2013 subordinate bonds to senior lien status.

Series 2013A and 2013B Bonds:

On July 1, 2013, Bi-State successfully sold its \$381,225,000 Series 2013A Bonds at a rate of 4.75%. Between 2013 and 2015, St Louis County approved three loan advances using the Prop A Capital Reserve to reduce borrowing costs. The first loan for \$75 million was granted in 2013, with an interest rate of 1.06%. St Louis County approved the second loan in 2014 for \$30 million at 1.04%, and the third loan in 2015 for \$30,000 at 1.02%, allowing Bi-State to refund a portion of the 2013A debt. In September 2019, Series 2019 refunded an additional \$90 million, leaving the total 2013A principal outstanding at \$182,175,000.

Arch Tram Revenue Bonds, 2014:

On December 3, 2014, Bi-State closed on the Series 2014 Taxable Arch Tram Revenue Bonds. These bonds have a par value of \$7,656,000 and a 30-year term. The initial fixed rate term is 10 years with a fixed interest rate of 4.016%. The funds from this bond issuance will pay for the cost of issuance, a portion of the interior roof over the Arch visitor's center, and the replacement of the motor generator sets. The debt service requirement is approximately \$454 thousand.

Bi-State Development/St. Clair County Transit District Revenue Bonds Refunding, 2014:


On December 4, 2014, Bi-State and St Clair County Transit District closed on the \$4,160,000 issuance of the Series 2014 Bi-State Development/St Clair County Metrolink Extension Project Refunding Revenue Bonds. The refunding provides a savings of approximately \$700,000 in debt service expenses. It also eliminated the need for the debt service reserve funding of approximately \$450 thousand.

Capital Leases:

Bi-State has one remaining capital lease, its 2001 LRV Lease (C1, C2 Tranches). In February 2011, staff negotiated a default cure agreement with the 2001 C1 C2 lease investor. The agreement provided that Bi-State deposit additional collateral with the lease trustee, of which the St. Clair County Transit District (SCCTD) provided 70%. Our current collateral requirement is approximately \$6.3 million.

FUEL HEDGING

In March, in conjunction with its diesel fuel hedging program, Bi-State had a *realized loss* of approximately \$244 thousand and an *unrealized loss* of approximately \$5 million on the sale of Home Heating Oil #2 futures contracts. March oil prices ended the month at \$20.48 a barrel, a 67% decrease since the end of December. Generally, as the price of oil increases, the value of Bi-State's future positions also increases. A gain in the futures partially offsets the actual increase in the cost of diesel fuel. If oil prices drop, the value of the futures decline. An increase in unrealized gains generally indicates that the price of fuel is rising, and losses generally indicate oil prices are falling.

| BI-STATE DEVELOPMENT - QUARTERLY TREASURER'S REPORT SUMMARY | | | | | | | | | | | | | | | |
|--|-----------------------|------------------------|---------------------|-------|------------------|-----------------------|------------------------|---------------------|-------|------------------|-----------------------|------------------------|---------------------|-------|------------------|
|  | | AS OF: 31-Mar-2020 | | | | 28-Feb-2020 | | | | 31-Jan-2020 | | | | | |
| | Wt. Avg. Maturity (1) | Dollars (,000 omitted) | Percentage Of Total | Rate | Market Value (2) | Wt. Avg. Maturity (1) | Dollars (,000 omitted) | Percentage Of Total | Rate | Market Value (2) | Wt. Avg. Maturity (1) | Dollars (,000 omitted) | Percentage Of Total | Rate | Market Value (2) |
| BI-STATE DIRECTED: | | | | | | | | | | | | | | | |
| Cash | 0 | \$22,684 | 14.1% | 0.00% | \$22,684 | 0 | \$24,769 | 15.2% | 0.00% | \$24,769 | 0 | \$16,084 | 10.0% | 0.00% | \$16,084 |
| U.S. Agencies (coupon) | 721 | 17,963 | 11.2% | 1.60% | 18,008 | 605 | 23,940 | 14.7% | 1.60% | 24,009 | 185 | 8,439 | 5.2% | 1.48% | 8,496 |
| U.S. Treasury Securities | 121 | 17,765 | 11.1% | 1.50% | 17,898 | 152 | 17,765 | 10.9% | 1.50% | 17,796 | 197 | 2,365 | 1.5% | 1.71% | 2,367 |
| Other Investments (3) | 1 | 101,906 | 63.6% | 0.86% | 101,906 | 3 | 96,786 | 59.3% | 1.47% | 96,786 | 1 | 134,488 | 83.3% | 1.48% | 134,488 |
| SUB-TOTAL BI-STATE | 95 | \$160,318 | 100.0% | 1.04% | \$160,496 | 107 | \$163,260 | 100.0% | 1.50% | \$163,360 | 13 | \$161,376 | 100.0% | 1.48% | \$161,435 |
| BI-STATE DIRECTED-PROP M: | | | | | | | | | | | | | | | |
| Certificates of Deposit | 264 | \$1,602 | 3.5% | 1.51% | \$1,602 | 295 | \$1,602 | 3.6% | 1.51% | \$1,602 | 324 | \$1,602 | 3.6% | 1.51% | \$1,602 |
| U.S. Agencies (coupon) | 841 | 7,501 | 16.6% | 1.67% | 7,504 | 624 | 10,497 | 23.5% | 1.64% | 10,504 | 31 | 2,996 | 6.8% | 1.58% | 3,000 |
| U.S. Treasury Securities | 129 | 7,449 | 16.5% | 1.45% | 7,497 | 160 | 7,449 | 16.7% | 1.45% | 7,464 | 0 | 0 | 0.0% | 0.00% | 0 |
| Other Investments (3) | 1 | 28,639 | 63.4% | 0.90% | 28,639 | 3 | 25,170 | 56.3% | 1.48% | 25,170 | 1 | 39,395 | 89.5% | 1.48% | 39,395 |
| SUB-TOTAL PROP M | 171 | \$45,191 | 100.0% | 1.14% | \$45,242 | 185 | \$44,718 | 100.0% | 1.51% | \$44,740 | 15 | \$43,993 | 100.0% | 1.49% | \$43,997 |
| TOTAL BI-STATE DIRECTED | 112 | \$205,509 | | 1.06% | \$205,738 | 124 | \$207,978 | | 1.50% | \$208,100 | 14 | \$205,369 | | 1.48% | \$205,432 |
| TRUSTEE DIRECTED: | | | | | | | | | | | | | | | |
| Cash | 0 | \$0 | 0.0% | 0.00% | \$0 | 0 | \$0 | 0.0% | 0.00% | \$0 | 0 | \$0 | 0.0% | 0.00% | \$0 |
| Municipal Bonds | 643 | 6,389 | 11.8% | 2.75% | 6,465 | 674 | 6,389 | 11.8% | 2.75% | 6,516 | 703 | 6,389 | 11.8% | 2.75% | 6,471 |
| U.S. Agencies (coupon) | 450 | 25,715 | 47.3% | 1.85% | 26,182 | 315 | 39,882 | 73.6% | 1.13% | 40,248 | 344 | 39,882 | 73.7% | 1.13% | 40,115 |
| U.S. Treasury Securities | 68 | 5,200 | 9.6% | 1.38% | 5,240 | 199 | 5,200 | 9.6% | 1.38% | 5,211 | 228 | 5,200 | 9.6% | 1.38% | 5,201 |
| Other Investments (3) | 1 | 17,009 | 31.3% | 0.02% | 17,009 | 1 | 2,701 | 5.0% | 1.10% | 2,701 | 1 | 2,674 | 4.9% | 1.17% | 2,674 |
| SUB-TOTAL TRUSTEE | 289 | \$54,313 | 100.0% | 1.34% | \$54,896 | 311 | \$54,172 | 100.0% | 1.34% | \$54,676 | 336 | \$54,145 | 100.0% | 1.35% | \$54,461 |
| TOTAL BI-STATE & TRUSTEE | 149 | \$259,822 | | 1.12% | \$260,634 | 163 | \$262,150 | | 1.47% | \$262,776 | 81 | \$259,514 | | 1.46% | \$259,893 |
| LRV LEASE1: | | | | | | | | | | | | | | | |
| Cash | 0 | 6,479 | 5.0% | 0.00% | 6,479 | 0 | 6,479 | 5.0% | 0.00% | 6,479 | 0 | 5 | 0.0% | 0.00% | 5 |
| US Treasury Securities | 0 | 0 | 0.0% | 0.00% | 0 | 0 | 0 | 0.0% | 0.00% | 0 | 2 | 6,358 | 5.0% | 2.36% | 6,467 |
| Other Investments (4) | 1 | 123,811 | 95.0% | 5.80% | 123,811 | 1 | 123,142 | 95.0% | 5.80% | 123,142 | 1 | 121,846 | 95.0% | 5.80% | 121,846 |
| SUB-TOTAL LRV | | \$130,290 | 100.0% | 5.51% | \$130,290 | | \$129,621 | 100.0% | 5.51% | \$129,621 | | \$128,209 | 100.0% | 5.63% | \$128,318 |
| Grand Total (5) | | \$390,112 | | | \$390,924 | | \$391,771 | | | \$392,397 | | \$387,723 | | | \$388,211 |
| Explanatory Notes: | | | | | | | | | | | | | | | |
| Prepared by: Terri Gudowicz Green, Mgr of Treas Ops 5/4/2020 | | | | | | | | | | | | | | | |
| (1) Approximate weighted average of days to effective maturity, from last business day of the month. | | | | | | | | | | | | | | | |
| (2) Market value of government securities provided by safekeeping agent. Cost equals market for other investments. | | | | | | | | | | | | | | | |
| (3) Includes money market funds and fuel hedging accounts. | | | | | | | | | | | | | | | |
| (4) Investment Contracts (leases). Values of investment contracts adjusted to conform to lease payment schedules. | | | | | | | | | | | | | | | |
| (5) All amounts preliminary and subject to audit and adjustment. | | | | | | | | | | | | | | | |



BI-STATE DEVELOPMENT
MONTHLY TREASURER'S REPORT- ALL COMPANIES
BANK / ISSUER SUMMARY as of:

3/31/2020

Section 1 Bank/issuer Summary

| BI-STATE DIRECTED * | CASH | CERTIFICATES OF DEPOSIT | REPURCHASE AGREEMENTS | OTHER | GOVERNMENT SECURITIES | COMMERCIAL PAPER\ BA's | TOTAL | MARKET VALUE | NOTES |
|--|-------------------|----------------------------|--------------------------|--------------------|--------------------------|---------------------------|--------------------|--------------------|--|
| <i>all non debt/lease assets, inc. Prop M:</i> | | | | | | | | | |
| BANK OF AMERICA MERRILL LYNCH | 1,526,677 | 0 | 0 | 0 | 0 | 0 | 1,526,677 | 1,526,677 | FDIC\tri-party collateral(deposits). |
| BLACK ROCK | 0 | 0 | 0 | 27,201,221 | 0 | 0 | 27,201,221 | 27,201,221 | Money Market Fund (Govt. Securities). |
| COMMERCE BANK | 0 | 1,601,661 | 0 | 0 | 0 | 0 | 1,601,661 | 1,601,661 | FDIC\FRB collateral. |
| FIDELITY | 0 | 0 | 0 | 80,303,277 | 0 | 0 | 80,303,277 | 80,303,277 | Money Market Fund (First Tier\Prime) |
| INVESCO | 0 | 0 | 0 | 19,409,152 | 0 | 0 | 19,409,152 | 19,409,152 | Money Market Fund (First Tier\Prime) |
| JEFFERSON BANK & TRUST | 96,277 | 0 | 0 | 0 | 0 | 0 | 96,277 | 96,277 | FDIC; repo collateral held at JBT. |
| JP MORGAN CHASE | (38,720) | 0 | 0 | 0 | 0 | 0 | (38,720) | (38,720) | FDIC (bank acct.)MMKT (First Tier\Prime) |
| OPTUM | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | FDIC\FRB collateral. |
| BENEFLEX | 4,307 | 0 | 0 | 0 | 0 | 0 | 4,307 | 4,307 | FDIC\FRB collateral. |
| HEALTHSCOPE | 50,370 | 0 | 0 | 0 | 0 | 0 | 50,370 | 50,370 | FDIC\FRB collateral. |
| PNC BANK | 20,852,637 | 0 | 0 | 0 | 0 | 0 | 20,852,637 | 20,852,637 | FDIC\FRB collateral. |
| RBC DAIN RAUSCHER | 0 | 0 | 0 | 2,081,338 | 0 | 0 | 2,081,338 | 2,081,338 | Commodities Margin Acct. (fuel hedging) |
| RJ O'BRIEN | 0 | 0 | 0 | 1,550,188 | 0 | 0 | 1,550,188 | 1,550,188 | Commodities Trading Acct. (fuel hedging) |
| RELIANCE BANK | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | FDIC\FRB collateral. |
| U.S. BANK | (207,132) | 0 | 0 | 0 | 0 | 0 | (207,132) | (207,132) | FDIC\FRB Collateral. |
| FARM CREDIT BANK | 0 | 0 | 0 | 0 | 10,463,474 | 0 | 10,463,474 | 10,501,753 | Safekept at Bank of America (BOA). |
| FEDERAL HOME LOAN BANK | 0 | 0 | 0 | 0 | 15,000,417 | 0 | 15,000,417 | 15,010,019 | Safekept at Bank of America (BOA). |
| U.S. TREASURY | 0 | 0 | 0 | 0 | 25,214,072 | 0 | 25,214,072 | 25,396,125 | Safekept by BOA or designated agent. |
| OTHER | 392,355 | 0 | 0 | 0 | 0 | 0 | 392,355 | 392,355 | |
| sub-total Bi-State directed | 22,676,771 | 1,601,661 | 0 | 130,545,176 | 50,677,963 | 0 | 205,501,571 | 205,731,505 | |
| TRUSTEE DIRECTED | | | | | | | | | |
| DEBT ISSUES | | | | | | | | | |
| Arch Bonds, Series 2014 | | | | | | | | | |
| BANK OF NEW YORK MELLON | | | | | | | | | |
| GOLDMAN | 0 | 0 | 0 | 851,893 | 0 | 0 | 851,893 | 851,893 | Money Market Fund (First Tier\Prime). |
| Cross County Bonds, Series 2013, 2019 | | | | | | | | | |
| BOK FINANCIAL | | | | | | | | | |
| BANK OF NEW YORK | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | FDIC Insured. |
| FEDERATED GOVT OBLIG | 0 | 0 | 0 | 16,157,292 | 0 | 0 | 16,157,292 | 16,157,292 | Money Market Fund (First Tier\Prime). |
| MORGAN STANLEY | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | Safekept at BOKF |
| GOVERNMENT AGENCIES | 0 | 0 | 0 | 0 | 25,715,238 | 0 | 25,715,238 | 26,181,565 | Safekept at BOK Financial |
| MUNICIPAL BONDS | 0 | 0 | 0 | 0 | 6,389,370 | 0 | 6,389,370 | 6,464,596 | Safekept at BOK Financial |
| U.S. TREASURY | 0 | 0 | 0 | 0 | 5,199,814 | 0 | 5,199,814 | 5,240,419 | Safekept at BOK Financial |
| sub-total | 0 | 0 | 0 | 17,009,185 | 37,304,422 | 0 | 54,313,607 | 54,895,765 | |
| SUB-TOTAL TRUSTEE (BONDS) | 0 | 0 | 0 | 17,009,185 | 37,304,422 | 0 | 54,313,607 | 54,895,765 | |
| SUB-TOTAL BI-STATE AND TRUSTEE | 22,676,771 | 1,601,661 | 0 | 147,554,361 | 87,982,385 | 0 | 259,815,178 | 260,627,270 | |
| LRV Lease\Leaseback 2001 C1 C2 | | | | | | | | | |
| FSA\AIG | 0 | 0 | 0 | 123,811,137 | 0 | 0 | 123,811,137 | 123,811,137 | Guaranteed Investment Contract (GIC). |
| US TREASURY | 6,486,031 | 0 | 0 | 0 | 0 | 0 | 6,486,031 | 6,486,031 | Safekept by Lease Trustee. |
| sub-total | 6,486,031 | 0 | 0 | 123,811,137 | 0 | 0 | 130,297,168 | 130,297,168 | |
| sub-total leases | 6,486,031 | 0 | 0 | 123,811,137 | 0 | 0 | 130,297,168 | 130,297,168 | |
| GRAND TOTAL | 29,162,802 | 1,601,661 | 0 | 271,365,498 | 87,982,385 | 0 | 390,112,346 | 390,924,438 | |

INVESTMENT CATEGORY DESCRIPTIONS

CASH: Demand deposit accounts. Some accounts are consolidated by bank for presentation purposes. Negative balances generally reflect check float. Bi-State's bank accounts are protected either by Federal Deposit Insurance Corporation (FDIC), or collateralized with securities pledged to Bi-State and held either in a segregated customer account, tri-party account, or at the Federal Reserve.

CERTIFICATES OF DEPOSIT: Non-negotiable certificates of deposit, protected by FDIC insurance, AAA rated surety or Letter of Credit, or collateralized with securities placed in joint safekeeping with Bi-State at the Federal Reserve Bank.

BANKER'S ACCEPTANCE (BAs): Negotiable investment instruments created by banks to finance commercial trade transactions. Bi-State's investment policy permits purchase of BAs only from banks rated "B" or better by Fitch Ratings (formerly Thomson BankWatch-see ratings descriptions below).

REPURCHASE AGREEMENTS (REPOs): An investment created by the simultaneous sale and repurchase of a security (usually a government security) for different settlement dates. Bi-State's repos are collateralized with securities held in segregated customer accounts, or at the Federal Reserve.

OTHER: Interest checking, money market funds, guaranteed investment contracts (GICs) and investment agreements. Also includes fuel hedging related accounts. Bi-State's policy restricts use of money market funds to Triple A rated institutional funds which have over \$500 million in assets.

GOVERNMENT SECURITIES: Securities (bills, discount notes, strips, coupon notes and bonds), issued by the U.S. Treasury or U.S. Government Agencies. Some securities are subject to "call" (redemption before stated final maturity).

COMMERCIAL PAPER: Short-term unsecured promissory note that is the obligation of the issuing entity, generally a large corporation (see ratings descriptions below).

NOTE: Permitted Bi-State investments are specified in Board Policy 30.040. All investments are shown at cost, unless otherwise noted. Market values shown for government securities or commercial paper are considered "subject to market" and provided for informational use only. Cost or par approximates market for other investments, and some of these may be subject to penalty for early redemption.

CREDIT QUALITY RATING DEFINITIONS (also see Page 9)

Standard & Poor's, Moody's Investor Services, Fitch:

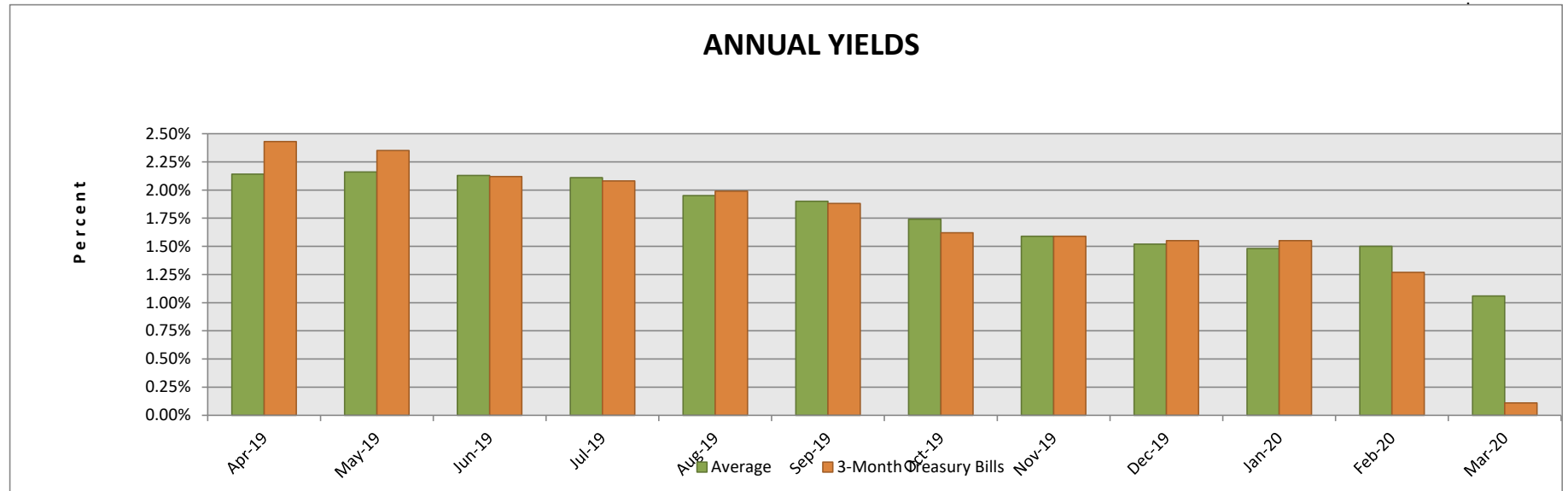
AAA Standard & Poor's, Moody's and Fitch rate credit quality on an A to C scale, with A generally regarded as "upper investment grade" and C as "speculative" (D would indicate default). Within each category are different gradients. The triple A rating indicates that the issuer's long term unsecured debt rating or specific investment instrument (such as money market funds) are of the highest credit quality (lowest expectation of risk.) The AAA rating is assigned only when there is exceptionally strong capacity for timely payment of financial commitments.

A1-P1 Commercial Paper issues rated "A-1 by Standard and Poor's and "P-1" by Moody's have the greatest capacity for timely payment (least risk). Bi-State's investment policy permits purchase of A2-P2 commercial paper from issuers with a business presence in the St. Louis region.

**BI-STATE DEVELOPMENT
ANNUAL INVESTMENT REPORT
FOR MOST CURRENT 12 MONTHS**

| Funds (ooo's omitted) | Apr-19 | May-19 | Jun-19 | Jul-19 | Aug-19 | Sep-19 | Oct-19 | Nov-19 | Dec-19 | Jan-20 | Feb-20 | Mar-20 |
|------------------------------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|----------------|
| Bi-State Investments | 186,569 | 169,974 | 180,786 | 166,308 | 145,761 | 140,517 | 159,828 | 160,796 | 151,684 | 161,376 | 163,260 | 160,318 |
| Bi-State Prop M Investments | 44,110 | 44,407 | 44,968 | 43,593 | 43,293 | 36,310 | 43,182 | 42,318 | 42,246 | 43,993 | 44,718 | 45,191 |
| Total | 230,679 | 214,381 | 225,754 | 209,901 | 189,054 | 176,827 | 203,010 | 203,114 | 193,930 | 205,369 | 207,978 | 205,509 |
| Trustee Investments | 42,280 | 45,009 | 46,816 | 53,031 | 57,527 | 256,185 | 54,245 | 52,377 | 54,901 | 54,145 | 54,172 | 54,313 |

| Yields/Rates Information | Apr-19 | May-19 | Jun-19 | Jul-19 | Aug-19 | Sep-19 | Oct-19 | Nov-19 | Dec-19 | Jan-20 | Feb-20 | Mar-20 |
|---------------------------------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------------|
| Bi-State | 2.14% | 2.15% | 2.12% | 2.09% | 1.93% | 1.89% | 1.72% | 1.58% | 1.52% | 1.48% | 1.50% | 1.04% |
| Prop M | 2.12% | 2.19% | 2.17% | 2.16% | 1.99% | 1.97% | 1.79% | 1.64% | 1.52% | 1.49% | 1.51% | 1.14% |
| Average | 2.14% | 2.16% | 2.13% | 2.11% | 1.95% | 1.90% | 1.74% | 1.59% | 1.52% | 1.48% | 1.50% | 1.06% |
| Trustee | 2.32% | 2.29% | 2.29% | 2.30% | 2.20% | 2.08% | 0.91% | 0.94% | 1.41% | 1.35% | 1.34% | 1.34% |
| 3-Month Treasury Bills | 2.43% | 2.35% | 2.12% | 2.08% | 1.99% | 1.88% | 1.62% | 1.59% | 1.55% | 1.55% | 1.27% | 0.11% |
| 1 Year Treasury | 2.39% | 2.21% | 1.92% | 2.00% | 1.76% | 1.75% | 1.59% | 1.60% | 1.59% | 1.45% | 0.97% | 0.17% |
| Fed Funds (target) | 2.50% | 2.50% | 2.50% | 2.25% | 2.00% | 2.00% | 1.75% | 1.75% | 1.75% | 1.75% | 1.75% | 0.25% |
| 20-Year Municipals | 3.79% | 3.51% | 3.50% | 3.43% | 2.97% | 2.66% | 2.79% | 2.77% | 2.74% | 2.47% | 2.27% | 2.27% |
| SIFMA (BMA) Index (month end) | 2.12% | 1.42% | 1.90% | 1.40% | 1.35% | 1.48% | 1.19% | 1.10% | 1.32% | 0.94% | 1.15% | 1.83% |



| Diesel Fuel Hedging Program - FY 2020 | | | | |
|---|--|---------------------|--------------------------------|-----------------------|
| | Diesel Fuel Budget \ Actual Comparison: | Mar-20 | Year to Date | Life to Date |
| a | Gallons consumed-actual | 363,496 | 3,708,844 | 94,230,101 |
| b=(c/a) | Average cost per gallon-actual | \$ 1.38 | \$ 1.91 | \$ 2.10 |
| c | Total Diesel Fuel Cost-Actual | \$ 500,170 | \$ 7,085,251 | \$ 197,558,554 |
| d | Gallons consumed- budget | 440,737 | 3,905,248 | 98,434,083 |
| e=(f/d) | Average cost per gallon- budget | \$ 2.10 | \$ 2.10 | \$ 2.29 |
| f | Total Diesel Fuel Cost- Budget | \$ 926,782 | \$ 8,211,955 | \$ 225,001,342 |
| g=(f-c) | Budget Variance (Unfavorable) | \$ 426,612 | \$ 1,126,704 | \$ 27,442,788 |
| h | Realized Futures Gains (Losses) | \$ (243,818) | \$ 154,938 | \$ 590,329 |
| i=(c-h) | Net Cost of Fuel | \$ 743,988 | \$ 6,930,313 | \$ 196,968,225 |
| j=(i-f) | Net Budget Variance (Unfavorable) | \$ 182,794 | \$ 1,281,642 | \$ 28,033,117 |
| j=(i/f) | Net Cost of Fuel, Per Gallon, inc. Hedge | \$ 2.05 | \$ 1.87 | \$ 2.09 |
| k=(e-i) | Net Budget Variance Per Gallon | \$ 0.06 | \$ 0.23 | \$ 0.20 |
| | Futures Activity: | | Price of Barrel of Oil: | |
| | Futures Contracts Purchased | 52 | Date | Price |
| | Futures Contracts Sold | 14 | 11/30/2019 | \$ 55.17 |
| | Futures Contracts Net Change at month end | 38 | 12/31/2019 | \$ 61.06 |
| | Total Open Futures Contracts, at month end | 244 | 01/31/2020 | \$ 51.56 |
| | Futures Contracts Unrealized Gain/(Loss) * | (\$5,050,878) | 02/29/2020 | \$ 44.76 |
| | (% of Estimated Future Consumption) | 81% | 03/31/2020 | \$ 20.48 |
| * = At month end | | | | |
| <u>Explanatory Notes:</u> | | | | |
| Consumption budgeted at approximately 120,000 gallons per week. | | | | |
| Current diesel contracts: diesel =Platts +10.17 cents per gal.; B2 diesel= Platts + 10.93 cents per gal. | | | | |
| A futures contract equals 42,000 gallons. | | | | |
| Numbers above rounded. | | | | |
| Amounts do not include transaction or consulting costs. | | | | |
| Futures Contracts are purchased from May 2020 through Mar 2022 (24 months). | | | | |
| <u>Background:</u> | | | | |
| Linwood Capital is a consultant retained by Bi-State since April 2004 to assist with its energy price risk management program. | | | | |
| Bi-State manages the cost of fuel by utilizing purchase of exchange traded futures, specifically NYMEX Heating Oil#2 (HO#2) futures. | | | | |
| Generally, as oil prices increase, the value of the futures goes up, and acts to partially offset the actual increase in the price of fuel. | | | | |

Bi-State Development
Monthly Investment Report
Report of Term Investment* Purchases: March 2020

| Item | Investment: | Par Amount | Settled | Maturity Date | Term(days) | Yield | Purchased From | Fund |
|---|--------------|------------|---------|---------------|------------|-------|----------------|------|
| *Note: there were no new investment purchases in March. | | | | | | | | |
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| | | | | | | | | |
| | Total | \$ - | | | | | | |
| | | | | | | | | |

Notes:

* Investments with an original term of over 14 days.

Bi-State Development Agency dba Metro
Credit Ratings of Financial Institutions (see also page 5)

| Depository Banks: | Long-Term Debt Rating | | | Short-Term Debt Rating | | | Fitch Bank Rating |
|--|------------------------------|----------------|--------------|---|---------|-------|-------------------|
| | S&P | Moody's | Fitch | S&P | Moody's | Fitch | |
| Bank of America, N.A. | A+ | Aa2 | AA | A-1 | P-1 | F1+ | NA |
| Commerce Bank | A | A2 | | A-1 | P-1 | | NA |
| PNC Bank | A | Aa2 | AA- | A-1 | P-1 | F1+ | NA |
| Trust Companies: | | | | | | | |
| Bank of New York Mellon Trust | AA- | Aa1 | AA+ | A-1+ | P-1 | F1+ | NA |
| BOK Financial | A- | A3 | A | A-2 | P-1 | F1 | NA |
| Money Market Funds: | S&P | | | Moody's | | | |
| Black Rock Fed Trust | AAAm | | | Aaa-mf | | | |
| Black Rock Temp | AAAm | | | Aaa-mf | | | |
| Black Rock T Fund | AAAm | | | Aaa-mf | | | |
| FFI Treasury Fund | AAAm | | | Aaa-mf | | | |
| Columbia (BOA/Merrill) Money Market Reserves | AAAm | | | Aaa-mf | | | |
| Columbia (BOA/Merrill) Government | AAAm | | | Aaa-mf | | | |
| Dreyfus Government Cash Management | AAAm | | | Aaa-mf | | | |
| Federated Treasury | AAAm | | | Aaa-mf | | | |
| Federated Government | AAAm | | | Aaa-mf | | | |
| Fidelity Government | AAAm | | | Aaa-mf | | | |
| Fidelity Treasury | AAAm | | | Aaa-mf | | | |
| Goldman Financial Government | AAAm | | | Aaa-mf | | | |
| Invesco Government and Agency | AAAm | | | Aaa-mf | | | |
| Wells Fargo Treasury | AAAm | | | Aaa-mf | | | |
| Other: | Long-Term Debt Rating | | | | | | |
| | S&P | Moody's | Fitch | | | | |
| AIG (2001 LRV Lease) | A+ | A2 | A+ | NA = Fitch overall bank ratings or LT debt ratings have been withdrawn | | | |
| U.S. Treasury | AA+ | Aaa | AAA | | | | |
| Federal Home Loan Bank (FHLB) | AA+ | Aaa | | | | | |
| Federal Farm Credit Bank (FCB) | AA+ | Aaa | AAA | | | | |

| Bi-State Development | | | | |
|---|---|-----------------------------|--|----------------------|
| Mass Transit Sales Tax Appropriation Cross-County Bonds & St Louis County Loan | | | | |
| Series | 2013 | | 2019 | Total Cross County |
| | 2013A Bonds | 2013B Loan | Refunding | |
| Issue date | 1-Aug-13 | 1-Aug-13 | 26-Sep-19 | |
| Principal (original) | \$381,225,000 | \$75,000,000 | \$164,430,000 | |
| Principal (currently outstanding) | \$182,175,000 | \$135,000,000 | \$164,430,000 | \$481,605,000 |
| Lien on 1\4 cent Prop M, Prop M2, Prop A tax | Senior | Subordinate | Senior | |
| Stand alone credit rating (S&P\Moody's\Kroll) | AA+\Aa2\NA | NA | AA+\NA\AA+ | |
| Maturity date(s) | 2048 | 2053 | 2048 | |
| Optional Call Date | Various | Anytime | 2029 | |
| Optional Put Date | NA | 2018 | NA | |
| Interest rate mode | Fixed | 1% + SIFMA | Fixed | |
| Rate | 3.00%-5.00% | 1.02%-1.06% | 3.00%-5.00% | |
| Interest pmt. Dates (4/1 &10/1) | April, October | April, October | April, October | |
| Annual debt service: | | | | |
| Interest - FY 2020 | \$11,499,313 | \$1,413,000 | \$3,641,674 | \$16,553,986 |
| Principal - (Previous payment 10/1/19 - \$196,850,000) (next payment 10/1/20 - \$10,445,000) | \$99,630,000 | \$0 | \$0 | \$99,630,000 |
| total princ.&int. | \$111,129,313 | \$1,413,000 | \$3,641,674 | \$116,183,986 |
| Debt Service Reserve Fund (DSRF) | \$19 million in DSRF with bond trustee, Bank of Oklahoma Financial. | NA | NA | |
| Other | Refunded Series 2002A,B,C, 2007, and Series 2010B. \$90 million to be refunded Oct 1, 2019 | Refunded Series 2010A Bonds | Refunding balance of 2009, and 2013A Bonds Maturing in 2019, 2028, 2046 and 2048 | |

Open Session Item

23

Memorandum

To: Audit, Finance and Administration Committee

From: Gregory A. Smith
Vice President Purchasing, Materials Management and Supplier Diversity

Date: February 17, 2020

Subject: **Quarterly Procurement Activity Report**
Second Quarter Fiscal Year 2020

BSD Board Policy Chapter 50 Section 010 Paragraph N.3 requires that we provide quarterly reports to the Board relating to procurement activities, which exceed \$100,000, including contract modifications and award of options. The report format that has been used the past several years includes the key sections that are explained below.

Section 1 – Non-Competitive Procurement Trend

Federal regulations and Board Policy require that all procurements be conducted in a manner which fosters full and open competition. In certain instances however, competition is not feasible or practical. This section of the report summarizes the trend and relationship of non-competitive spend to total spend. All individual non-competitive contract awards exceeding \$100,000 are presented to the Board of Commissioners for approval prior to award. Other non-competitive expenditures must be approved by the appropriate Division Vice President, the Vice President of Procurement, and the President and CEO prior to award.

Section 2 – Procurement Contract Awards

This report lists all major (>\$100,000) contract awards during the reporting period and the relevant contract information for each. Information in this report is now listed in descending contract dollar value as requested previously by the Committee.

Section 3 – Contract Modifications

This report lists all contract modification actions executed during the period where the total revised contract amount exceeds \$100,000. Contract modifications include changes to contract scope, exercise of options and extensions, or other actions effecting the contract term. Information in this report is listed in descending contract dollar value as requested previously by the Committee.

Section 4 – Davis Bacon Act Projects

The Davis Bacon Act requires that all construction contracts financed with Federal assistance contain provisions requiring that all laborers and mechanics employed by the contractors or subcontractors to work on the project must be paid wages not less than those established for the area by the Secretary of Labor. The contractors listed in this section submit weekly “certified payrolls” to BSD, which we monitor in accordance with the regulatory requirements.

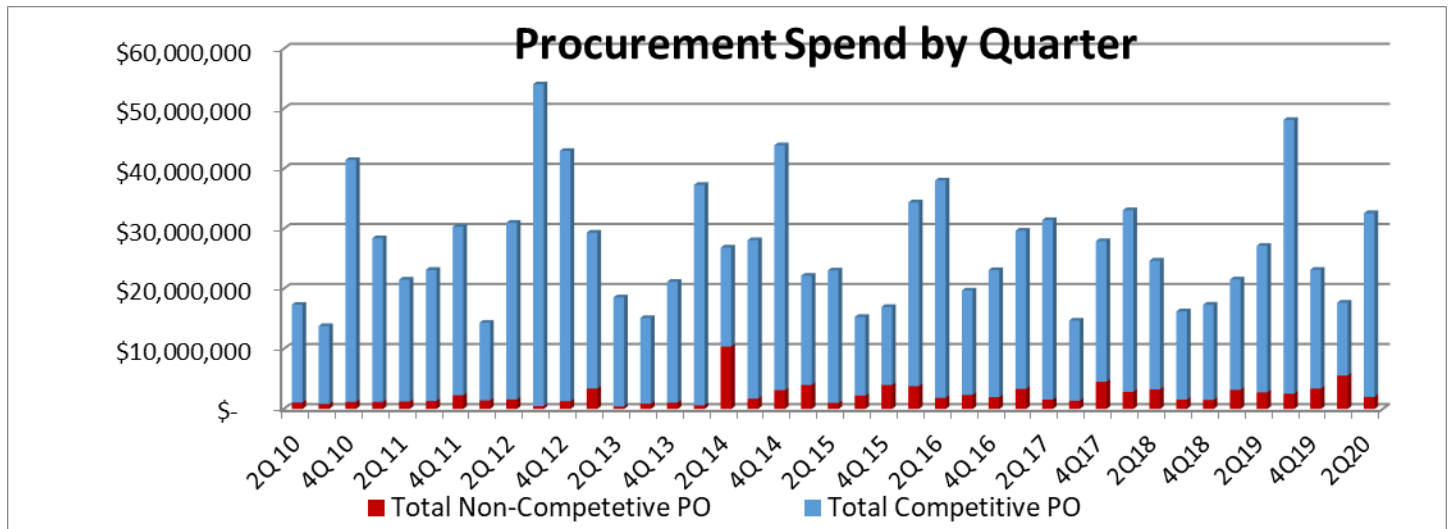
Section 5 – Procurement Card Administration

BSD’s Procurement Department administers a Procurement Card Program, which provides a means for cardholders to procure low-dollar goods and services independently. This program reduces the administrative burden of processing Purchase Orders and Check Requests for small dollar purchases (typically less than \$2500). The report included in this section details the overall volume of transactions and information related to procedural violations and administrative actions on those violations.

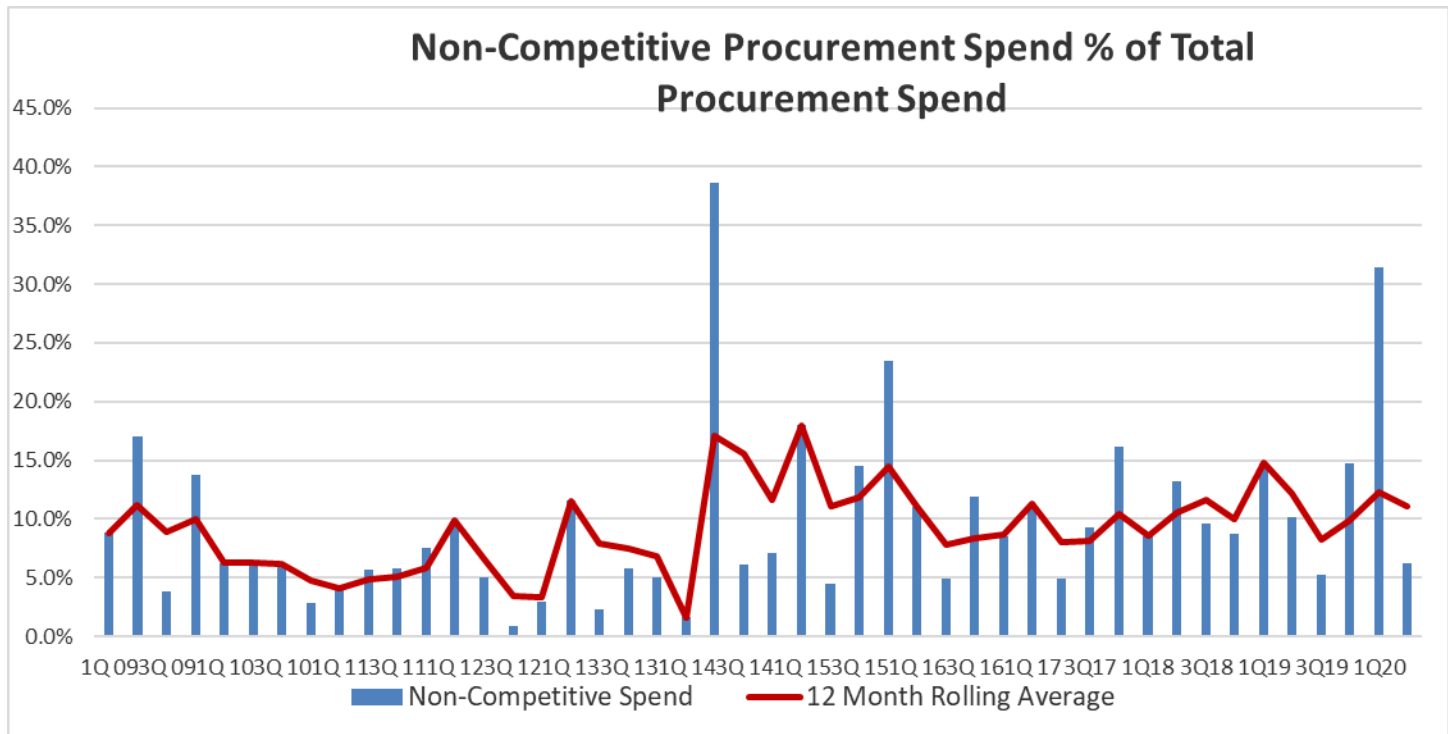
Please feel free to contact me with any suggestions, questions, or information requests that you may have.

Procurement Activity Report
Non-Competitive Procurement Trend
Second Quarter FY2020

Second Quarter 2020 Non-Competitive Procurements totaled \$2,012,832 or 6.2% of total Purchase Order Commitment volume of \$32,601,409.



Rolling last 12 months Non-Competitive Procurements totaled \$13,515,736 or 11.1% of total Purchase Order Commitment volume of \$121,594,065.



**NEW CONTRACT AWARDS EXCEEDING \$100,000
JULY 1, 2019 - DECEMBER 31, 2019**

| <u>Number</u> | <u>Rev</u> | <u>Description</u> | <u>Type</u> | <u>Order Date</u> | <u>Supplier</u> | <u>Amount</u> | <u>Buyer</u> | <u>Closure Status</u> | <u>DBE Goal</u> |
|---------------|------------|---|-----------------------------|-------------------|---------------------------------|-----------------|------------------------|-----------------------|-----------------|
| 65783 | 0 | 20-SB-106232-DGR Bus Stop Signage Replacement Period of Performance October 2019 through April, 2020 | Contract Purchase Agreement | 10/8/19 | THE HARLAN COMPANY | \$ 2,480,850.00 | Ramsay, David George | Open | 0% |
| 64942 | 0 | Arch Transportation System Tram Mechanics & Materials - FY20 Period of Performande, July, 2019 - June, 2020 | Standard Purchase Order | 7/18/19 | NATIONAL PARK SERVICE | \$ 979,082.00 | Dillard, Marian Denise | Open | 0% |
| 64902 | 1 | 19-RFP-105970-MD Gateway Arch Marketing & Advertising Agency, Three Base Years and Two Option Years, Period of Performance, July 16, 2019 - July 15, 2022 | Contract Purchase Agreement | 7/16/19 | PNMG, INC. | \$ 825,000.00 | Dillard, Marian Denise | Open | 0% |
| 65344 | 0 | 19-SB-106178-FP Wellston Walkway and Drainage Improvements, Period of Performance, August 27, 2019 - December 27, 2019 | Contract Purchase Agreement | 8/26/19 | KOZENY WAGNER INC | \$ 657,469.00 | Paronish, Francis J Jr | Open | 13% |
| 64897 | 0 | 20-SB-106272-SG Right of Way Worker Protection System Delivery, Period of Performance Ten (10) Days ARO | Standard Purchase Order | 7/15/19 | GLOBE ELECTRIC SUPPLY COMPANY | \$ 652,980.00 | Griffin, Sandra P | Closed | 0% |
| 65570 | 0 | 19-RFP-106008-CB ML Audio Frequency Track Circuit Upgrades, Period of Performance, September 18, 2019 - December 31, 2019 | Standard Purchase Order | 9/17/19 | ROCKY MOUNTAIN SYSTEMS SERVICES | \$ 566,313.00 | Bonds, Charcita M | Open | 0% |
| 65300 | 0 | 19-SB-106099-DGR MetroLink Phase 1 Substation AC Breaker Replacement, Period of Performance, September 2019 through December 2020. | Contract Purchase Agreement | 8/22/19 | ZELLER TECHNOLOGIES INC | \$ 489,468.00 | Ramsay, David George | Open | 0% |
| 65027 | 0 | 20-SS-106323-CG Oracle Annual Maintenance E-Business Suite, One Base Year and Two Option Years, Period of Performance August 1, 2019 - July 31, 2020 | Contract Purchase Agreement | 7/26/19 | MYTHICS INC | \$ 465,156.83 | Gates, Carol Renee | Open | 0% |
| 66305 | 0 | 19-RFQ-106627-BH Winter Storm Supplies | Standard Purchase Order | 11/22/19 | KIRKWOOD MATERIAL SUPPLY, INC. | \$ 427,000.00 | Howard, Bryan L | Open | 0% |
| 64978 | 0 | 19-SB-106246 -TJ Missouri Yards & Shop Facility Cleaning, Three Year Base with Two Option Years , Period of Performance, June 10, 2019 - June 9, 2022 | Contract Purchase Agreement | 7/24/19 | WORLD MANAGEMENT, INC | \$ 365,460.00 | Johnson, Theresa | Open | 15% |

**NEW CONTRACT AWARDS EXCEEDING \$100,000
JULY 1, 2019 - DECEMBER 31, 2019**

| <u>Number</u> | <u>Rev</u> | <u>Description</u> | <u>Type</u> | <u>Order Date</u> | <u>Supplier</u> | <u>Amount</u> | <u>Buyer</u> | <u>Closure Status</u> | <u>DBE Goal</u> |
|---------------|------------|---|-------------------------|-------------------|-------------------------------|---------------|------------------------|-----------------------|-----------------|
| 66216 | 0 | 20-RFP-106320-DH Light Rail Vehicle Fleet Assessment - Period of performance 11-19-19 thru 05-25-20 | Standard Purchase Order | 11/14/19 | LTK CONSULTING SERVICES, INC. | \$ 280,120.00 | Hill, Diana L | Open | 0% |
| 65922 | 0 | 20-RFQ-106411-BH - Rehab Brentwood Bus Facility Tank Farm (One Time Project) | Standard Purchase Order | 10/22/19 | UPC ENTERPRISE LC | \$ 201,772.99 | Howard, Bryan L | Open | 0% |
| 65664 | 0 | Sole Source SCF Services, LLC - Install False Inner Bottom on the Mooring Barge | Standard Purchase Order | 9/26/19 | SCF SERVICES, LLC | \$ 185,845.00 | Griffin, Sandra P | Open | 0% |
| 64991 | 0 | State Contract # - AR-23 (14-19) - Cisco Hardware Replacement/Upgrade. Contract Code: CISWSCAMO1G - Expiration 05-31-2020 | Standard Purchase Order | 7/24/19 | CDW GOVERNMENT INC | \$ 165,662.25 | Dillard, Marian Denise | Closed | 0% |
| 64793 | 0 | 20-RFQ-106291-CB, Unleaded Gasoline, Period of Performance, July 3, 2019 - September 3, 2019 | Standard Purchase Order | 7/2/19 | PETROLEUM TRADERS CORPORATION | \$ 158,518.38 | Bonds, Charcita M | Open | 0% |
| 66477 | 0 | 20-SB-106442-FP SCCTD Bus Shelter (Pads). Period of Performance December 20, 2019 thru March 4, 2020 | Standard Purchase Order | 12/11/19 | RAINERI CONSTRUCTION, LLC | \$ 128,691.00 | Paronish, Francis J Jr | Open | 21% |
| 65879 | 0 | 50 Ton HVAC Roof Top Unit Replacement Ewing (One Time) | Standard Purchase Order | 10/18/19 | THE WALDINGER CORP | \$ 123,671.22 | Rowey, Deborah M | Open | 0% |

Contract Modifications FY 2020
July 1, 2019 - December 31, 2019

| Contract # | Task Order | Mod # | Description | Reason for Mod | Contractor | DBE % | Original Contract Amount | Value of Previous Mods | Mod Date | Mod Amount | Revised Contract Amount | Funding Source | # Days Extend | # of Extension to Date |
|-------------------|------------|-------|---|-------------------------------------|--|-------|--------------------------|------------------------|----------|--------------|-------------------------|----------------------------------|---------------|------------------------|
| 18-RFP-103593-DGR | | 3 | CM/GC for Union Station Tunnel | Additional Funds | Kiewit Infrastructure Company | 19.5% | \$ 441,944 | \$ 10,980,806 | 12/23/19 | \$ 419,438 | \$ 11,842,188 | MO-54-0001 & Prop M | 0 | 0 |
| 14-SB-99550-SM/CG | | 8 | MetroLink Station Cleaning | Additional Funds/Time Extension | Katsam Enterprises | 15% | \$ 5,770,470 | \$ 4,693,824 | 12/26/19 | \$ 520,125 | \$ 10,984,418 | Operations | 180 | 2 |
| 14-SB-99550-SM/CG | | 7 | MetroLink Station Cleaning | Time Extension and additional funds | Katsam Enterprises | 27% | \$ 5,770,470 | \$ 4,173,699 | 10/01/19 | \$ 520,125 | \$ 10,464,293 | Operations | 90 | 1 |
| 16-SB-102360-CB | | 9 | Metro Downtown Transit Center | Additional Funds | KCI Construction Co. | 29% | \$ 7,267,000 | \$ 1,174,923 | 12/13/19 | \$ 82,483 | \$ 8,524,406 | FTA: MO-90-X296 & Prop M | 90 | 1 |
| 16-SB-102360-CB | | 8 | Metro Downtown Transit Center | Additional Funds | KCI Construction Co. | 29% | \$ 7,267,000 | \$ 1,110,060 | 11/05/19 | \$ 64,864 | \$ 8,441,923 | FTA: MO-90-X296 & Prop M | 90 | 1 |
| 15-RFP-101355-DR | | 3 | Medical ASO & Stop Loss Coverage (Employment Assistance Program (EAP) Services) | Option Yr. 2 | Cigna Health & Life Insurance and Cigna Behavioral Health | 0% | \$ 3,721,760 | \$ 1,355,991 | 12/10/19 | \$ 1,429,614 | \$ 6,507,365 | Operations | 0 | 0 |
| 17-RFP-103314-DGR | | 3 | Union Station Rehabilitation Design Services | Additional Funds | Modjeski & Masters, Inc. | 10% | \$ 4,432,067 | \$ 170,435 | 11/21/19 | \$ 664,089 | \$ 5,266,592 | MO-54-0001/MO-95-X257 and Prop M | 0 | 0 |
| 16-RFP-102885-DR | | 1 | Dental Plan Services | Option Yr. 1 | Delta Dental of Missouri | 0% | \$ 3,430,610 | \$ - | 12/03/19 | \$ 1,225,390 | \$ 4,656,000 | Operations | 0 | 0 |
| 14-SB-99459-TJ | | 4 | DeBaliviere Center Cleaning | Time Extension | ISS Facility Cleaning | 15% | \$ 739,359 | \$ 1,109,680 | 10/04/19 | \$ 150,000 | \$ 1,999,039 | Operations | 120 | 1 |
| 14-RFP-99536-DR | | 6 | Temporary Help Services | Additional Funds | Above All Personnel | 0% | \$ 952,825 | \$ 680,251 | 12/11/19 | \$ 205,900 | \$ 1,838,976 | Operations | 180 | 1 |
| 14-RFP-99536-DR | | 5 | Temporary Help Services | Time Extension | Above All, Roth Staffing, Stevers Staffing, Staffing Solutions | 0% | \$ 934,722 | \$ 687,172 | 10/14/19 | \$ - | \$ 1,621,894 | Operations | 180 | 1 |

Contract Modifications FY 2020
July 1, 2019 - December 31, 2019

| Contract # | Task Order | Mod # | Description | Reason for Mod | Contractor | DBE % | Original Contract Amount | Value of Previous Mods | Mod Date | Mod Amount | Revised Contract Amount | Funding Source | # Days Extend | # of Extension to Date |
|----------------------|------------|-------|---|-------------------------------------|--|-------|--------------------------|------------------------|----------|------------|-------------------------|---------------------|---------------|------------------------|
| 18-SB-104684-SG | | 1 | MetroLink Manual Ticket Sales/Revenue Services | Option Yr. 1 | Above All Personnel | 7% | \$ 522,545 | \$ (0) | 11/21/19 | \$ 261,272 | \$ 783,817 | Operations | 0 | 0 |
| 18-SB-104767-CG | | 3 | Fire Extinguisher Services | Additional Funds | Weber Fire & Safety | 0% | \$ 592,296 | \$ 63,885 | 11/25/19 | \$ 96,000 | \$ 752,181 | Operations | 0 | 0 |
| 16-SB-102814-TJ/BH | | 6 | North County Transit Center Facility Cleaning | Time Extension | MERS/Goodwill Industries | 13% | \$ 140,483 | \$ 466,914 | 10/22/19 | \$ 39,000 | \$ 646,397 | Operations | 90 | 2 |
| 18-RFP-104576-SG | | 2 | Passenger Counting & Surveying | Option Yr. 2 | Above All Personnel | 10% | \$ 165,800 | \$ 165,755 | 10/21/19 | \$ 165,770 | \$ 497,325 | Operations | 0 | 0 |
| 17-SB-104294-TJ | | 2 | St Clair Yards & Shop | Additional Funds | MERS Goodwill | 10% | \$ 219,963 | \$ 15,000 | 10/24/19 | \$ 131,982 | \$ 366,945 | Operations | 0 | 0 |
| 19-SB-105471-DGR | | 1 | DeBaliviere Facility Masonry Repair | Additional Funds | Western Specialty Contractors | 5% | \$ 327,337 | \$ - | 12/18/19 | \$ 1,558 | \$ 328,895 | MO-90-X231 & Prop M | 0 | 0 |
| 17-SB-103729-CG | | 3 | Oracle Analytics Software/Maintenance | Time Extension/ Additional Funds | Mythics., Inc | 0% | \$ 198,851 | \$ 74,976 | 11/18/19 | \$ 39,564 | \$ 313,392 | Operations | 0 | 0 |
| 17-SS-103773-CG | | 1 | Remix Software | Time Extension/ Additional Funds | Remix Software, Inc. | 0% | \$ 280,000 | \$ - | 11/12/19 | \$ 22,500 | \$ 302,500 | Operations | 90 | 1 |
| 13-RFP-5991-DR/VH/MD | 8 | 2 | On-Call Information Technology Consulting Services Task Order 8 | Time Extension/ Additional Funds | Amitech Solution, Inc. | 0% | \$ 89,100 | \$ 90,000 | 12/06/19 | \$ 107,120 | \$ 286,220 | Operations | 180 | 1 |
| 16-SB-103100-DAB | | 1 | Metro's Towing & Wrecker Services | Option Yr. 1 | Mike's Towing and Automotive Specialties, Inc. | 15% | \$ 210,000 | \$ - | 11/21/19 | \$ 70,000 | \$ 280,000 | Operations | 0 | 0 |
| 16-SB-103049-CB | | 1 | Roadbed Spraying Services | Option Yr. 1 | Asplundh Tree Expert Co., - Railroad Division | 0% | \$ 205,367 | \$ (0) | 11/18/19 | \$ 70,752 | \$ 276,119 | Operations | 0 | 0 |
| 16-SB-102788-CB | | 7 | Refuse Removal Services | Additional Funds | Allied Waste Services | 0% | \$ 76,347 | \$ 179,361 | 11/22/19 | \$ 995 | \$ 256,703 | Operations | 0 | 0 |

Contract Modifications FY 2020
July 1, 2019 - December 31, 2019

| Contract # | Task Order | Mod # | Description | Reason for Mod | Contractor | DBE % | Original Contract Amount | Value of Previous Mods | Mod Date | Mod Amount | Revised Contract Amount | Funding Source | # Days Extend | # of Extension to Date |
|---------------------|------------|-------|--|------------------|--|-------|--------------------------|------------------------|----------|------------|-------------------------|-----------------------------------|---------------|------------------------|
| 17-SB-103918-CG | | 2 | Audit Services - Pension and 401(k) Retirement Plan | Option Yr. 1 | UHY LLP | 0% | \$ 165,317 | \$ - | 12/09/19 | \$ 57,165 | \$ 222,482 | Operations | 0 | 0 |
| 14-RFQ-99354-DR | | 10 | Metro TSM, OCC Training Instructors & Metro Group Uniforms | Time Extension | Blue Sky Apparel & Promotions, LLC | 0% | \$ 114,741 | \$ 71,218 | 10/08/19 | \$ - | \$ 185,959 | Operations | 234 | 2 |
| 19-RFP-105427-CG | | 1 | On-Call Planning and Analysis Consulting Services - St. Louis Regional Freightway | Option Yr. 1 | TranSystems Corporation | 0% | \$ 74,119 | \$ - | 11/13/19 | \$ 74,119 | \$ 148,238 | Operations | 0 | 0 |
| 19-RFP-105520-MD | 1 | 1 | On-Call Information Technology Consulting Oracle and E Business DBA Manager Consultant | Time Extension | Randstad Technologies | 0% | \$ 117,000 | \$ - | 10/08/19 | \$ 27,000 | \$ 144,000 | Operations | 90 | 1 |
| 14-RFP-99137-DW-MD | | 2 | Commissioned Artwork-Downtown Transfer Center | Additional Funds | Art.Site Integration of Art & Architecture | 0% | \$ 60,000 | \$ 60,500 | 10/18/19 | \$ 14,664 | \$ 135,164 | FTA Grant # MO-90-X231 and Prop M | 0 | 0 |
| 15-RFP-101357-DR/CG | | 2 | Flexible Benefit Plan Services & Cobra Administration Services | Option Yr. 2 | Paylocity f/k/a BeneFlex | 0% | \$ 51,723 | \$ 19,792 | 12/13/19 | \$ 20,500 | \$ 92,015 | Operations | 0 | 0 |



Prevailing Wage Report Fiscal Year 2020 - October 1, 2019 - December 31, 2019

Project: 16-SB-102360-CB METRO DOWNTOWN TRANSIT CENTER - FTA GRANT NO# MO-04-0013; MO-90-X296; MO-95-X261

Project Control ID: Is Community Hiring Goal a Requirement: No

| Prime Contractor | Subcontractor | Sub Subcontractor | Sub Sub Subcontractor | Sub Sub Sub Subcontractor |
|------------------|---------------|-------------------|-----------------------|---------------------------|
|------------------|---------------|-------------------|-----------------------|---------------------------|

3148948888

KCI Construction

Start Date: **04/13/2016** End **10/03/2017**
Contract Amount: **\$7,267,000.00**

10315 Lake Bluff Drive ,
St. Louis , MO 63123

314.535.6063

Acme Glass

Start Date: **02/15/2017**

6369490605

ACOUSTICAL CEILINGS INC

Start Date: **05/01/2017**

3147766686

Allied Waterproofing Company, Inc.

Start Date: **03/01/2017**

636-937-7500

Blakely Sheet Metal, LLC

Start Date: **02/01/2017**

6362835031

C2 Service Group

Start Date: **05/01/2018**

3142925069

Coatings Unlimited

Start Date: **09/28/2018**

314-741-1637

Control Line, Inc.

Start Date: **07/01/2017**

636-343-5769

CR Painting and More, Inc

Start Date: **06/15/2017**

| | | |
|--------------|---|-------------------------------|
| 3144862038 | D&L Painting and Drywall, LLC | Start Date: 03/01/2017 |
| 3145341030 | David Mason & Associates | Start Date: 05/01/2018 |
| 3142003550 | Enterprise Industrial Construction | Start Date: 07/15/2016 |
| 314-329-9300 | Flooring Systems | Start Date: 06/01/2017 |
| 314-892-7622 | Gateway Fire Protection Systems, Inc | Start Date: 05/01/2017 |
| 3149977440 | GEOTECHNOLOGY, INC. | Start Date: 06/01/2016 |
| 6364091115 | Hawkins Construction & Flatwork Contracting | Start Date: 07/21/2017 |
| 3145346664 | ICS CONSTRUCTION SERVICES | Start Date: 06/01/2017 |
| 314-658-5203 | Kaemmerlen Electric Company | Start Date: 04/25/2016 |
| 3148723400 | KMEIER Roof Systems, Inc | Start Date: 01/02/2017 |
| 618-277-4280 | MAYER LANDSCPAING INC | Start Date: 06/01/2016 |
| 3148431000 | | |

| | |
|---------------------------------------|---|
| Merlo Plumbing Co Inc | Start Date: 09/19/2016 |
| 3143618090 MISSOURI TERRAZZO | Start Date: 12/01/2016 |
| 8477053863 Penn Services LLC | Start Date: 11/01/2016 |
| 6363494990 RF MEEH CO | Start Date: 04/15/2017 |
| 3146441666 Sally Waterproofing | Start Date: 11/20/2016 |
| 5733925554 SURECUT LAWCARE LLC | Start Date: 03/01/2017 |
| (636) 970-2710 XL Contracting Inc. | Start Date: 07/01/2016 |
| 314-534-1030 DAVID MASON & ASSOC | Start Date: 08/01/2016 Contract Amount: \$0.00 |

Project: 17-SB-103454-CB ELEVATOR REHAB - 8 UNITS - MO (FTA GRANTS MO-05-0028, MO-54-0001 AND PROP M)

Project Control ID: Is Community Hiring Goal a Requirement: No

Prime Contractor **Subcontractor** **Sub Subcontractor** **Sub Sub Subcontractor** **Sub Sub Sub Subcontractor**

3148902351
THE HARLAN COMPANY Start Date: 05/26/2017 End 07/31/2018
Contract Amount: \$2,145,450.00
1515 PAGE INDUSTRIAL BLVD. ,
ST. LOUIS , MO 63132

314-522-9400
All American Painting Company Start Date: 02/19/2018 End Date: 09/06/2018
Contract Amount: \$23,694.00
6363431211

| | | |
|------------------------------------|---------------------------------|----------------------|
| Aschinger Electric | Start Date: 02/19/2018 | End Date: 09/06/2018 |
| 3147710868 | Contract Amount: \$179,920.00 | |
| BEETZ PLUMBING | Start Date: 04/16/2018 | |
| 3146311554 | | |
| Degenhardt Heating & Cooling, Inc. | Start Date: 02/19/2018 | End Date: 09/06/2018 |
| | Contract Amount: \$184,695.00 | |
| 9729635273 | | |
| ThyssenKrupp Elevator Corp. | Start Date: 02/14/2018 | End Date: 09/06/2018 |
| | Contract Amount: \$1,100,246.00 | |
| 3149910800 | | |
| THYSSENKRUPP ELEVATOR CORPORATION | Start Date: 02/14/2018 | End Date: 09/06/2018 |
| | Contract Amount: \$1,100,246.00 | |

| | | | | |
|---|--------------------------|---------------------------------|-------------------------------|---------------------------|
| Project: 17-SB-104149-DR - BOYLE - CENTRAL WEST END METROLINK STATION - FACILITIES (FTA GRANT MO-79-X004 AND CORTEX | | | | |
| Project Control ID: Is Community Hiring Goal a Requirement: No | | | | |
| Prime Contractor | Subcontractor | Sub Subcontractor | Sub Sub Subcontractor | Sub Sub Sub Subcontractor |
| 3144215933 | | | | |
| L. Keeley | | Start Date: 06/29/2017 | End | 10/31/2018 |
| | | Contract Amount: \$6,405,349.00 | | |
| 500 South Ewing Avenue , Suite G | | | | |
| St. Louis , MO 63103 | | | | |
| 3147850078 | | | | |
| BRK ELECTRICAL CONTRACTORS LLC | | Start Date: 07/03/2017 | | |
| | 6363524818 | | Contract ID: 17020-03 | |
| | Brandt Contracting, Inc. | | Start Date: 07/01/2017 | End Date: 08/01/2017 |
| | | | Contract Amount: \$75,789.00 | |
| | 6363495999 | | Contract ID: SC#17020-01 | |
| | GERSTNER ELECTRIC | | Start Date: 06/23/2017 | End Date: 05/31/2018 |
| | | | Contract Amount: \$377,037.00 | |
| | 3148927550 | | Contract ID: 17020-03 | |
| | Sager & Son | | Start Date: 07/01/2017 | End Date: 08/01/2017 |
| | | | Contract Amount: \$9,460.00 | |
| 618-274-0105 | | | | |
| BUMPY'S STEEL ERECTION LLC | | Start Date: 07/03/2017 | | |
| 3147716200 | | | | |

Corrigan Company Mechanical Contractors

Start Date: **05/02/2018**

6369378300

D & S FENCING CO INC

Start Date: **07/03/2017**

LC9738124

D&S Fencing Co., Inc

Start Date: **07/03/2017**

314-291-1111

DRILLING SERVICE CO

Start Date: **02/20/2018**

3148436030

Kupferer Brothers Ironworks, Inc.

Start Date: **03/02/2018**

3142802800

St. Louis Steel

Start Date: **02/08/2018**

3144233338

Lorenz and Associates, Inc.

Start Date: **03/02/2018**

618-277-4280

MAYER LANDSCPAING INC

Start Date: **07/03/2017**

3147739954

Mechanical Solutions

Start Date: **11/01/2017**

636-257-3701

Precision Daylighting, Inc.

Start Date: **05/02/2018**

End Date: **05/02/2018**

6365197770

ROSCH Co

Start Date: **06/20/2018**

(636) 978-7770

ROSCH COMPANY

Start Date: **03/26/2018**

| | | |
|------------|----------------------------------|------------------------|
| 6036214090 | SPAN SYSTEMS, INC. | Start Date: 07/03/2017 |
| 636332889 | ST. CHARLES GLASS & GLAZING | Start Date: 07/09/2018 |
| 6364753500 | THOMAS INDUSTRIAL COATINGS, INC. | Start Date: 03/05/2018 |
| 6362257800 | Traffic Control Company | Start Date: 07/03/2017 |
| 3142976968 | Trinity Masonry | Start Date: 01/10/2018 |

Project: 17-SB-104151-DGR BOYLE AVE. STATION & CWE PLATFORM EXTENSION PACKAGE 2 - TRACK (MO-79-X004 AND CORTEX)

Project Control ID: Is Community Hiring Goal a Requirement: No

| Prime Contractor | Subcontractor | Sub Subcontractor | Sub Sub Subcontractor | Sub Sub Sub Subcontractor |
|--|---------------|---------------------------------|-----------------------|---------------------------|
| 3142912233 | | | | |
| RAILWORKS TRACK SERVICES | | Start Date: 10/12/2017 | End | 06/30/2018 |
| | | Contract Amount: \$1,808,394.00 | | |
| 4301 BRIDGETON INDUSTRIAL DRIVE , BRIDGETON , MO 63044-1204 | | | | |

| | | |
|------------|----------------------------|------------------------|
| 3144215933 | L. Keeley | Start Date: 03/26/2018 |
| 3143812277 | N & J RAILROAD CONTRACTING | Start Date: 05/07/2018 |

Project: 17-SB-104152-CB BOYLE AVE STN AND CWE PLATFORM EXT PACKAGE 3 -SIGNALS FTA GRANT MO-79-X004 AND CORTEX

Project Control ID: Is Community Hiring Goal a Requirement: No

| Prime Contractor | Subcontractor | Sub Subcontractor | Sub Sub Subcontractor | Sub Sub Sub Subcontractor |
|------------------|---------------|-------------------|-----------------------|---------------------------|
| 6183987575 | | | | |

| | | | | |
|---|---------------|--|-----------------------|---------------------------|
| WISSEHR ELECTRICAL CONTRACTORS | | Start Date: 07/30/2017 | End | 07/31/2018 |
| | | Contract Amount: \$726,787.03 | | |
| P.O. BOX 23798 , BELLEVILLE , IL 62223 | | | | |
| Project: 17-SB-104153-CG BOYLE AVE STN AND CWE PLATFORM EXT PKG 4 - COMMUNICATIONS FTA GRANT MO-79-X004 & CORTE | | | | |
| Project Control ID: | | Is Community Hiring Goal a Requirement: No | | |
| Prime Contractor | Subcontractor | Sub Subcontractor | Sub Sub Subcontractor | Sub Sub Sub Subcontractor |
| 3145322000 | | | | |
| SACHS ELECTRIC | | Start Date: 08/23/2017 | End Date: | 03/01/2018 |
| PO BOX 96 , ST. LOUIS , MO 63166 | | | | |
| 3144205550 | | | | |
| Pearl Street Electric | | Start Date: 08/18/2017 | | |
| Project: 17-SB-104494-CB, REPAIR & REPLACE CONCRETE AT DEBALIVIERE BUS FACILITY FTA GRANT MO-90-X296 & PROP M | | | | |
| Project Control ID: | | Is Community Hiring Goal a Requirement: No | | |
| Prime Contractor | Subcontractor | Sub Subcontractor | Sub Sub Subcontractor | Sub Sub Sub Subcontractor |
| 6183379494 | | | | |
| L. KEELEY CONSTRUCTION, INC. | | Start Date: 08/16/2017 | End | 12/31/2017 |
| | | Contract Amount: \$287,927.75 | | |
| 2901 FALLING SPRINGS RD , SAUGET , IL 62206 | | | | |
| 3144215933 | | | | |
| L. Keeley | | Start Date: 08/28/2017 | End | 12/31/2017 |
| | | Contract Amount: \$287,927.75 | | |
| 500 South Ewing Avenue , Suite G St. Louis , MO 63103 | | | | |
| 3145962311 | | | | |
| JR CONCRETE SERVICES LLC | | Start Date: 08/21/2017 | | |
| Project: 18-RFP-103593-DGR CM/GC for Union Station Tunnel | | | | |
| Project Control ID: | | Is Community Hiring Goal a Requirement: No | | |

| Prime Contractor | Subcontractor | Sub Subcontractor | Sub Sub Subcontractor | Sub Sub Sub Subcontractor |
|--|--|------------------------|------------------------|---------------------------|
| 5313657619 | | | | |
| Kiewit Corporation | | Start Date: 02/01/2019 | | |
| 12720 I Street , Suite 200 Omaha , NE 68137 | | | | |
| | 20040815 CMT,LLC | | Start Date: 03/13/2019 | |
| | 20050765 Crossroads Construction Services, Inc. | | Start Date: 03/06/2019 | |
| | 6369378300 D & S FENCING CO INC | | Start Date: 03/04/2019 | |
| | 636-225-0017 Elastizell of St. Louis, Inc. | | Start Date: 05/14/2019 | |
| | 6362962012 KOZENY WAGNER | | Start Date: 03/01/2019 | |
| | 573-893-2335 Meyer Electric Company, Inc. | | Start Date: 03/19/2019 | |
| | 3146455566 Premier Demolition, Inc | | Start Date: 04/01/2019 | |
| | LC9865431 Strick & Legit,LLC | | Start Date: 03/28/2019 | |
| | 6183987575 WISSEHR ELECTRICAL CONTRACTORS | | Start Date: 06/03/2019 | |
| | LC9863821 ZOIE,LLC | | Start Date: 05/01/2019 | |

| | | |
|--|--|-------------------------------|
| | 3149391701 D&K Welding | Start Date: 03/13/2019 |
| | LC9738124 D&S Fencing Co., Inc | Start Date: 11/19/2019 |
| | 3142911111 Drilling Service Co. | Start Date: 03/01/2019 |
| | 314-291-1111 DRILLING SERVICE CO | Start Date: 03/06/2019 |
| | 5738932335 Meyer Electric Compnay, Inc. | Start Date: 03/01/2019 |
| | 3147850078 BRK ELECTRICAL CONTRACTORS LLC | Start Date: 08/01/2019 |

Contract Amount: **\$182,720.00**

Project: 18-SB-104831-MD PHASE 5 ADA MISSOURI METROBUS STOP ENHANCEMENT PROJECT - FTA GRANT FUNDED - MO-57-X006

Project Control ID: Is Community Hiring Goal a Requirement: No

| | | | | |
|-------------------------|----------------------|--------------------------|------------------------------|----------------------------------|
| Prime Contractor | Subcontractor | Sub Subcontractor | Sub Sub Subcontractor | Sub Sub Sub Subcontractor |
|-------------------------|----------------------|--------------------------|------------------------------|----------------------------------|

3146675913

RAINERI CONSTRUCTION

| | | |
|--------------------------------------|-----|-------------------|
| Start Date: 04/05/2018 | End | 07/31/2018 |
| Contract Amount: \$143,336.00 | | |

1300 Hampton Avenue Ste 200 ,
ST. LOUIS , MO 63109

Project: 18-SB-104938-CB ELECTRICAL INSTALLATION - ILLINOIS SECURITY GUARD SHACKS (MO-90-X296 AND SCCTD)

Project Control ID: Is Community Hiring Goal a Requirement: No

| | | | | |
|-------------------------|----------------------|--------------------------|------------------------------|----------------------------------|
| Prime Contractor | Subcontractor | Sub Subcontractor | Sub Sub Subcontractor | Sub Sub Sub Subcontractor |
|-------------------------|----------------------|--------------------------|------------------------------|----------------------------------|

6183987575

WISSEHR ELECTRICAL CONTRACTORS

| | | |
|-------------------------------------|-----|-------------------|
| Start Date: 03/26/2018 | End | 06/26/2018 |
| Contract Amount: \$66,860.00 | | |

P.O. BOX 23798 ,
BELLEVILLE , IL 62223

Project: 18-SB-105089-CB FEEDER WIRE REHABILITATION AND SUBSTATION WATERPROOFING AT MO-05

Project Control ID: Is Community Hiring Goal a Requirement: No

| Prime Contractor | Subcontractor | Sub Subcontractor | Sub Sub Subcontractor | Sub Sub Sub Subcontractor |
|---|---------------|-------------------------------|-----------------------|---------------------------|
| 6183987575 | | | | |
| WISSEHR ELECTRICAL CONTRACTORS | | Start Date: 07/24/2018 | End | 12/07/2018 |
| | | Contract Amount: \$318,800.00 | | |
| P.O. BOX 23798 , BELLEVILLE , IL 62223 | | | | |
| 6369378300 | | | | |
| D & S FENCING CO INC | | Start Date: 10/01/2018 | | |
| | | Contract Amount: \$26,420.00 | | |
| LC9738124 | | | | |
| D&S Fencing Co., Inc | | Start Date: 10/07/2018 | End Date: | 06/01/2019 |
| | | Contract Amount: \$26,420.00 | | |
| 3146675913 | | | | |
| RAINERI CONSTRUCTION | | Start Date: 04/01/2019 | End Date: | 06/02/2019 |
| | | Contract Amount: \$8,520.00 | | |
| Project: 18-SB-105153-CG Rehab & Overlay Repair Illinois Bus Facility Training Lot - FTA Funding MO-90-X296 | | | | |
| Project Control ID: Is Community Hiring Goal a Requirement: No | | | | |
| Prime Contractor | Subcontractor | Sub Subcontractor | Sub Sub Subcontractor | Sub Sub Sub Subcontractor |
| 3145677997 | | | | |
| BYRNE & JONES CONSTRUCTION INC. | | Start Date: 06/25/2018 | End | 10/01/2018 |
| | | Contract Amount: \$211,900.00 | | |
| 11745 REAR LACKLAND , ST. LOUIS , MO 63146 | | | | |
| Project: 18-SB-105225-CB BRENTWOOD OIL AND WATER SEPARATOR | | | | |
| Project Control ID: Is Community Hiring Goal a Requirement: No | | | | |
| Prime Contractor | Subcontractor | Sub Subcontractor | Sub Sub Subcontractor | Sub Sub Sub Subcontractor |
| 3148902351 | | | | |
| THE HARLAN COMPANY | | Start Date: 03/21/2019 | End | 10/31/2019 |
| | | Contract Amount: \$404,800.00 | | |
| 1515 PAGE INDUSTRIAL BLVD. , ST. LOUIS , MO 63132 | | | | |
| Project: 18-SS-104906-CB Emergency Temporary Shoring of Union Station Tunnel | | | | |
| Project Control ID: Is Community Hiring Goal a Requirement: No | | | | |
| Prime Contractor | Subcontractor | Sub Subcontractor | Sub Sub Subcontractor | Sub Sub Sub Subcontractor |

6183379494

L. KEELEY CONSTRUCTION, INC.

Start Date: 01/06/2018

End 04/30/2018

Contract Amount: \$100,000.00

2901 FALLING SPRINGS RD ,
SAUGET , IL 62206

Project: 18-SS-104908-CB Temporary Shoring & Barrier Curbs at Delmar Station

Project Control ID: Is Community Hiring Goal a Requirement: No

Prime Contractor Subcontractor Sub Subcontractor Sub Sub Subcontractor Sub Sub Sub Subcontractor

3148902351

THE HARLAN COMPANY

Start Date: 01/06/2018

End 03/31/2018

Contract Amount: \$50,000.00

1515 PAGE INDUSTRIAL BLVD. ,
ST. LOUIS , MO 63132

Project: 18-SS-105288-CB CROSS COUNTY HANLEY BRIDGE - STEEL REPAIR (OPERATIONAL FUNDING)

Project Control ID: Is Community Hiring Goal a Requirement: No

Prime Contractor Subcontractor Sub Subcontractor Sub Sub Subcontractor Sub Sub Sub Subcontractor

6362963300

ST. LOUIS BRIDGE COMPANY

Start Date: 05/23/2018

End Date: 07/31/2018

655 LANDMARK DRIVE ,
ARNOLD , MO 63010

Project: 19-RFQ -105558-FP Feeder Cables at MO-25 Substation

Project Control ID: Is Community Hiring Goal a Requirement: No

Prime Contractor Subcontractor Sub Subcontractor Sub Sub Subcontractor Sub Sub Sub Subcontractor

618-398-7575

Wissehr Electrical Contractor

Start Date: 11/15/2018

5801 W. State Route 161 ,
Belleville , IL 62223

Project: 19-RFQ-105651-CB FURNISH & INSTALL SECURITY FENCES @ 3 METROLINK SIGNAL HOUSES

Project Control ID: Is Community Hiring Goal a Requirement: No

Prime Contractor Subcontractor Sub Subcontractor Sub Sub Subcontractor Sub Sub Sub Subcontractor

314/869-8000

Collins & Hermann, Inc.

Start Date: 03/26/2019

End Date: 06/30/2019

1215 Dunn Road ,
St. Louis , MO 63138

Project: 19-RFQ-106189-CG Install New Fiber Optic Cable - BSD Central Facility PBX Room to Server Room FTA MO-2016-026

Project Control ID: Is Community Hiring Goal a Requirement: No

Prime Contractor

Subcontractor

Sub Subcontractor

Sub Sub Subcontractor

Sub Sub Sub Subcontractor

3143932903

L'Rae Industries LLC dba Arden Technologies

Start Date: 06/05/2019

End 08/30/2019

Contract Amount: \$4,500.00

4285 River Oaks Drive ,
Florissant , MO 63034

Project: 19-SB-105737-CB PEDESRIAN ACCESS CONTROL

Project Control ID: Is Community Hiring Goal a Requirement: No

Prime Contractor

Subcontractor

Sub Subcontractor

Sub Sub Subcontractor

Sub Sub Sub Subcontractor

3146675913

RAINERI CONSTRUCTION

Start Date: 02/26/2019

End 06/30/2019

Contract Amount: \$142,650.00

1300 Hampton Avenue Ste 200 ,
ST. LOUIS , MO 63109

LC9892717

Empire Fence and Custom Iron Works, Inc.

Start Date: 08/01/2019

Project: 19-SB-106178-FP Wellston Walkway and Drainage Improvements

Project Control ID: Is Community Hiring Goal a Requirement: No

Prime Contractor

Subcontractor

Sub Subcontractor

Sub Sub Subcontractor

Sub Sub Sub Subcontractor

6362962012

KOZENY WAGNER

Start Date: 08/27/2019

End Date: 12/27/2019

951 WEST OUTER ROAD ,
ARNOLD , MO 63010

3147850078

BRK ELECTRICAL CONTRACTORS LLC

Start Date: **12/02/2019**

6369378300

D & S FENCING CO INC

Start Date: **09/16/2019**

636-225-0017

Elastizell of St. Louis, Inc.

Start Date: **10/01/2019**

(314) 869-8000

COLLINS & HERMAN

Start Date: **11/01/2019**

3148698000

COLLINS & HERMANN

Start Date: **11/04/2019**

Tuesday, January 7, 2020

LCPtracker - Contractor Assignment Summary Report

**PROCUREMENT CARD PROGRAM
ADMINISTRATIVE REVIEW STATISTICS
JULY 1, 2019 - December 31, 2019**

| | 1st QUARTER FY20 | | 2nd QUARTER FY20 | | FY2020 YTD TOTAL | |
|--|------------------------|-----------------------|------------------------|-----------------------|-------------------------|-----------------------|
| | TRANSACTION COUNT | TRANSACTION AMOUNT | TRANSACTION COUNT | TRANSACTION AMOUNT | TRANSACTION COUNT | TRANSACTION AMOUNT |
| TOTAL TRANSACTIONS | 4044 | \$ 1,400,653 | 3445 | \$ 1,225,004 | 7489 | \$ 2,625,657 |
| TRANSACTIONS REVIEWED | 4044 | \$ 1,400,653 | 3445 | \$ 1,225,004 | 7489 | \$ 2,625,657 |
| TRANSACTIONS INVESTIGATED | 138 | \$ 119,129 | 159 | \$ 81,408 | 297 | \$ 200,537 |
| PERCENTAGE OF TOTAL INVESTIGATED | 3.4% | 8.5% | 4.6% | 6.6% | 4.0% | 7.6% |
| CONFIRMED PROCEDURAL VIOLATIONS | 7 TRANS 2 INCIDENTS | \$ 9,214 | 3 TRANS 2 INCIDENTS | \$ 3,296 | 10 TRANS 4 INCIDENTS | \$ 12,511 |
| CONFIRMED VIOLATION PERCENTAGE OF TOTAL | 0.0% | 0.7% | 0.1% | 0.3% | 0.0% | 0.5% |
| TRANSACTIONS WITH SALES TAX | 15 | \$ 3,160 | 20 | \$ 3,456 | 35 | \$ 6,616 |
| SALES TAX CHARGED | 11 | \$ 121 | 7 | \$ 51 | 18 | \$ 172 |
| PERCENTAGE OF TOTAL TRANSACTIONS WITH SALES TAX | 0.4% | 0.2% | 0.6% | 0.3% | 0.5% | 0.3% |
| REFUNDED SALES TAX | 4 | \$ 104 | 11 | \$ 169 | 15 | \$ 273 |

Memorandum

To: Audit, Finance and Administration Committee

From: Gregory A. Smith
Vice President Purchasing, Materials Management and Supplier Diversity

Date: May 1, 2020

Subject: **Quarterly Procurement Activity Report**
Third Quarter Fiscal Year 2020

BSD Board Policy Chapter 50 Section 010 Paragraph N.3 requires that we provide quarterly reports to the Board relating to procurement activities, which exceed \$100,000, including contract modifications and award of options. The report format that has been used the past several years includes the key sections that are explained below.

Section 1 – Non-Competitive Procurement Trend

Federal regulations and Board Policy require that all procurements be conducted in a manner which fosters full and open competition. In certain instances however, competition is not feasible or practical. This section of the report summarizes the trend and relationship of non-competitive spend to total spend. All individual non-competitive contract awards exceeding \$100,000 are presented to the Board of Commissioners for approval prior to award. Other non-competitive expenditures must be approved by the appropriate Division Vice President, the Vice President of Procurement, and the President and CEO prior to award.

Section 2 – Procurement Contract Awards

This report lists all major (>\$100,000) contract awards during the reporting period and the relevant contract information for each. Information in this report is now listed in descending contract dollar value as requested previously by the Committee.

Section 3 – Contract Modifications

This report lists all contract modification actions executed during the period where the total revised contract amount exceeds \$100,000. Contract modifications include changes to contract scope, exercise of options and extensions, or other actions effecting the contract term. Information in this report is listed in descending contract dollar value as requested previously by the Committee.

Section 4 – Davis Bacon Act Projects

The Davis Bacon Act requires that all construction contracts financed with Federal assistance contain provisions requiring that all laborers and mechanics employed by the contractors or subcontractors to work on the project must be paid wages not less than those established for the area by the Secretary of Labor. The contractors listed in this section submit weekly “certified payrolls” to BSD, which we monitor in accordance with the regulatory requirements.

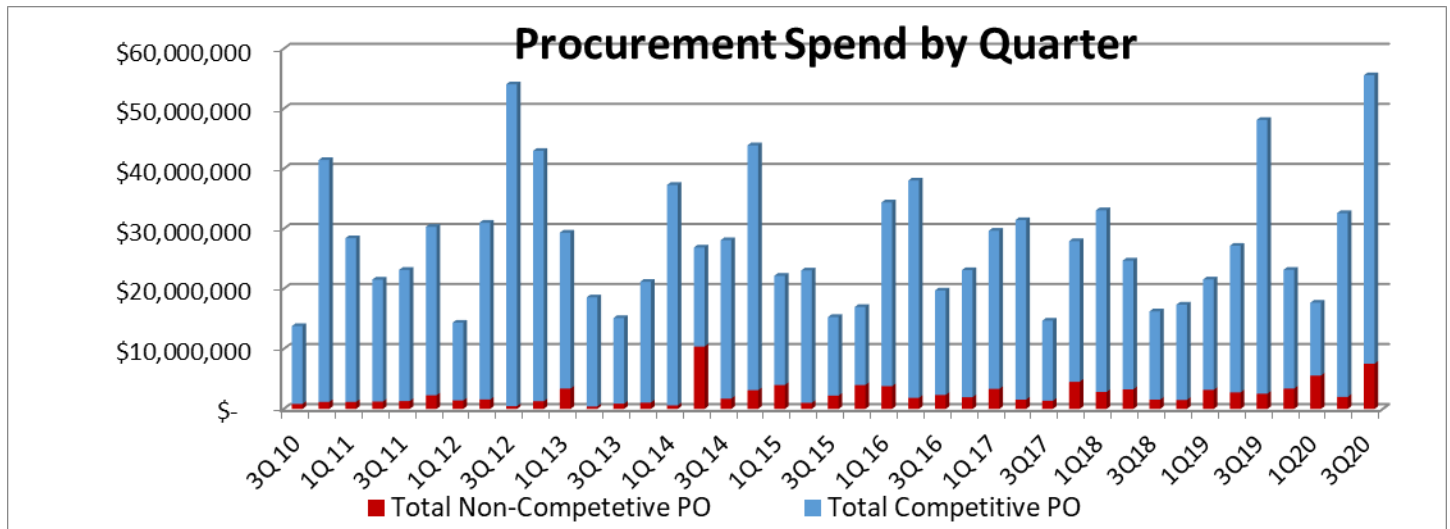
Section 5 – Procurement Card Administration

BSD’s Procurement Department administers a Procurement Card Program, which provides a means for cardholders to procure low-dollar goods and services independently. This program reduces the administrative burden of processing Purchase Orders and Check Requests for small dollar purchases (typically less than \$2500). The report included in this section details the overall volume of transactions and information related to procedural violations and administrative actions on those violations.

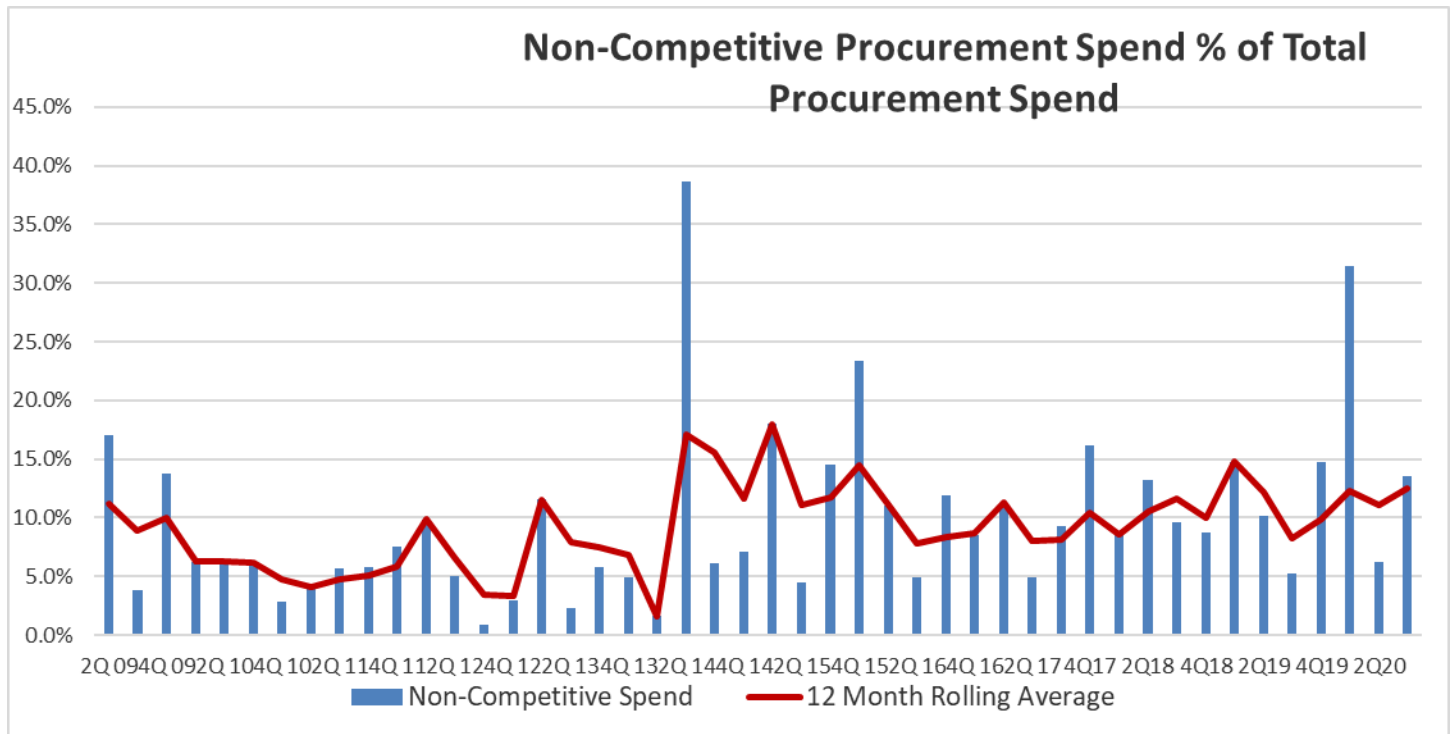
Please feel free to contact me with any suggestions, questions, or information requests that you may have.

Procurement Activity Report
Non-Competitive Procurement Trend
Third Quarter FY2020

Third Quarter 2020 Non-Competitive Procurements totaled \$7,546,665 or 13.6% of total Purchase Order Commitment volume of \$55,579,701.



Rolling last 12 months Non-Competitive Procurements totaled \$18,527,177 or 12.5% of total Purchase Order Commitment volume of \$129,043,079.



**NEW CONTRACT AWARDS EXCEEDING \$100,000
JULY 1, 2019 - MARCH 31, 2020**

| <u>Number</u> | <u>Rev</u> | <u>Description</u> | <u>Type</u> | <u>Order Date</u> | <u>Supplier</u> | <u>Amount</u> | <u>Buyer</u> | <u>Closure Status</u> | <u>DBE Goal</u> |
|---------------|------------|--|-----------------------------|-------------------|----------------------------|------------------|------------------------|-----------------------|-----------------|
| 66841 | 1 | LONO grant funded 14 Battery Electric articulated buses | Standard Purchase Order | 1/16/20 | NEW FLYER OF AMERICA | \$ 18,561,997.16 | Hill, Diana L | Open | 0% |
| 67207 | 0 | 20-RFP-106534-DGR E-Bus Infrastructure | Contract Purchase Agreement | 2/24/20 | NEW FLYER OF AMERICA | \$ 7,582,918.69 | Ramsay, David George | Open | 7% |
| 67337 | 0 | LONO funded competitive grant 4 Battery Electric 40 Ft. Buses | Standard Purchase Order | 3/6/20 | GILLIG LLC | \$ 3,800,000.00 | Hill, Diana L | Open | 0% |
| 65783 | 0 | 20-SB-106232-DGR Bus Stop Signage Replacement Period of Performance October 2019 through April, 2020. | Contract Purchase Agreement | 10/8/19 | THE HARLAN COMPANY | \$ 2,480,850.00 | Ramsay, David George | Open | 0% |
| 67097 | 0 | 19-RFP-105914-FP Life & Disability Insurance. Period of Performance January 1, 2020 - December 31, 2023 | Contract Purchase Agreement | 2/11/20 | STANDARD INSURANCE COMPANY | \$ 2,334,434.00 | Paronish, Francis J Jr | Open | 0% |
| 67598 | 0 | 20-RFP-106367-DR - Parkway Interlocking , Richmond Heights Turnout Design Services. Period of Performance April 16, 2020 through April 30, 2021. | Contract Purchase Agreement | 3/25/20 | STV INCORPORATED | \$ 1,100,000.00 | Rowey, Deborah M | Open | 7% |
| 64942 | 0 | Arch Transportation System Tram Mechanics & Materials FY20. Period of Performande July, 2019 through June, 2020. | Standard Purchase Order | 7/18/19 | NATIONAL PARK SERVICE | \$ 979,082.00 | Dillard, Marian Denise | Open | 0% |
| 64902 | 1 | 19-RFP-105970-MD Gateway Arch Marketing & Advertising Agency, Three Base Years and Two Option Years. Period of Performance July 16, 2019 through July 15, 2022. | Contract Purchase Agreement | 7/16/19 | PNMG, INC. | \$ 825,000.00 | Dillard, Marian Denise | Open | 0% |
| 67526 | 2 | 20-SS-106921-CG Payment Card Industry Payment Application Data Security (PCI-PA-DSS for Ticketing Vending Machine (TVM). Period of Performance April 1, 2020 through March 31, 2021. | Contract Purchase Agreement | 3/20/20 | INDRA USA INC | \$ 739,166.24 | Gates, Carol Renee | Open | 0% |

**NEW CONTRACT AWARDS EXCEEDING \$100,000
JULY 1, 2019 - MARCH 31, 2020**

| <u>Number</u> | <u>Rev</u> | <u>Description</u> | <u>Type</u> | <u>Order Date</u> | <u>Supplier</u> | <u>Amount</u> | <u>Buyer</u> | <u>Closure Status</u> | <u>DBE Goal</u> |
|---------------|------------|---|-----------------------------|-------------------|---------------------------------|---------------|------------------------|-----------------------|-----------------|
| 65344 | 0 | 19-SB-106178-FP Wellston Walkway and Drainage Improvements. Period of Performance August 27, 2019 through December 27, 2019. | Contract Purchase Agreement | 8/26/19 | KOZENY WAGNER INC | \$ 657,469.00 | Paronish, Francis J Jr | Open | 13% |
| 64897 | 0 | 20-SB-106272-SG Right of Way Worker Protection System Delivery, Period of Performance Ten (10) Days ARO. | Standard Purchase Order | 7/15/19 | GLOBE ELECTRIC SUPPLY COMPANY | \$ 652,980.00 | Griffin, Sandra P | Closed | 0% |
| 65570 | 0 | 19-RFP-106008-CB ML Audio Frequency Track Circuit Upgrades. Period of Performance September 18, 2019 through December 31, 2019. | Standard Purchase Order | 9/17/19 | ROCKY MOUNTAIN SYSTEMS SERVICES | \$ 566,313.00 | Bonds, Charcita M | Open | 0% |
| 67465 | 0 | 20-SB-106637-CB 5th & MO Parking Lot Rehabilitation. Period of Performance March 17, 2020 through September 30, 2020. | Standard Purchase Order | 3/17/20 | STUTZ EXCAVATING, INC. | \$ 509,921.30 | Bonds, Charcita M | Open | 23% |
| 65300 | 0 | 19-SB-106099-DGR MetroLink Phase 1 Substation AC Breaker Replacement, Period of Performance, September 2019 through December 2020. | Contract Purchase Agreement | 8/22/19 | ZELLER TECHNOLOGIES INC | \$ 489,468.00 | Ramsay, David George | Open | 0% |
| 65027 | 0 | 20-SS-106323-CG Oracle Annual Maintenance E-Business Suite. Period of Performance August 1, 2019 through July 31, 2020. | Contract Purchase Agreement | 7/26/19 | MYTHICS INC | \$ 465,156.83 | Gates, Carol Renee | Open | 0% |
| 66305 | 0 | 19-RFQ-106627-BH Winter Storm Supplies. | Standard Purchase Order | 11/22/19 | KIRKWOOD MATERIAL SUPPLY, INC. | \$ 427,000.00 | Howard, Bryan L | Open | 0% |
| 64978 | 0 | 19-SB-106246 -TJ Missouri Yards & Shop Facility Cleaning. Period of Performance June 10, 2019 through June 9, 2022. | Contract Purchase Agreement | 7/24/19 | WORLD MANAGEMENT, INC | \$ 365,460.00 | Johnson, Theresa | Open | 15% |
| 67536 | 1 | Emergency Temp - Brightstar - Vobis Sanus LLC. | Standard Purchase Order | 3/25/20 | VOBIS SANUS LLC | \$ 349,999.00 | Rowey, Deborah M | Open | 0% |
| 66216 | 0 | 20-RFP-106320-DH Light Rail Vehicle Fleet Assessment. Period of performance 11-19-19 through 05-25-20. | Standard Purchase Order | 11/14/19 | LTK CONSULTING SERVICES, INC. | \$ 280,120.00 | Hill, Diana L | Open | 0% |
| 67178 | 0 | 20-RFQ-106748-SG Food, Beverage, Produce and Supply Items for Riverboats and Dock. Period of Performance January 1, 2020 through December 31, 2020. | Standard Purchase Order | 2/19/20 | US FOODSERVICE INC | \$ 270,000.00 | Griffin, Sandra P | Open | 0% |

**NEW CONTRACT AWARDS EXCEEDING \$100,000
JULY 1, 2019 - MARCH 31, 2020**

| <u>Number</u> | <u>Rev</u> | <u>Description</u> | <u>Type</u> | <u>Order Date</u> | <u>Supplier</u> | <u>Amount</u> | <u>Buyer</u> | <u>Closure Status</u> | <u>DBE Goal</u> |
|---------------|------------|---|-----------------------------|-------------------|--------------------------|---------------|------------------------|-----------------------|-----------------|
| 66961 | 0 | 20-SB-106706-MD GETAC Mobile Tablets for EAM Project. Period of Performance January, 2020 to December, 2020 | Standard Purchase Order | 1/30/20 | CDW GOVERNMENT INC | \$ 249,630.00 | Dillard, Marian Denise | Open | 0% |
| 67054 | 0 | 20-SB-106195-DGR JNEM North West Corner Rehabilitation. Period of Performance February 2020 through June 2020. | Contract Purchase Agreement | 2/6/20 | KOZENY WAGNER INC | \$ 249,215.00 | Ramsay, David George | Open | 0% |
| 67460 | 0 | 20-SB-106791-SG Metro Timetables Panel Size 4 thru 9. Period of Performance March 16, 2020 through March 15, 2021. | Contract Purchase Agreement | 3/16/20 | M. C. I. PRINTING INC | \$ 225,390.00 | Griffin, Sandra P | Open | 0% |
| 65922 | 0 | 20-RFQ-106411-BH - Rehab Brentwood Bus Facility Tank Farm (One Time Project). | Standard Purchase Order | 10/22/19 | UPC ENTERPRISE LC | \$ 201,772.99 | Howard, Bryan L | Open | 0% |
| 67180 | 1 | 20-RFQ-106748-SG Food, Beverage, Produce and Supply Items for Riverboats and Dock. January 1, 2020 through December 31, 2020. | Standard Purchase Order | 2/19/20 | SYSCO ST LOUIS LLC | \$ 200,000.00 | Griffin, Sandra P | Open | 0% |
| 65664 | 0 | Sole Source SCF Services, LLC - Install False Inner Bottom on the Mooring Barge. | Standard Purchase Order | 9/26/19 | SCF SERVICES, LLC | \$ 185,845.00 | Griffin, Sandra P | Open | 0% |
| 66717 | 0 | Tom Sawyer January 2020 e-mail quotes. | Standard Purchase Order | 1/7/20 | SCF SERVICES, LLC | \$ 185,000.00 | Hill, Diana L | Open | 0% |
| 67057 | 0 | 19-RFP-105506-DGR New Gateway Arch Experience Step 2 Period of Performance from February 2020 through September 2021. | Contract Purchase Agreement | 2/6/20 | AECOM TECHNICAL SERVICES | \$ 169,980.00 | Ramsay, David George | Open | 0% |
| 64991 | 0 | State Contract # - AR-23 (14-19) - Cisco Hardware Replacement/Upgrade. Contract Code: CISWSCAMO1G - Expiration 05-31-2020. | Standard Purchase Order | 7/24/19 | CDW GOVERNMENT INC | \$ 165,662.25 | Dillard, Marian Denise | Closed | 0% |
| 66984 | 0 | 20-SB-106666-CG Computer Equipment. | Standard Purchase Order | 1/31/20 | DELL MARKETING LP | \$ 162,216.00 | Gates, Carol Renee | Closed | 0% |
| 66825 | 1 | CWE Shuttle Service Metrolink Station. | Standard Purchase Order | 1/15/20 | GEM TRANSPORTATION | \$ 158,922.00 | Hill, Diana L | Open | 0% |

**NEW CONTRACT AWARDS EXCEEDING \$100,000
JULY 1, 2019 - MARCH 31, 2020**

| <u>Number</u> | <u>Rev</u> | <u>Description</u> | <u>Type</u> | <u>Order Date</u> | <u>Supplier</u> | <u>Amount</u> | <u>Buyer</u> | <u>Closure Status</u> | <u>DBE Goal</u> |
|---------------|------------|---|-----------------------------|-------------------|-------------------------------|---------------|------------------------|-----------------------|-----------------|
| 64793 | 0 | 20-RFQ-106291-CB, Unleaded Gasoline, Period of Performance, July 3, 2019 through September 3, 2019. | Standard Purchase Order | 7/2/19 | PETROLEUM TRADERS CORPORATION | \$ 158,518.38 | Bonds, Charcita M | Open | 0% |
| 66477 | 0 | 20-SB-106442-FP SCCTD Bus Shelter (Pads). Period of Performance December 20, 2019 through March 4, 2020. | Standard Purchase Order | 12/11/19 | RAINERI CONSTRUCTION, LLC | \$ 128,691.00 | Paronish, Francis J Jr | Open | 21% |
| 65879 | 0 | 50 Ton HVAC Roof Top Unit Replacement Ewing (One Time Purchase) | Standard Purchase Order | 10/18/19 | THE WALDINGER CORP | \$ 123,671.22 | Rowey, Deborah M | Open | 0% |
| 67496 | 0 | 20-RFP-106440-CG Consulting Services. Strategic Planning on Investment and Decison Making for Metro Transit. Period of Performance February 10, 2020 through August 10, 2020. | Contract Purchase Agreement | 3/18/20 | EXPERIENCE ON DEMAND LLC | \$ 115,544.00 | Gates, Carol Renee | Open | 0% |
| 67005 | 0 | 20-RFQ-106749-SG Food, Beverage, Candy, and Other Items for the Riverboats and Dock. Period of Performance January 1, 2020 through December 31, 2020. | Standard Purchase Order | 2/4/20 | FOUR SEASONS DISTRIBUTORS | \$ 113,500.00 | Griffin, Sandra P | Open | 0% |

Contract Modifications FY 2020

July 1, 2019 - March 31, 2020

| Contract # | Task Order | Mod # | Description | Reason for Mod | Contractor | DBE % | Original Contract Amount | Value of Previous Mods | Mod Date | Mod Amount | Revised Contract Amount | Funding Source | # Days Extend | # of Extension to Date |
|-------------------|------------|-------|--------------------------------------|-------------------------------------|---------------------------------------|-------|--------------------------|------------------------|----------|------------|-------------------------|--------------------------|---------------|------------------------|
| 13-RFP-5980-SG | | 18 | Security & Fare Enforcement Services | No Cost Time Extension | Securitas Security Services USA, Inc. | 10% | \$ 3,235,705 | \$ 30,143,807.01 | 01/07/20 | \$ - | \$ 33,379,512 | Operations | 4 | 630 |
| 13-RFP-5980-SG | | 17 | Security & Fare Enforcement Services | Time Extension/Change in Scope | Securitas Security Services USA, Inc. | 10% | \$ 3,235,705 | \$ 28,643,807.00 | 07/24/19 | \$ - | \$ 31,879,512 | Operations | 360 | 2 |
| 18-RFP-103593-DGR | | 4 | CM/GC for Union Station Tunnel | Additional Funds | Kiewit Infrastructure Company | 20% | \$ 441,944 | \$ 12,991,679.49 | 03/26/20 | \$ 316,476 | \$ 13,750,099 | MO-54-001 & Prop M | 0 | 0 |
| 18-RFP-103593-DGR | | 3 | CM/GC for Union Station Tunnel | Additional Funds | Kiewit Infrastructure Company | 19.5% | \$ 441,944 | \$ 10,980,806.00 | 12/23/19 | \$ 419,438 | \$ 11,842,188 | MO-54-0001 & Prop M | 0 | 0 |
| 14-SB-99550-SM/CG | | 8 | MetroLink Station Cleaning | Additional Funds/Time Extension | Katsam Enterprises | 15% | \$ 5,770,470 | \$ 4,693,823.60 | 12/26/19 | \$ 520,125 | \$ 10,984,418 | Operations | 180 | 2 |
| 14-SB-99550-SM/CG | | 7 | MetroLink Station Cleaning | Time Extension and additional funds | Katsam Enterprises | 27% | \$ 5,770,470 | \$ 4,173,698.60 | 10/01/19 | \$ 520,125 | \$ 10,464,293 | Operations | 90 | 1 |
| 16-SB-102360-CB | | 10 | Metro Downtown Transit Center | Additional Funds | KCI Construction Co. | 29% | \$ 7,267,000 | \$ 1,257,406.11 | 01/09/20 | \$ 62,897 | \$ 8,587,303 | FTA: MO-90-X296 & Prop M | 0 | 0 |
| 16-SB-102360-CB | | 9 | Metro Downtown Transit Center | Additional Funds | KCI Construction Co. | 29% | \$ 7,267,000 | \$ 1,174,923.19 | 12/13/19 | \$ 82,483 | \$ 8,524,406 | FTA: MO-90-X296 & Prop M | 90 | 1 |
| 16-SB-102360-CB | | 8 | Metro Downtown Transit Center | Additional Funds | KCI Construction Co. | 29% | \$ 7,267,000 | \$ 1,110,059.50 | 11/05/19 | \$ 64,864 | \$ 8,441,923 | FTA: MO-90-X296 & Prop M | 90 | 1 |

Contract Modifications FY 2020

July 1, 2019 - March 31, 2020

| Contract # | Task Order | Mod # | Description | Reason for Mod | Contractor | DBE % | Original Contract Amount | Value of Previous Mods | Mod Date | Mod Amount | Revised Contract Amount | Funding Source | # Days Extend | # of Extension to Date |
|-------------------|------------|-------|---|---|---|-------|--------------------------|------------------------|-----------|--------------|-------------------------|--|---------------|------------------------|
| 17-SB-104149-DR | | 11 | Boyle Ave/CWE Metrolink Station - Facilities | Change Orders | L. Keeley Construction | 14% | \$ 6,405,349 | \$ 214,819.10 | 09/16/19 | \$ 463,652 | \$ 7,083,820 | MO-79-X004 and Cortex | 0 | 0 |
| 15-RFP-101355-DR | | 3 | Medical ASO & Stop Loss Coverage (Employment Assistance Program (EAP) Services) | Option Yr. 2 | Cigna Health & Life Insurance and Cigna Behavioral Health | 0% | \$ 3,721,760 | \$ 1,355,991.12 | 12/10/19 | \$ 1,429,614 | \$ 6,507,365 | Operations | 0 | 0 |
| 17-RFP-103314-DGR | | 3 | Union Station Rehabilitation Design Services | Additional Funds | Modjeski & Masters, Inc. | 10% | \$ 4,432,067 | \$ 170,435.39 | 11/21/19 | \$ 664,089 | \$ 5,266,592 | MO-54-0001/MO-95-X257 and Prop M | 0 | 0 |
| 16-RFP-102885-DR | | 1 | Dental Plan Services | Option Yr. 1 | Delta Dental of Missouri | 0% | \$ 3,430,610 | \$ - | 12/03/19 | \$ 1,225,390 | \$ 4,656,000 | Operations | 0 | 0 |
| 17-RFP-103083-DR | | 4 | Enterprise Asset Management Software & Implementation | Additional Funds | Trapeze Software Group | 0% | \$ 3,000,000 | \$ 1,012,978.00 | 03/12/20 | \$ 69,138 | \$ 4,082,116 | FTA 5307 & Prop M | 180 | 1 |
| 17-RFP-103083-DR | | 2 | Enterprise Asset Management Software & Implementation | Upgrade of M5 to EAM for Rolling Stock / Time Extension | Trapeze Software Group, Inc. | 0% | \$ 3,000,000 | \$ (14,862.00) | 08/19//19 | \$ 1,027,840 | \$ 4,012,978 | FTA 5307 & Prop M | 1 | 180 |
| 17-SB-103545-CB | | 3 | Elevator Rehab - 6 units - MO | Additional Funds | The Harlan Company | 0% | \$ 2,145,450 | \$ 1,077,118.97 | 03/20/19 | \$ 499,087 | \$ 3,721,656 | FTA: MO-2018-002 & Prop M | 540 | 1 |
| 17-SB-103545-CB | | 2 | Elevator Rehab - 6 Units - MO | Change in Scope/Time Extension | The Harlan Company | 0% | \$ 2,145,450 | \$ 258,118.97 | 07/16/19 | \$ 819,000 | \$ 3,222,569 | MO-90-X296, MO-2018-002, MO-54-000, SCCTD & Prop M | 540 | 1 |
| 17-RFP-103083-DR | | 1 | Enterprise Asset Management Software & Implementation | Change in Scope | Trapeze Software Group, Inc. | 0% | \$ 3,000,000 | \$ - | 07/16/19 | \$ (14,862) | \$ 2,985,138 | FTA Grant MO-04-0130 & MO-90-X296 & Prop M | 0 | 0 |

Contract Modifications FY 2020
July 1, 2019 - March 31, 2020

| Contract # | Task Order | Mod # | Description | Reason for Mod | Contractor | DBE % | Original Contract Amount | Value of Previous Mods | Mod Date | Mod Amount | Revised Contract Amount | Funding Source | # Days Extend | # of Extension to Date |
|-------------------|------------|-------|---|----------------------------------|--|-------|--------------------------|------------------------|----------|--------------|-------------------------|--|---------------|------------------------|
| 18-RFP-104788-DGR | | 1 | ML Structures Inspection Program Engineering Services | Option Yr. 1/ Additional Funds | Juneau Associates, Inc. | 16% | \$ 1,782,223 | \$ - | 03/25/20 | \$ 1,067,100 | \$ 2,849,323 | Operations | 0 | 0 |
| 16-RFP-102633-CB | | 3 | Track Maintenance Services | Option Yr 1 | Ironhorse, Inc. | 0% | \$ 1,737,449 | \$ 312,303.00 | 09/26/19 | \$ 715,788 | \$ 2,765,540 | Operations | 0 | 0 |
| 16-RFP-102111-CG | | 4 | On Call GEC Services/Multiple Disciplines | Time Extension | Jacobs Engineering | 0% | \$ 500,000 | \$ 2,000,000.00 | 03/12/20 | \$ - | \$ 2,500,000 | Captial Projects, Local Funding, FTA, Operating Budget | 90 | 1 |
| 14-RFP-99256-DAB | | 5 | Brentwood Facility Cleaning Services | Time Extension/ Additional Funds | ISS Facility Services Inc. | 15% | \$ 791,168 | \$ 1,284,903.63 | 09/19/19 | \$ 98,152 | \$ 2,174,224 | Operations | 180 | 2 |
| 14-SB-99459-TJ | | 4 | DeBaliviere Center Cleaning | Time Extension | ISS Facility Cleaning | 15% | \$ 739,359 | \$ 1,109,679.72 | 10/04/19 | \$ 150,000 | \$ 1,999,039 | Operations | 120 | 1 |
| 15-SB-101602-CG | | 4 | Tree Maintenance Services | Option Yr 2/ Additional Funds | Happy Tree Service, LLC | 0% | \$ 918,000 | \$ 531,000.00 | 08/27/19 | \$ 464,355 | \$ 1,913,355 | Operations | 0 | 0 |
| 14-RFP-99536-DR | | 6 | Temporary Help Services | Additional Funds | Above All Personnel | 0% | \$ 952,825 | \$ 680,251.00 | 12/11/19 | \$ 205,900 | \$ 1,838,976 | Operations | 180 | 1 |
| 14-RFP-99536-DR | | 4 | Temporary Help Services | Additional Funds | Above All Personnel | 0% | \$ 952,825 | \$ 568,251.00 | 08/21/19 | \$ 112,000 | \$ 1,633,076 | Operations | 0 | 0 |
| 14-RFP-99536-DR | | 5 | Temporary Help Services | Time Extension | Above All, Roth Staffing, Stevers Staffing, Staffing Solutions | 0% | \$ 934,722 | \$ 687,172.40 | 10/14/19 | \$ - | \$ 1,621,894 | Operations | 180 | 1 |

Contract Modifications FY 2020

July 1, 2019 - March 31, 2020

| Contract # | Task Order | Mod # | Description | Reason for Mod | Contractor | DBE % | Original Contract Amount | Value of Previous Mods | Mod Date | Mod Amount | Revised Contract Amount | Funding Source | # Days Extend | # of Extension to Date |
|------------------|------------|-------|--|----------------------------------|----------------------------|-------|--------------------------|------------------------|----------|------------|-------------------------|---------------------|---------------|------------------------|
| 16-RFP-102886-CG | | 2 | Development and Implementation of Marketing Activities for BSD | Option Yr. 1 | Werremeyer, Inc. | 0% | \$ 600,000 | \$ 600,000.00 | 07/18/19 | \$ 400,000 | \$ 1,600,000 | Operations | 0 | 0 |
| 16-RFP-102975-CG | | 1 | Development and Implementation of Marketing Activities for Metro Transit | Option Yr 1 | PAVLOV Advertising, Inc. | 0% | \$ 1,200,000 | \$ - | 08/27/19 | \$ 400,000 | \$ 1,600,000 | Operations | 0 | 0 |
| 15-RFP-101247-DR | | 2 | Occupational Medicine - Medical Examinations & Drug & Alcohol Screening Services | Option Yr 2 | BJC/BarnesCare | 0% | \$ 941,282 | \$ 323,845.50 | 08/21/19 | \$ 328,698 | \$ 1,593,825 | Operations | 0 | 0 |
| 17-SB-104164-BH | | 3 | Brentwood Bus Cleaning Service | Option Yr 1 | Innex Service Co | 11% | \$ 598,712 | \$ 260,845.42 | 07/02/19 | \$ 434,920 | \$ 1,294,478 | Operations | 0 | 0 |
| 14-RFP-98761-DR | | 5 | Health & Welfare Consultant Services | Additional Funds/Time Extension | Arthur J. Gallagher & Co. | 0% | \$ 145,000 | \$ 655,934.00 | 02/25/20 | \$ 106,500 | \$ 907,434 | Operations | 455 | 2 |
| 14-RFP-98761-DR | | 4 | Health & Welfare Consultant Services | Time Extension/ Additional Funds | Arthur J. Gallagher & Co | 0% | \$ 427,000 | \$ 302,931.61 | 08/12/19 | \$ 71,000 | \$ 800,932 | Operations | 180 | 1 |
| 17-SB-103489-DAB | | 3 | Central Main Facility Cleaning Services | Additional Funds | LRL Commercial Cleaning | 12% | \$ 446,400 | \$ 274,026.10 | 08/19/19 | \$ 65,829 | \$ 786,255 | Operations | 0 | 0 |
| 18-SB-104684-SG | | 1 | MetroLink Manual Ticket Sales/Revenue Services | Option Yr. 1 | Above All Personnel | 7% | \$ 522,545 | \$ - | 11/21/19 | \$ 261,272 | \$ 783,817 | Operations | 0 | 0 |
| 18-SB-104767-CG | | 3 | Fire Extinguisher Services | Additional Funds | Weber Fire & Safety | 0% | \$ 592,296 | \$ 63,885.42 | 11/25/19 | \$ 96,000 | \$ 752,181 | Operations | 0 | 0 |
| 19-SB-106178-FP | | 1 | Wellston Walkway and Drainage Improvements | Additional Funds | Kozeny Wagner Construction | 13% | \$ 657,469 | \$ - | 01/15/20 | \$ 20,976 | \$ 678,445 | MO-90-X296 & Prop M | 0 | 0 |

Contract Modifications FY 2020

July 1, 2019 - March 31, 2020

| Contract # | Task Order | Mod # | Description | Reason for Mod | Contractor | DBE % | Original Contract Amount | Value of Previous Mods | Mod Date | Mod Amount | Revised Contract Amount | Funding Source | # Days Extend | # of Extension to Date |
|--------------------|------------|-------|--|----------------------------------|-------------------------------|-------|--------------------------|------------------------|----------|------------|-------------------------|----------------------------------|---------------|------------------------|
| 16-SB-102814-TJ/BH | | 6 | North County Transit Center Facility Cleaning | Time Extension | MERS/Goodwill Industries | 13% | \$ 140,483 | \$ 466,913.58 | 10/22/19 | \$ 39,000 | \$ 646,397 | Operations | 90 | 2 |
| 16-SB-102814-TJ/BH | | 5 | North County Transit Center Facility Cleaning | Time Extension | MERS/Goodwill Industries | 13% | \$ 140,483 | \$ 431,792.88 | 07/23/19 | \$ 35,121 | \$ 607,397 | Operations | 90 | 1 |
| 17-SB-104153-CG | | 4 | Boyle Avenue Station and CWE Platform Extension Package 4 - Communications | Time Extension/ Additional Funds | Sachs Electric Company | 9% | \$ 540,645 | \$ - | 08/28/19 | \$ 49,592 | \$ 590,237 | FTA #MO79-X004/ Cortex | 180 | 3 |
| 19-SB-106099-DGR | | 1 | MetroLink Phase 1 Substation AC Breaker Replacement | Additional Funds | Zeller Technologies, Inc. | 0% | \$ 489,468 | \$ - | 01/15/20 | \$ 38,888 | \$ 528,356 | FTA: MO-54-0001 & Prop M | 0 | 0 |
| 16-RFP-102014-DR | | 2 | Risk Management Information System | Option Yr. 2 | Computer Sciences Corporation | 0% | \$ 357,789 | \$ 71,824.00 | 03/05/20 | \$ 71,824 | \$ 501,437 | Transit Operating Uniform Budget | 0 | 0 |
| 18-RFP-104576-SG | | 2 | Passenger Counting & Surveying | Option Yr. 2 | Above All Personnel | 10% | \$ 165,800 | \$ 165,755.00 | 10/21/19 | \$ 165,770 | \$ 497,325 | Operations | 0 | 0 |
| 15-RFP-101423-SG | | 7 | Temporary Employee Services for Quality Control Observation (Spotter) | Option Yr. 2/ Additional Funds | Above All Personnel | 0% | \$ 50,650 | \$ 315,950.00 | 03/19/20 | \$ 35,000 | \$ 401,600 | Operations | 0 | 0 |
| 17-SB-103398-SG | | 1 | Metro Timetables Panel Size 4 thru 9 | Option Yr 1/ 90 Day Extension | James Mulligan Printing | 0% | \$ 233,704 | \$ - | 08/23/19 | \$ 166,852 | \$ 400,566 | Operations | 90 | 1 |
| 18-RFP-104845-DR | | 2 | Northside-Southside Corridor TOD Planning Study | Time Extension | N/A | 10% | \$ 375,000 | \$ 5,000.00 | 07/01/19 | \$ - | \$ 380,000 | Operations | 90 | 2 |
| 17-SB-104294-TJ | | 2 | St Clair Yards & Shop | Additional Funds | MERS Goodwill | 10% | \$ 219,963 | \$ 15,000.00 | 10/24/19 | \$ 131,982 | \$ 366,945 | Operations | 0 | 0 |

Contract Modifications FY 2020

July 1, 2019 - March 31, 2020

| Contract # | Task Order | Mod # | Description | Reason for Mod | Contractor | DBE % | Original Contract Amount | Value of Previous Mods | Mod Date | Mod Amount | Revised Contract Amount | Funding Source | # Days Extend | # of Extension to Date |
|----------------------|------------|-------|--|----------------------------------|-------------------------------|-------|--------------------------|------------------------|----------|------------|-------------------------|-------------------------|---------------|------------------------|
| 16-SB-102491 | | 3 | Uniform Rental & Cleaning Services | Time Extension | Aramark | 0% | \$ 167,773 | \$ 142,297.00 | 08/11/19 | \$ 48,000 | \$ 358,070 | Operations | 120 | 1 |
| 13-RFP-5991-DR/VH/MD | 7a | 2 | On-Call Information Technology Consulting Services | Time Extension/ Additional Funds | Randstad Technologies | 0% | \$ 150,000 | \$ 97,440.00 | 08/20/19 | \$ 97,440 | \$ 344,880 | Operations | 105 | 1 |
| 17-RFP-103340-MD | | 3 | On Call Public Relations and Community Engagement Support | Additional Funds | The Hauser Group, Inc. | 0% | \$ 66,667 | \$ 240,000.00 | 09/19/19 | \$ 34,000 | \$ 340,667 | Operations | 0 | 0 |
| 16-RFP-102859-DR | | 5 | Pre-Employment Background Investigation Services | Option Yr 3 | AccuSource, Inc. | 0% | \$ 75,000 | \$ 182,000.00 | 08/28/19 | \$ 75,000 | \$ 332,000 | Operations | 0 | 0 |
| 19-SB-105471-DGR | | 1 | DeBaliviere Facility Masonry Repair | Additional Funds | Western Specialty Contractors | 5% | \$ 327,337 | \$ - | 12/18/19 | \$ 1,558 | \$ 328,895 | MO-90-X231 & Prop M | 0 | 0 |
| 17-SS-103773-CG | | 2 | Remix Software | Additional Funds/Time Extension | Remix Software, Inc. | 0% | \$ 280,000 | \$ 22,500.00 | 02/27/20 | \$ 22,500 | \$ 325,000 | Operations | 180 | 2 |
| 18-SB-105089-CB | | 4 | Feeder Wire Rehabilitation & Substation Waterproofing at MO-05 | Change in Scope | Wissehr Electrical | 15% | \$ 318,800 | \$ 10,557.38 | 07/12/19 | \$ (9,963) | \$ 319,395 | FTA MO-05-0028 & Prop M | 180 | 2 |
| 17-SB-103729-CG | | 3 | Oracle Analytics Software/ Maintenance | Time Extension/ Additional Funds | Mythics., Inc | 0% | \$ 198,851 | \$ 74,976.40 | 11/18/19 | \$ 39,564 | \$ 313,392 | Operations | 0 | 0 |
| 17-RFP-103340-MD | | 2 | On Call Public Relations and Community Engagement Support | Option Yr 2 | The Hauser Group, Inc. | 0% | \$ 66,667 | \$ 120,000.00 | 09/06/19 | \$ 120,000 | \$ 306,667 | Operations | 0 | 0 |

Contract Modifications FY 2020

July 1, 2019 - March 31, 2020

| Contract # | Task Order | Mod # | Description | Reason for Mod | Contractor | DBE % | Original Contract Amount | Value of Previous Mods | Mod Date | Mod Amount | Revised Contract Amount | Funding Source | # Days Extend | # of Extension to Date |
|----------------------|------------|-------|--|----------------------------------|--|-------|--------------------------|------------------------|----------|------------|-------------------------|----------------|---------------|------------------------|
| 18-RFP-104933-DR/MD | | 1 | Gateway Arch Public Relations Communications Agency Services | Additional Funds/Change in Scope | Common Ground Public Relations | 0% | \$ 30,000 | \$ 270,000.00 | 02/20/20 | \$ 6,500 | \$ 306,500 | Operations | 0 | 0 |
| 17-SS-103773-CG | | 1 | Remix Software | Time Extension/ Additional Funds | Remix Software, Inc. | 0% | \$ 280,000 | \$ - | 11/12/19 | \$ 22,500 | \$ 302,500 | Operations | 90 | 1 |
| 13-RFP-5991-DR/VH/MD | 8 | 2 | On-Call Information Technology Consulting Services | Time Extension/ Additional Funds | Amitech Solution, Inc. | 0% | \$ 89,100 | \$ 90,000.00 | 12/06/19 | \$ 107,120 | \$ 286,220 | Operations | 180 | 1 |
| 16-SB-103100-DAB | | 1 | Metro's Towing & Wrecker Services | Option Yr. 1 | Mike's Towing and Automotive Specialties, Inc. | 15% | \$ 210,000 | \$ - | 11/21/19 | \$ 70,000 | \$ 280,000 | Operations | 0 | 0 |
| 16-SB-103049-CB | | 1 | Roadbed Spraying Services | Option Yr. 1 | Asplundh Tree Expert Co., - Railroad Division | 0% | \$ 205,367 | \$ (0.00) | 11/18/19 | \$ 70,752 | \$ 276,119 | Operations | 0 | 0 |
| 16-SB-102788-CB | | 7 | Refuse Removal Services | Additional Funds | Allied Waste Services | 0% | \$ 76,347 | \$ 179,360.72 | 11/22/19 | \$ 995 | \$ 256,703 | Operations | 0 | 0 |
| 13-RFP-5991-DR/VH/MD | 8 | 1 | On-Call Information Technology Consulting Services | Time Extension/ Additional Funds | Randstad Technologies | 0% | \$ 119,600 | \$ - | 09/09/19 | \$ 119,600 | \$ 239,200 | Operations | 180 | 1 |
| 17-SB-103918-CG | | 2 | Audit Services - Pension and 401(k) Retirement Plan | Option Yr. 1 | UHY LLP | 0% | \$ 165,317 | \$ - | 12/09/19 | \$ 57,165 | \$ 222,482 | Operations | 0 | 0 |
| 17-RFP-103726-MD | | 4 | On-Call Pre-Development Services | Option Yr. 3 | PGAV Planner, LLC | 0% | \$ 15,000 | \$ 105,000.00 | 01/28/20 | \$ 75,000 | \$ 195,000 | Operations | 0 | 0 |

Contract Modifications FY 2020

July 1, 2019 - March 31, 2020

| Contract # | Task Order | Mod # | Description | Reason for Mod | Contractor | DBE % | Original Contract Amount | Value of Previous Mods | Mod Date | Mod Amount | Revised Contract Amount | Funding Source | # Days Extend | # of Extension to Date |
|-------------------|------------|-------|---|---------------------------------|------------------------------------|-------|--------------------------|------------------------|----------|------------|-------------------------|---|---------------|------------------------|
| 19-SB-105737-CB | | 2 | Pedestrian Access Control - Fairview Heights, N. Hanley, Forest Park/DeBaliviere, Delmar and Central West End | Additional Funds | Raineri Construction, LLC | 10% | \$ 142,650 | \$ 43,058.05 | 03/26/20 | \$ 8,113 | \$ 193,821 | FTA #MO-217-033, SCCTD & Prop M | 160 | 2 |
| 14-RFQ-99354-DR | | 10 | Metro TSM, OCC Training Instructors & Metro Group Uniforms | Time Extension | Blue Sky Apparel & Promotions, LLC | 0% | \$ 114,741 | \$ 71,217.63 | 10/08/19 | \$ - | \$ 185,959 | Operations | 234 | 2 |
| 19-SB-105737-CB | | 1 | Pedestrian Access Control - Fairview Hts., N. Hanley, Forest Park/DB, Delmar and CWE | Additional Funds/Time Extension | Raineri Construction, LLC | 10% | \$ 142,650 | \$ - | 09/09/19 | \$ 43,040 | \$ 185,690 | FTA #MO-217-033, SCCTD & Prop M | 160 | 1 |
| 16-RFQ-101805-DAB | | 4 | Bus and Van Inspection | Option Yr 4 | First Transit, Inc | 0% | \$ 42,744 | \$ 110,953.12 | 08/21/19 | \$ 30,000 | \$ 183,697 | SCCTD, MO 2017-033, Prop M, MO 2016-006 | 0 | 0 |
| 16-RFP-103214-MD | | 3 | License Monitoring Program | Additional Funds / Option Yr 1 | Embark Safety | 0% | \$ 67,437 | \$ 73,718.67 | 07/11/19 | \$ 42,192 | \$ 183,348 | Operations | 0 | 0 |
| 17-RFQ-103921-MD | | 1 | ADA Eligibility Specialist (Temporary Services) | Option Yr. 1 | Maxim Staffing Solutions | 0% | \$ 123,590 | \$ - | 03/06/20 | \$ 39,000 | \$ 162,590 | Operations | 0 | 0 |
| 18-RFP-104818-CG | | 1 | Systemwide Signage & Wayfinding Design | No Cost Time Extension | MERJE | 0% | \$ 159,784 | \$ - | 08/28/19 | \$ - | \$ 159,784 | N/A | 180 | 1 |
| 16-RFP-102111-CG | 25 | 1 | On Call GEC Services/Multiple Disciplines | Additional Funds | Jacobs Engineering | 0% | \$ 79,568 | \$ 0.00 | 03/04/20 | \$ 70,332 | \$ 149,900 | MO-54-0001/Prop M | 0 | 0 |

Contract Modifications FY 2020

July 1, 2019 - March 31, 2020

| Contract # | Task Order | Mod # | Description | Reason for Mod | Contractor | DBE % | Original Contract Amount | Value of Previous Mods | Mod Date | Mod Amount | Revised Contract Amount | Funding Source | # Days Extend | # of Extension to Date |
|--------------------|------------|-------|--|------------------|--|-------|--------------------------|------------------------|----------|------------|-------------------------|--|---------------|------------------------|
| 19-RFP-105427-CG | | 1 | On-Call Planning and Analysis Consulting Services - St. Louis | Option Yr. 1 | TranSystems Corporation | 0% | \$ 74,119 | \$ - | 11/13/19 | \$ 74,119 | \$ 148,238 | Operations | 0 | 0 |
| 19-RFP-105520-MD | 1 | 1 | On-Call Information Technology Consulting Oracle and E Business DBA Manager Consultant | Time Extension | Randstad Technologies | 0% | \$ 117,000 | \$ - | 10/08/19 | \$ 27,000 | \$ 144,000 | Operations | 90 | 1 |
| 14-RFP-99137-DW-MD | | 2 | Commissioned Artwork-Downtown Transfer Center | Additional Funds | Art.Site Integration of Art & Architecture | 0% | \$ 60,000 | \$ 60,500.00 | 10/18/19 | \$ 14,664 | \$ 135,164 | FTA Grant # MO-90-X231 and Prop M | 0 | 0 |
| 18-RFP-104849-MD | | 1 | On-Call Graphic Design Services | Option Yr. 1 | Graphic Design | 0% | \$ 80,000 | \$ - | 02/14/20 | \$ 40,000 | \$ 120,000 | Operations | 0 | 0 |
| 19-SS-106093-CG | | 1 | Professional Services Contractor Agreement for JNEM between BSD & TR advisors | Time Extension | Tr Advisors, LLC (Tara Rath) | 0% | \$ 110,000 | \$ - | 03/18/20 | \$ - | \$ 110,000 | Jefferson National Expansion Memorial Beneficial | 90 | 1 |



Prevailing Wage Report Fiscal Year 2020 - January 1, 2020 - March 31, 2020

Project: 16-SB-102360-CB METRO DOWNTOWN TRANSIT CENTER - FTA GRANT NO# MO-04-0013; MO-90-X296; MO-95-X261

Project Control ID: Is Community Hiring Goal a Requirement: No

| Prime Contractor | Subcontractor | Sub Subcontractor | Sub Sub Subcontractor | Sub Sub Sub Subcontractor |
|------------------|---------------|-------------------|-----------------------|---------------------------|
|------------------|---------------|-------------------|-----------------------|---------------------------|

3148948888

KCI Construction

Start Date: **04/13/2016** End **10/03/2017**
Contract Amount: **\$7,267,000.00**

10315 Lake Bluff Drive ,
St. Louis , MO 63123

314.535.6063

Acme Glass

Start Date: **02/15/2017**

6369490605

ACOUSTICAL CEILINGS INC

Start Date: **05/01/2017**

3147766686

Allied Waterproofing Company, Inc.

Start Date: **03/01/2017**

636-937-7500

Blakely Sheet Metal, LLC

Start Date: **02/01/2017**

6362835031

C2 Service Group

Start Date: **05/01/2018**

3142925069

Coatings Unlimited

Start Date: **09/28/2018**

314-741-1637
Control Line, Inc. Start Date: **07/01/2017**

636-343-5769
CR Painting and More, Inc Start Date: **06/15/2017**

3144862038
D&L Painting and Drywall, LLC Start Date: **03/01/2017**

3145341030
David Mason & Associates Start Date: **05/01/2018**

3142003550
Enterprise Industrial Construction Start Date: **07/15/2016**

314-329-9300
Flooring Systems Start Date: **06/01/2017**

314-892-7622
Gateway Fire Protection Systems, Inc Start Date: **05/01/2017**

3149977440
GEOTECHNOLOGY, INC. Start Date: **06/01/2016**

6364091115
Hawkins Construction & Flatwork Contracting Start Date: **07/21/2017**

3145346664

ICS CONSTRUCTION SERVICES

Start Date: **06/01/2017**

314-658-5203

Kaemmerlen Electric Company

Start Date: **04/25/2016**

3148723400

KMEIER Roof Systems, Inc

Start Date: **01/02/2017**

618-277-4280

MAYER LANDSCPAING INC

Start Date: **06/01/2016**

3148431000

Merlo Plumbing Co Inc

Start Date: **09/19/2016**

3143618090

MISSOURI TERRAZZO

Start Date: **12/01/2016**

8477053863

Penn Services LLC

Start Date: **11/01/2016**

6363494990

RF MEEH CO

Start Date: **04/15/2017**

3146441666

Scallly Waterproofing

Start Date: **11/20/2016**

5733925554

SURECUT LAWNCARE LLC

Start Date: **03/01/2017**

(636) 970-2710
XL Contracting Inc.

Start Date: **07/01/2016**

314-534-1030
DAVID MASON & ASSOC

Start Date: **08/01/2016**

Contract Amount: **\$0.00**

Project: 17-SB-103454-CB ELEVATOR REHAB - 8 UNITS - MO (FTA GRANTS MO-05-0028, MO-54-0001 AND PROP M)

Project Control ID: Is Community Hiring Goal a Requirement: No

Prime Contractor **Subcontractor** **Sub Subcontractor** **Sub Sub Subcontractor** **Sub Sub Sub Subcontractor**

3148902351

THE HARLAN COMPANY

Start Date: **05/26/2017** End **07/31/2018**
Contract Amount: **\$2,145,450.00**

1515 PAGE INDUSTRIAL BLVD. ,
ST. LOUIS , MO 63132

314-522-9400
All American Painting Company

Start Date: **02/19/2018** End Date: **09/06/2018**
Contract Amount: **\$23,694.00**

6363431211
Aschinger Electric

Start Date: **02/19/2018** End Date: **09/06/2018**
Contract Amount: **\$179,920.00**

3147710868
BEETZ PLUMBING

Start Date: **04/16/2018**

LC9738124
D&S Fencing Co., Inc

Start Date: **05/16/2017**

3146311554
Degenhardt Heating & Cooling, Inc.

Start Date: **02/19/2018** End Date: **09/06/2018**
Contract Amount: **\$184,695.00**

9729635273

| | | | |
|--|---------------|--|-------------------------------|
| ThyssenKrupp Elevator Corp. | | Start Date: 02/14/2018 | End Date: 09/06/2018 |
| | | Contract Amount: \$1,100,246.00 | |
| 3149910800 | | | |
| THYSSENKRUPP ELEVATOR CORPORATION | | Start Date: 02/14/2018 | End Date: 09/06/2018 |
| | | Contract Amount: \$1,100,246.00 | |
| Project: 17-SB-104149-DR - BOYLE - CENTRAL WEST END METROLINK STATION - FACILITIES (FTA GRANT MO-79-X004 AND CORTEX) | | | |
| Project Control ID: | | Is Community Hiring Goal a Requirement: No | |
| Prime Contractor | Subcontractor | Sub Subcontractor | Sub Sub Subcontractor |
| Sub Sub Sub Subcontractor | | | |
| 3144215933 | | | |
| L. Keeley | | Start Date: 06/29/2017 | End 10/31/2018 |
| | | Contract Amount: \$6,405,349.00 | |
| 500 South Ewing Avenue , Suite G St. Louis , MO 63103 | | | |
| 3147850078 | | | |
| BRK ELECTRICAL CONTRACTORS LLC | | Start Date: 07/03/2017 | |
| | | | |
| | | 6363524818 | Contract ID: 17020-03 |
| | | Brandt Contracting, Inc. | Start Date: 07/01/2017 |
| | | | End Date: 08/01/2017 |
| | | | Contract Amount: \$75,789.00 |
| | | 6363495999 | Contract ID: SC#17020-01 |
| | | GERSTNER ELECTRIC | Start Date: 06/23/2017 |
| | | | End Date: 05/31/2018 |
| | | | Contract Amount: \$377,037.00 |
| | | 3148927550 | Contract ID: 17020-03 |
| | | Sager & Son | Start Date: 07/01/2017 |
| | | | End Date: 08/01/2017 |
| | | | Contract Amount: \$9,460.00 |
| | | | |
| 618-274-0105 | | | |
| BUMPY'S STEEL ERECTION LLC | | Start Date: 07/03/2017 | |
| | | | |
| 3147716200 | | | |
| Corrigan Company Mechanical Contractors | | Start Date: 05/02/2018 | |

6369378300
D & S FENCING CO INC

Start Date: **07/03/2017**

LC9738124
D&S Fencing Co., Inc

Start Date: **07/03/2017**

314-291-1111
DRILLING SERVICE CO

Start Date: **02/20/2018**

3148436030
Kupferer Brothers Ironworks, Inc.

Start Date: **03/02/2018**

3142802800
St. Louis Steel

Start Date: **02/08/2018**

3144233338
Lorenz and Associates, Inc.

Start Date: **03/02/2018**

618-277-4280
MAYER LANDSCPAING INC

Start Date: **07/03/2017**

3147739954
Mechanical Solutions

Start Date: **11/01/2017**

636-257-3701
Precision Daylighting, Inc.

Start Date: **05/02/2018**

End Date: **05/02/2018**

6365197770

| | |
|--|------------------------|
| ROSCH Co | Start Date: 06/20/2018 |
| (636) 978-7770 ROSCH COMPANY | Start Date: 03/26/2018 |
| 6036214090 SPAN SYSTEMS, INC. | Start Date: 07/03/2017 |
| 636332889 ST. CHARLES GLASS & GLAZING | Start Date: 07/09/2018 |
| 6364753500 THOMAS INDUSTRIAL COATINGS, INC. | Start Date: 03/05/2018 |
| 6362257800 Traffic Control Company | Start Date: 07/03/2017 |
| 3142976968 Trinity Masonry | Start Date: 01/10/2018 |

| | | | | |
|--|----------------------|---|------------------------------|----------------------------------|
| Project: 17-SB-104151-DGR BOYLE AVE. STATION & CWE PLATFORM EXTENSION PACKAGE 2 - TRACK (MO-79-X004 AND CORTEX) | | | | |
| Project Control ID: | | Is Community Hiring Goal a Requirement: No | | |
| Prime Contractor | Subcontractor | Sub Subcontractor | Sub Sub Subcontractor | Sub Sub Sub Subcontractor |
| 3142912233 | | | | |
| RAILWORKS TRACK SERVICES | | Start Date: 10/12/2017 | End | 06/30/2018 |
| | | Contract Amount: \$1,808,394.00 | | |
| 4301 BRIDGETON INDUSTRIAL DRIVE , BRIDGETON , MO 63044-1204 | | | | |

3144215933

L. Keeley

Start Date: **03/26/2018**

3143812277

N & J RAILROAD CONTRACTING

Start Date: **05/07/2018**

Project: 17-SB-104152-CB BOYLE AVE STN AND CWE PLATFORM EXT PACKAGE 3 -SIGNALS FTA GRANT MO-79-X004 AND CORTEX

Project Control ID: Is Community Hiring Goal a Requirement: No

| Prime Contractor | Subcontractor | Sub Subcontractor | Sub Sub Subcontractor | Sub Sub Sub Subcontractor |
|------------------|---------------|-------------------|-----------------------|---------------------------|
|------------------|---------------|-------------------|-----------------------|---------------------------|

6183987575

WISSEHR ELECTRICAL CONTRACTORS

| | | |
|--------------------------------------|-----|-------------------|
| Start Date: 07/30/2017 | End | 07/31/2018 |
| Contract Amount: \$726,787.03 | | |

P.O. BOX 23798 ,
BELLEVILLE , IL 62223

Project: 17-SB-104153-CG BOYLE AVE STN AND CWE PLATFORM EXT PKG 4 - COMMUNICATIONS FTA GRANT MO-79-X004 & CORTEX

Project Control ID: Is Community Hiring Goal a Requirement: No

| Prime Contractor | Subcontractor | Sub Subcontractor | Sub Sub Subcontractor | Sub Sub Sub Subcontractor |
|------------------|---------------|-------------------|-----------------------|---------------------------|
|------------------|---------------|-------------------|-----------------------|---------------------------|

3145322000

SACHS ELECTRIC

| | | |
|-------------------------------|-----|-------------------|
| Start Date: 08/23/2017 | End | 03/01/2018 |
| Date: | | |

PO BOX 96 ,
ST. LOUIS , MO 63166

3144205550

Pearl Street Electric

Start Date: **08/18/2017**

Project: 17-SB-104494-CB, REPAIR & REPLACE CONCRETE AT DEBALIVIERE BUS FACILITY FTA GRANT MO-90-X296 & PROP M

Project Control ID: Is Community Hiring Goal a Requirement: No

| Prime Contractor | Subcontractor | Sub Subcontractor | Sub Sub Subcontractor | Sub Sub Sub Subcontractor |
|--|--|---|-------------------------------|---------------------------|
| 6183379494 L. KEELEY CONSTRUCTION, INC. 2901 FALLING SPRINGS RD , SAUGET , IL 62206 | | Start Date: 08/16/2017 Contract Amount: \$287,927.75 | End | 12/31/2017 |
| 3144215933 L. Keeley 500 South Ewing Avenue , Suite G St. Louis , MO 63103 | | Start Date: 08/28/2017 Contract Amount: \$287,927.75 | End | 12/31/2017 |
| | 3145962311 JR CONCRETE SERVICES LLC | | Start Date: 08/21/2017 | |
| Project: 18-RFP-103593-DGR CM/GC for Union Station Tunnel | | | | |
| Project Control ID: | | Is Community Hiring Goal a Requirement: No | | |
| Prime Contractor | Subcontractor | Sub Subcontractor | Sub Sub Subcontractor | Sub Sub Sub Subcontractor |
| 5313657619 Kiewit Corporation 12720 I Street , Suite 200 Omaha , NE 68137 | | Start Date: 02/01/2019 | | |
| | 20040815 CMT,LLC | | Start Date: 03/13/2019 | |
| | 20050765 Crossroads Construction Services, Inc. | | Start Date: 03/06/2019 | |
| | 6369378300 | | | |

D & S FENCING CO INC Start Date: 03/04/2019

636-225-0017
Elastizell of St. Louis, Inc. Start Date: 05/14/2019

6362962012
KOZENY WAGNER Start Date: 03/01/2019

573-893-2335
Meyer Electric Company, Inc. Start Date: 03/19/2019

3146455566
Premier Demolition, Inc Start Date: 04/01/2019

LC9865431
Strick & Legit,LLC Start Date: 03/28/2019

6183987575
WISSEHR ELECTRICAL CONTRACTORS Start Date: 06/03/2019

LC9863821
ZOIE,LLC Start Date: 05/01/2019

3149391701
D&K Welding Start Date: 03/13/2019

LC9738124
D&S Fencing Co., Inc Start Date: 11/19/2019 End Date: 05/31/2020
Contract Amount: \$0.00

3142911111

Drilling Service Co. Start Date: **03/01/2019**

314-291-1111
DRILLING SERVICE CO Start Date: **03/06/2019**

5738932335
Meyer Electric Compnay, Inc. Start Date: **03/01/2019**

3147850078
BRK ELECTRICAL CONTRACTORS LLC Start Date: **08/01/2019**

Contract Amount: **\$182,720.00**

Project: 18-SB-104831-MD PHASE 5 ADA MISSOURI METROBUS STOP ENHANCEMENT PROJECT - FTA GRANT FUNDED - MO-57-X006

Project Control ID: Is Community Hiring Goal a Requirement: No

Prime Contractor **Subcontractor** **Sub Subcontractor** **Sub Sub Subcontractor** **Sub Sub Sub Subcontractor**

3146675913
RAINERI CONSTRUCTION
Start Date: **04/05/2018** End **07/31/2018**
Contract Amount: **\$143,336.00**

1300 Hampton Avenue Ste 200 ,
ST. LOUIS , MO 63109

Project: 18-SB-104938-CB ELECTRICAL INSTALLATION - ILLINOIS SECURITY GUARD SHACKS (MO-90-X296 AND SCCTD)

Project Control ID: Is Community Hiring Goal a Requirement: No

Prime Contractor **Subcontractor** **Sub Subcontractor** **Sub Sub Subcontractor** **Sub Sub Sub Subcontractor**

6183987575
WISSEHR ELECTRICAL CONTRACTORS
Start Date: **03/26/2018** End **06/26/2018**
Contract Amount: **\$66,860.00**

P.O. BOX 23798 ,
BELLEVILLE , IL 62223

Project: 18-SB-105089-CB FEEDER WIRE REHABILITATION AND SUBSTATION WATERPROOFING AT MO-05

Project Control ID: Is Community Hiring Goal a Requirement: No

| Prime Contractor | Subcontractor | Sub Subcontractor | Sub Sub Subcontractor | Sub Sub Sub Subcontractor | |
|---|------------------------------------|---|-----------------------|---------------------------|--|
| 6183987575 WISSEHR ELECTRICAL CONTRACTORS | | Start Date: 07/24/2018 Contract Amount: \$318,800.00 | End | 12/07/2018 | |
| P.O. BOX 23798 , BELLEVILLE , IL 62223 | | | | | |
| | 6369378300 D & S FENCING CO INC | Start Date: 10/01/2018 Contract Amount: \$26,420.00 | | | |
| | LC9738124 D&S Fencing Co., Inc | Start Date: 10/07/2018 Contract Amount: \$26,420.00 | End Date: | 06/01/2019 | |
| | 3146675913 RAINERI CONSTRUCTION | Start Date: 04/01/2019 Contract Amount: \$8,520.00 | End Date: | 06/02/2019 | |
| Project: 18-SB-105153-CG Rehab & Overlay Repair Illinois Bus Facility Training Lot - FTA Funding MO-90-X296 Project Control ID: Is Community Hiring Goal a Requirement: No | | | | | |
| Prime Contractor | Subcontractor | Sub Subcontractor | Sub Sub Subcontractor | Sub Sub Sub Subcontractor | |
| 3145677997 BYRNE & JONES CONSTRUCTION INC. | | Start Date: 06/25/2018 Contract Amount: \$211,900.00 | End | 10/01/2018 | |
| 11745 REAR LACKLAND , ST. LOUIS , MO 63146 | | | | | |
| Project: 18-SB-105225-CB BRENTWOOD OIL AND WATER SEPARATOR Project Control ID: Is Community Hiring Goal a Requirement: No | | | | | |
| Prime Contractor | Subcontractor | Sub Subcontractor | Sub Sub Subcontractor | Sub Sub Sub Subcontractor | |
| 3148902351 THE HARLAN COMPANY | | Start Date: 03/21/2019 Contract Amount: \$404,800.00 | End | 10/31/2019 | |

| | | | | |
|---|----------------------|--------------------------|------------------------------|----------------------------------|
| 1515 PAGE INDUSTRIAL BLVD. , ST. LOUIS , MO 63132 | | | | |
| Project: 18-SS-104906-CB Emergency Temporary Shoring of Union Station Tunnel | | | | |
| Project Control ID: Is Community Hiring Goal a Requirement: No | | | | |
| Prime Contractor | Subcontractor | Sub Subcontractor | Sub Sub Subcontractor | Sub Sub Sub Subcontractor |
| 6183379494 | | | | |
| L. KEELEY CONSTRUCTION, INC. | | Start Date: 01/06/2018 | End | 04/30/2018 |
| Contract Amount: \$100,000.00 | | | | |
| 2901 FALLING SPRINGS RD , SAUGET , IL 62206 | | | | |
| Project: 18-SS-104908-CB Temporary Shoring & Barrier Curbs at Delmar Station | | | | |
| Project Control ID: Is Community Hiring Goal a Requirement: No | | | | |
| Prime Contractor | Subcontractor | Sub Subcontractor | Sub Sub Subcontractor | Sub Sub Sub Subcontractor |
| 3148902351 | | | | |
| THE HARLAN COMPANY | | Start Date: 01/06/2018 | End | 03/31/2018 |
| Contract Amount: \$50,000.00 | | | | |
| 1515 PAGE INDUSTRIAL BLVD. , ST. LOUIS , MO 63132 | | | | |
| Project: 18-SS-105288-CB CROSS COUNTY HANLEY BRIDGE - STEEL REPAIR (OPERATIONAL FUNDING) | | | | |
| Project Control ID: Is Community Hiring Goal a Requirement: No | | | | |
| Prime Contractor | Subcontractor | Sub Subcontractor | Sub Sub Subcontractor | Sub Sub Sub Subcontractor |
| 6362963300 | | | | |
| ST. LOUIS BRIDGE COMPANY | | Start Date: 05/23/2018 | End Date: | 07/31/2018 |
| 655 LANDMARK DRIVE , ARNOLD , MO 63010 | | | | |
| Project: 19-RFQ -105558-FP Feeder Cables at MO-25 Substation | | | | |

| | | | | | |
|--|---------------|--|-----------------------|---------------------------|--|
| Project Control ID: | | Is Community Hiring Goal a Requirement: No | | | |
| Prime Contractor | Subcontractor | Sub Subcontractor | Sub Sub Subcontractor | Sub Sub Sub Subcontractor | |
| 618-398-7575 | | | | | |
| Wissehr Electrical Contractor | | Start Date: 11/15/2018 | | | |
| 5801 W. State Route 161 , Belleville , IL 62223 | | | | | |
| Project: 19-RFQ-105651-CB FURNISH & INSTALL SECURITY FENCES @ 3 METROLINK SIGNAL HOUSES | | | | | |
| Project Control ID: | | Is Community Hiring Goal a Requirement: No | | | |
| Prime Contractor | Subcontractor | Sub Subcontractor | Sub Sub Subcontractor | Sub Sub Sub Subcontractor | |
| 314/869-8000 | | | | | |
| Collins & Hermann, Inc. | | Start Date: 03/26/2019 | End Date: | 06/30/2019 | |
| 1215 Dunn Road , St. Louis , MO 63138 | | | | | |
| Project: 19-RFQ-106189-CG Install New Fiber Optic Cable - BSD Central Facility PBX Room to Server Room FTA MO-2016-026 | | | | | |
| Project Control ID: | | Is Community Hiring Goal a Requirement: No | | | |
| Prime Contractor | Subcontractor | Sub Subcontractor | Sub Sub Subcontractor | Sub Sub Sub Subcontractor | |
| 3143932903 | | | | | |
| L'Rae Industries LLC dba Arden Technologies | | Start Date: 06/05/2019 | End | 08/30/2019 | |
| | | Contract Amount: \$4,500.00 | | | |
| 4285 River Oaks Drive , Florissant , MO 63034 | | | | | |
| Project: 19-SB-105737-CB PEDESRIAN ACCESS CONTROL | | | | | |
| Project Control ID: | | Is Community Hiring Goal a Requirement: No | | | |
| Prime Contractor | Subcontractor | Sub Subcontractor | Sub Sub Subcontractor | Sub Sub Sub Subcontractor | |
| 3146675913 | | | | | |

| | | | | |
|---|---------------|--|-----------------------|---------------------------|
| RAINERI CONSTRUCTION | | Start Date: 02/26/2019 | End | 06/30/2019 |
| | | Contract Amount: \$142,650.00 | | |
| 1300 Hampton Avenue Ste 200 , ST. LOUIS , MO 63109 | | | | |
| LC9892717 Empire Fence and Custom Iron Works, Inc. | | | | |
| Start Date: 08/01/2019 | | | | |
| Project: 19-SB-106178-FP Wellston Walkway and Drainage Improvements | | | | |
| Project Control ID: | | Is Community Hiring Goal a Requirement: No | | |
| Prime Contractor | Subcontractor | Sub Subcontractor | Sub Sub Subcontractor | Sub Sub Sub Subcontractor |
| 6362962012 | | | | |
| KOZENY WAGNER | | Start Date: 08/27/2019 | End Date: | 12/27/2019 |
| 951 WEST OUTER ROAD , ARNOLD , MO 63010 | | | | |
| 3147850078 BRK ELECTRICAL CONTRACTORS LLC | | | | |
| Start Date: 12/02/2019 | | | | |
| 6369378300 D & S FENCING CO INC | | | | |
| Start Date: 09/16/2019 | | | | |
| 636-225-0017 Elastizell of St. Louis, Inc. | | | | |
| Start Date: 10/01/2019 | | | | |
| (314) 869-8000 COLLINS & HERMAN | | | | |
| Start Date: 11/01/2019 | | | | |
| 3148698000 COLLINS & HERMANN | | | | |
| Start Date: 11/04/2019 | | | | |

Project: 20-SB-106637-CB 5TH & MO PARKING LOT REHABILITATION

Project Control ID: Is Community Hiring Goal a Requirement: No

Prime Contractor **Subcontractor** **Sub Subcontractor** **Sub Sub Subcontractor** **Sub Sub Sub Subcontractor**

618-259-2485
STUTZ EXCAVATING, INC.
3837 Fosterburg Road ,
Alton , IL 62002
Start Date: 03/17/2020

618-310-0105
Right Way Traffic Control, Inc.
Start Date: 04/03/2020

Project: SCCTD Bus Shelters

Project Control ID: Is Community Hiring Goal a Requirement: No

Prime Contractor **Subcontractor** **Sub Subcontractor** **Sub Sub Subcontractor** **Sub Sub Sub Subcontractor**

3146675913
RAINERI CONSTRUCTION
1300 Hampton Avenue Ste 200 ,
ST. LOUIS , MO 63109
Start Date: 12/20/2019
End Date: 05/31/2020

**PROCUREMENT CARD PROGRAM
ADMINISTRATIVE REVIEW STATISTICS
JULY 1, 2019 - MARCH 31, 2020**

| | 1st QUARTER FY20 | | 2nd QUARTER FY20 | | 3rd QUARTER FY20 | | FY2020 YTD TOTAL | |
|--|------------------------|-----------------------|------------------------|-----------------------|------------------------|-----------------------|-------------------------|-----------------------|
| | TRANSACTION COUNT | TRANSACTION AMOUNT | TRANSACTION COUNT | TRANSACTION AMOUNT | TRANSACTION COUNT | TRANSACTION AMOUNT | TRANSACTION COUNT | TRANSACTION AMOUNT |
| TOTAL TRANSACTIONS | 4044 | \$ 1,400,653 | 3445 | \$ 1,225,004 | 4066 | \$ 1,458,984 | 11,555 | \$ 4,084,641 |
| TRANSACTIONS REVIEWED | 4044 | \$ 1,400,653 | 3445 | \$ 1,225,004 | 4066 | \$ 1,458,984 | 11,555 | \$ 4,084,640 |
| TRANSACTIONS INVESTIGATED | 138 | \$ 119,129 | 159 | \$ 81,408 | 162 | \$ 120,572 | 459 | \$ 321,109 |
| PERCENTAGE OF TOTAL INVESTIGATED | 3.4% | 8.5% | 4.6% | 6.6% | 4.0% | 8.3% | 4.0% | 7.9% |
| CONFIRMED PROCEDURAL VIOLATIONS | 7 TRANS 2 INCIDENTS | \$ 9,214 | 3 TRANS 2 INCIDENTS | \$ 3,296 | 0 TRANS 0 INCIDENTS | \$ - | 10 TRANS 4 INCIDENTS | \$ 12,511 |
| CONFIRMED VIOLATION PERCENTAGE OF TOTAL | 0.0% | 0.7% | 0.1% | 0.3% | 0.0% | 0.0% | 0.0% | 0.3% |
| TRANSACTIONS WITH SALES TAX | 15 | \$ 3,160 | 20 | \$ 3,456 | 34 | \$ 2,353 | 69 | \$ 8,969 |
| SALES TAX CHARGED | 11 | \$ 121 | 7 | \$ 51 | 21 | \$ 185 | 39 | \$ 357 |
| PERCENTAGE OF TOTAL TRANSACTIONS WITH SALES TAX | 0.4% | 0.2% | 0.6% | 0.3% | 0.8% | 0.2% | 0.6% | 0.2% |
| REFUNDED SALES TAX | 4 | \$ 104 | 11 | \$ 169 | 13 | \$ 586 | 28 | \$ 859 |

April 2020 figures had not been completed
at the time the meeting information was compiled.

The Metro Transit Operations Report
will be completed and distributed electronically, as a separate document,
prior to the Committee Meeting, and will be added to the
electronic meeting packet as soon as possible.